

# Exact Dirac-Bogoliubov-de Gennes Dynamics for Inhomogeneous Quantum Liquids\*

Per Moosavi

ETH Zurich

Mathematical Aspects of Condensed Matter Physics, ETH Zurich

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\*P.M., [arXiv:2208.14467](https://arxiv.org/abs/2208.14467) accepted in Phys. Rev. Lett.

# Dirac-Bogoliubov-de Gennes (DBdG) equations

**Problem:** Given smooth functions  $v(x)$  and  $K(x)$ , consider

$$\begin{pmatrix} v(x)\partial_x + \partial_t & \Delta(x) \\ \Delta(x) & v(x)\partial_x - \partial_t \end{pmatrix} \begin{pmatrix} u_+ \\ u_- \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

where

$$\Delta(x) \equiv v(x)\partial_x \log \sqrt{K(x)}$$

for  $u_{\pm} = u_{\pm}(x, t)$  with given initial conditions.

**Questions:**

- ◇ What is the general solution?
- ◇ What is the effect of  $\Delta(x) \neq 0$ ?
- ◇ What is the behavior as  $t \rightarrow \infty$ ?

# Applications of DBdG-type equations

- ◇ [Andreev, Sov. Phys. JETP (1964)]:

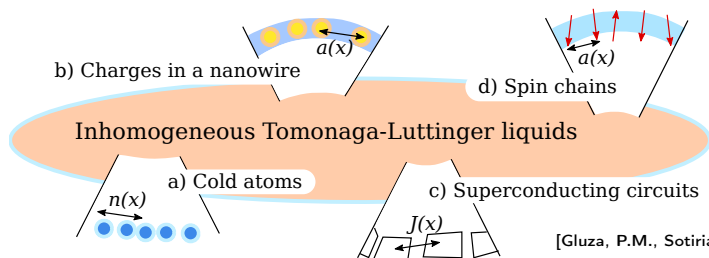
Interfaces between normal metals and superconductors

- ◇ [Takayama, Lin-Liu, Maki, PRB (1980)]:

Continuum description of Su-Schrieffer-Heeger model

- ◇ [P.M., arXiv:2208.14467]:

Dynamics in inhomogeneous Tomonaga-Luttinger liquids (TLLs)



# Applications of DBdG-type equations

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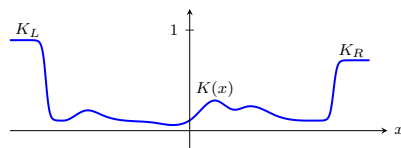
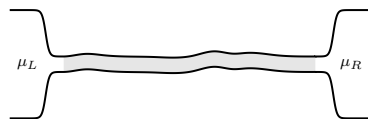
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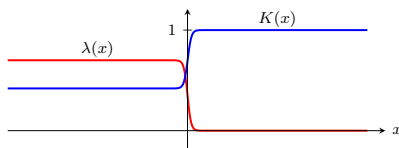
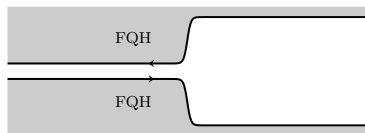
Continuum description of Su-Schrieffer-Heeger model

- ◇ [P.M., arXiv:2208.14467]:

Quantum wires



Fractional quantum Hall (FQH) edges



# Some previous works on inhomogeneous TLLs

- ◇ [Maslov, Stone], [Safi, Schulz], [Ponomarenko] {PRB (1995)}:

## Quantum wires

- ◇ [Stringari, PRL (1996)], . . . , [Citro et al., New J. Phys. (2008)]:

## Effective descriptions of trapped ultra-cold atoms in equilibrium

- ◇ [Brun, Dubail, SciPost (2018)], [Bastianello, Dubail, Stéphan, JPA (2020)], [Gluza, P.M., Sotiriadis, JPA (2022)], [Ruggiero, Calabrese, Giamarchi, Foini, SciPost (2022)]:

## Inhomogeneous TLLs out of equilibrium

# Outline

- ◇ Tomonaga-Luttinger liquids (TLLs)
- ◇ DBdG equations from TLL theory
- ◇ Solving the DBdG equations

Tomonaga-Luttinger liquids (TLLs)

## TLL theory / Free compactified bosons

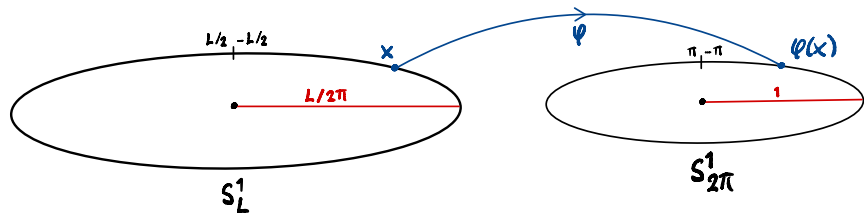
Given  $v > 0$  and  $K > 0$ . Consider the action functional

$$S = \frac{R^2}{8\pi} \int_{\mathbb{R} \times S_L^1} d^2x (\partial^\mu \varphi)(\partial_\mu \varphi)$$

for fields  $\varphi : S_L^1 \rightarrow S_{2\pi}^1$  with **compactification radius**  $R$  satisfying

$$K = \frac{R^2}{4}$$

and metric  $(h_{\mu\nu}) = \text{diag}(1, -1)$  in coordinates  $(x^0, x^1) = (vt, x)$ .





# TLL theory in Hamiltonian framework

Hamiltonian

$$H_{v,K} = \frac{1}{2\pi} \int_{S_L^1} dx : \left( \frac{v}{K} [\pi \Pi(x)]^2 + vK [\partial_x \varphi(x)]^2 \right) :$$

with bosonic field  $\varphi(x)$  and conjugate  $\Pi(x)$  for  $x \in S_L^1$  satisfying

$$[\partial_x \varphi(x), \Pi(y)] = i\delta'(x - y).$$

Diagonalizable by simple **Bogoliubov transformation** in terms of bosonic creation and annihilation operators after expanding in plane waves:

$$H_{v,K} = \frac{\pi v}{L} (a_0^2 + \bar{a}_0^2) + \frac{\pi v}{L} \sum_{n \neq 0} : (a_{-n} a_n + \bar{a}_{-n} \bar{a}_n) :$$

with  $a_n = a_{-n}^\dagger$  and  $\bar{a}_n = \bar{a}_{-n}^\dagger$  ( $n \in \mathbb{Z}$ ) for **right/left movers** satisfying

$$[a_n, a_m] = n\delta_{n+m,0} = [\bar{a}_n, \bar{a}_m], \quad [a_n, \bar{a}_m] = 0.$$

# Inhomogeneous TLL

## Hamiltonian

$$H_{v(\cdot), K(\cdot)} = \frac{1}{2\pi} \int_{S_L^1} dx : \left( \frac{v(x)}{K(x)} [\pi\Pi(x)]^2 + v(x)K(x) [\partial_x \varphi(x)]^2 \right) :$$

with **inhomogeneous** periodic  $v(x) > 0$  and  $K(x) > 0$  on the circle  $S_L^1$ .  
**Not** diagonalizable by simple **Bogoliubov transformation** for  $K(x) \neq K$ .

For **inhomogeneous** periodic  $v(x)$  and  $K(x) = K$  constant:

[Dubail, Stéphan, Viti, Calabrese, SciPost Phys. (2017)], [Dubail, Stéphan, Calabrese, SciPost Phys. (2017)]  
[Gawedzki, Langmann, P.M., JSP (2018)], [Langmann, P.M., PRL (2019)], [P.M., AHP (2021)]

## Corresponding action functional

$$S_{R(\cdot)} = \frac{1}{8\pi} \int_{\mathbb{R} \times S_L^1} d^2x \sqrt{-h} R(x)^2 (\partial^\mu \varphi)(\partial_\mu \varphi)$$

with **inhomogeneous compactification radius**  $R(x) = 2\sqrt{K(x)}$  and  
metric  $(h_{\mu\nu}) = \text{diag}(v(x)^2/v^2, -1)$  in coordinates  $(x^0, x^1) = (vt, x)$ .

# Inhomogeneous TLL

Hamiltonian

$$H_{v(\cdot), K(\cdot)} = \frac{1}{2\pi} \int_{S_L^1} dx : \left( \frac{v(x)}{K(x)} [\pi\Pi(x)]^2 + v(x)K(x) [\partial_x\varphi(x)]^2 \right) :$$

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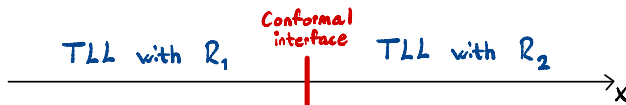
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metric  $(h_{\mu\nu}) = \text{diag}(v(x)^2/v^2, -1)$  in coordinates  $(x^0, x^1) = (vt, x)$ .

## Related special case: Conformal interfaces

[Bachas, Brunner, JHEP (2008)]:



DBdG equations from TLL theory

## PDE approach

Instead of diagonalizing  $H_{v(\cdot),K(\cdot)}$  rewrite it as

$$H_{v(\cdot),K(\cdot)} = \int_{-L/2}^{L/2} dx \pi v(x) : \left( \tilde{\rho}_+(x)^2 + \tilde{\rho}_-(x)^2 \right) :$$

with right/left-moving densities

$$\tilde{\rho}_\pm(x) \equiv \frac{1}{2\pi\sqrt{K(x)}} \left[ \pi\Pi(x) \mp K(x)\partial_x\varphi(x) \right].$$

Result:  $\tilde{\rho}_\pm(x)$  satisfy

$$[\tilde{\rho}_\pm(x), \tilde{\rho}_\pm(y)] = \mp \frac{i}{2\pi} \delta'(x-y),$$

$$[\tilde{\rho}_+(x), \tilde{\rho}_-(y)] = \frac{i}{2\pi} \Lambda(x) \delta(x-y)$$

with  $\Lambda(x) \equiv \partial_x \log \sqrt{K(x)}$  **coupling** right/left movers.

# Dirac-Bogoliubov-de Gennes (DBdG) equations

Heisenberg equation and commutation relations imply that  $\tilde{\rho}_{\pm}(x)$  and  $\tilde{j}_{\pm}(x) \equiv \pm v(x)\tilde{\rho}_{\pm}(x)$  satisfy **coupled** continuity equations

$$\partial_t \tilde{\rho}_{\pm} + \partial_x \tilde{j}_{\pm} = \pm \Delta(x) \tilde{\rho}_{\mp}$$

with  $\Delta(x) \equiv v(x)\Lambda(x)$ .

Result:  $\tilde{j}_{\pm}(x, t)$  satisfy the **inhomogeneous DBdG equations**

$$\begin{pmatrix} v(x)\partial_x + \partial_t & \Delta(x) \\ \Delta(x) & v(x)\partial_x - \partial_t \end{pmatrix} \begin{pmatrix} \tilde{j}_+(x, t) \\ \tilde{j}_-(x, t) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

with a **local gap**  $\Delta(x) = v(x)\partial_x \log \sqrt{K(x)}$ .

[P.M., arXiv:2208.14467]

Solving the DBdG equations



◇ Recall:  $\tilde{j}_{\pm}(x, t)$  satisfy

$$\begin{pmatrix} v(x)\partial_x + \partial_t & \Delta(x) \\ \Delta(x) & v(x)\partial_x - \partial_t \end{pmatrix} \begin{pmatrix} \tilde{j}_+ \\ \tilde{j}_- \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

with  $\Delta(x) = v(x)\partial_x \log \sqrt{K(x)}$ .

◇ [Magnus, Comm. Pure Appl. Math. (1954)]:

$$\frac{d}{ds} Y(s) = A(s)Y(s), \quad Y(s_0) = Y_0.$$

◇ Recall:  $\tilde{j}_{\pm}(x, t)$  satisfy

$$\partial_x \begin{pmatrix} \tilde{j}_+ \\ \tilde{j}_- \end{pmatrix} + \begin{pmatrix} v(x)^{-1} \partial_t & \Lambda(x) \\ \Lambda(x) & -v(x)^{-1} \partial_t \end{pmatrix} \begin{pmatrix} \tilde{j}_+ \\ \tilde{j}_- \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

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## Analogy with non-Hermitian (PT-symmetric) 2-level system

DBdG eqs. in frequency space  $\omega$  for expectations in the infinite volume:

$$\partial_x \begin{pmatrix} \langle \hat{j}_+(x, \omega) \rangle \\ \langle \hat{j}_-(x, \omega) \rangle \end{pmatrix} = i\mathbf{P}_\omega(x) \begin{pmatrix} \langle \hat{j}_+(x, \omega) \rangle \\ \langle \hat{j}_-(x, \omega) \rangle \end{pmatrix} + \frac{1}{v(x)} \sigma_3 \begin{pmatrix} \langle \tilde{j}_+(x, 0) \rangle \\ \langle \tilde{j}_-(x, 0) \rangle \end{pmatrix}$$

for  $x \in \mathbb{R}$  with the  $\mathfrak{sl}(2, \mathbb{C})$  matrix

$$\mathbf{P}_\omega(x) \equiv \frac{\omega}{v(x)} \sigma_3 + i\Lambda(x) \sigma_1.$$

In general,  $\mathbf{P}_\omega(x)\mathbf{P}_\omega(y) \neq \mathbf{P}_\omega(y)\mathbf{P}_\omega(x)$ , so need spatial ordering  $\overleftarrow{\mathcal{X}}$  ( $\overrightarrow{\mathcal{X}}$ ) where positions decrease (increase) from left to right.

**Note:** Expectations  $\langle \cdot \rangle$  w.r.t. arbitrary state in the infinite-volume limit  $L \rightarrow \infty$ .

Assumed system prepared in an initial state for  $t < 0$  and evolving for  $t > 0$  with initial data  $\langle \tilde{j}_\pm(x, t=0) \rangle$ . Fourier transforms:  $\hat{j}_\pm(x, \omega) = \int_0^\infty dt \tilde{j}_\pm(x, t) e^{i\omega t}$ .

## Green's functions

Result: Given  $\langle \tilde{j}_{\pm}(x, 0) \rangle$  and assuming  $\lim_{|x| \rightarrow \infty} \langle \tilde{j}_{\pm}(x, t) \rangle = 0$ , then

$$\begin{pmatrix} \langle \tilde{j}_{+}(x, t) \rangle \\ \langle \tilde{j}_{-}(x, t) \rangle \end{pmatrix} = \int_{\mathbb{R}} dy G(x, y; t) \frac{1}{v(y)} \begin{pmatrix} \langle \tilde{j}_{+}(y, 0) \rangle \\ \langle \tilde{j}_{-}(y, 0) \rangle \end{pmatrix}$$

using  $G(x, y; t) = \int_{\mathbb{R}} \frac{d\omega}{2\pi} \hat{G}(x, y; \omega) e^{-i\omega t}$  with

$$\begin{aligned} \hat{G}(x, y; \omega) &= \hat{G}_{+}(x, y; \omega) \frac{\sigma_0 + \sigma_3}{2} + \hat{G}_{-}(x, y; \omega) \frac{\sigma_0 - \sigma_3}{2}, \\ \hat{G}_{\pm}(x, y; \omega) &= \pm \theta(\pm[x - y]) \overleftarrow{\mathcal{X}} e^{i \int_y^x ds P_{\omega}(s)} \sigma_3. \end{aligned}$$

Special case: If  $K(x) = K$ , then  $\hat{G}_{\pm}(x, y; \omega)$  equal

$$\hat{G}_{\pm}^0(x, y; \omega) = \pm \theta(\pm[x - y]) e^{i\omega \tau_{x,y} \sigma_3} \sigma_3, \quad \tau_{x,y} = \int_y^x ds \frac{1}{v(s)}.$$

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# Magnus expansion

Result:

$$\overleftarrow{\mathcal{X}} e^{i \int_y^x ds P_\omega(s)} = \exp \left[ \sum_{n=1}^{\infty} \Omega_\omega^n(x, y; x) \right] e^{i\omega\tau_{x,y}\sigma_3}$$

with

$$\Omega_\omega^1(x, y; a) = i \int_y^x ds P_\omega^1(s; a), \quad P_\omega^1(s; a) \equiv i\Lambda(s) \begin{pmatrix} 0 & e^{-2i\omega\tau_{s,a}} \\ e^{2i\omega\tau_{s,a}} & 0 \end{pmatrix},$$

$$\Omega_\omega^2(x, y; a) = -i \int_y^x ds_1 \int_y^{s_1} ds_2 \Lambda(s_1)\Lambda(s_2) \sin(2\omega\tau_{s_1,s_2})\sigma_3,$$

and

$$\Omega_\omega^n(x, y; a) = i \sum_{k=1}^{n-1} \frac{B_k}{k!} \sum_{\substack{m_1 \geq 1, \dots, m_k \geq 1 \\ m_1 + \dots + m_k = n-1}} \int_y^x ds \prod_{j=1}^k \text{ad}_{\Omega_\omega^{m_j}(s,y;a)} P_\omega^1(s; a)$$

for  $n \geq 3$  consist of similar nested spatial integrals of  $\mathfrak{sl}(2, \mathbb{C})$ -valued functions that vanish at  $\omega = 0$ . (Bernoulli numbers  $B_k$  with  $B_1 = -1/2$ )

## Late-time asymptotics

If  $\omega = 0$ , then  $P_0(x) = P_0^1(x; \cdot) = i\Lambda(x)\sigma_1$  for different  $x$  commute.  
 $\implies$  Only non-zero contribution in the Magnus expansion is

$$\exp \left[ - \int_y^x ds \Lambda(s) \sigma_1 \right] = \begin{pmatrix} \frac{\sqrt{\frac{K(y)}{K(x)} + \sqrt{\frac{K(x)}{K(y)}}}{2}} & \frac{\sqrt{\frac{K(y)}{K(x)} - \sqrt{\frac{K(x)}{K(y)}}}{2}} \\ \frac{\sqrt{\frac{K(y)}{K(x)} - \sqrt{\frac{K(x)}{K(y)}}}{2}} & \frac{\sqrt{\frac{K(y)}{K(x)} + \sqrt{\frac{K(x)}{K(y)}}}{2}} \end{pmatrix} \equiv T(x, y)$$

since  $\Lambda(x) = \partial_x \log(\sqrt{K(x)})$ .

**Result:** Leading  $t \gg 1$  contribution to  $G(x, y; t)$  is  $T(x, y)G^0(x, y; t)$ .

**Corollary:** For the current  $j = \sqrt{K(x)}(\tilde{j}_+ + \tilde{j}_-)$ ,

$$\begin{aligned} \langle j(x, t) \rangle &= \int_{\mathbb{R}} dy \frac{\delta(\tau_{x,y} - t) - \delta(\tau_{x,y} + t)}{2} \langle \rho(y, 0) \rangle \\ &+ \int_{\mathbb{R}} dy \frac{\delta(\tau_{x,y} - t) + \delta(\tau_{x,y} + t)}{2v(y)} \langle j(y, 0) \rangle + o(t^{-1}) \end{aligned}$$

when  $t \gg 1$  for all  $K(x)$ .



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when  $t \gg 1$  for all  $K(x)$ .

## Transfer matrix

Consider a subsystem on a finite interval  $[y, x]$  with  $\langle \tilde{j}_{\pm}(\cdot, 0) \rangle = 0$  inside and currents instead incident at  $y$  and  $x$ .

**Result:** The transfer matrix  $T(\omega)$  between  $(\hat{j}_+(y, \omega), \hat{j}_-(y, \omega))^T$  and  $(\hat{j}_+(x, \omega), \hat{j}_-(x, \omega))^T$  for  $x > y$  is

$$T(\omega) = \begin{pmatrix} T_{++}(\omega) & T_{+-}(\omega) \\ T_{-+}(\omega) & T_{--}(\omega) \end{pmatrix} = \overleftarrow{\mathcal{X}} e^{i \int_y^x ds P_{\omega}(s)}.$$

Simplifies for  $\omega = 0$ :

$$T(\omega = 0) = \begin{pmatrix} \frac{\sqrt{\frac{K(y)}{K(x)} + \sqrt{\frac{K(x)}{K(y)}}}}{2} & \frac{\sqrt{\frac{K(y)}{K(x)} - \sqrt{\frac{K(x)}{K(y)}}}}{2} \\ \frac{\sqrt{\frac{K(y)}{K(x)} - \sqrt{\frac{K(x)}{K(y)}}}}{2} & \frac{\sqrt{\frac{K(y)}{K(x)} + \sqrt{\frac{K(x)}{K(y)}}}}{2} \end{pmatrix} = T(x, y).$$

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# Scattering matrix

Result: The scattering matrix is

$$S(\omega) = \begin{pmatrix} T(\omega) & R(\omega) \\ \tilde{R}(\omega) & T(\omega) \end{pmatrix}$$

with the transmission and reflection amplitudes ( $|T(\omega)|^2 + |R(\omega)|^2 = 1$ )

$$T(\omega) = \frac{1}{T_{--}(\omega)}, \quad R(\omega) = \frac{T_{+-}(\omega)}{T_{--}(\omega)}, \quad \tilde{R}(\omega) = -\overline{R(\omega)} \frac{T(\omega)}{T(\omega)}.$$

Again, simplifies for  $\omega = 0$ :

$$T(\omega = 0) = \frac{2\sqrt{K(y)K(x)}}{K(y) + K(x)}, \quad R(\omega = 0) = \frac{K(y) - K(x)}{K(y) + K(x)}.$$

Generalizes results for conformal interfaces and yields simple proof of independence on intermediate values of  $K(\cdot)$  for quantum wires.

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$$T(\omega) = \frac{1}{T_{--}(\omega)}, \quad R(\omega) = \frac{T_{+-}(\omega)}{T_{--}(\omega)}, \quad \tilde{R}(\omega) = -\overline{R(\omega)} \frac{T(\omega)}{T(\omega)}.$$

Again, simplifies for  $\omega = 0$ :

$$T(\omega = 0) = \frac{2\sqrt{K(y)K(x)}}{K(y) + K(x)}, \quad R(\omega = 0) = \frac{K(y) - K(x)}{K(y) + K(x)}.$$

Generalizes results for conformal interfaces and yields simple proof of independence on intermediate values of  $K(\cdot)$  for quantum wires.

## Summary

- ◇ Showed that the dynamics of inhomogeneous TLLs are described by inhomogeneous DBdG equations.
- ◇ Obtained general solution of the DBdG equations.
- ◇ Derived explicit results at late time or at stationarity that generalize known results in the literature.
- ◇ Used results to study coupled FQH edges, quantum wires, and quantum quenches.
- ◇ Results applicable whenever DBdG-type equations appear and approach directly generalizable to other algebras than  $\mathfrak{sl}(2, \mathbb{C})$ .
- ◇ Interesting to extend to heat transport and correlation functions.

Thank you for your attention!

## Appendices



## Remark 1: Vector and axial currents

The PDEs are equivalent to existence of vector and axial current with

$$\begin{aligned}\rho(x) &= \Pi(x), & j(x) &= v(x)K(x)\rho_5(x), \\ \rho_5(x) &= -\partial_x\varphi(x)/\pi, & j_5(x) &= \frac{v(x)}{K(x)}\rho(x),\end{aligned}$$

satisfying

$$\begin{aligned}\partial_t\rho + \partial_x j &= 0, & \partial_t j + v(x)K(x)\partial_x[v(x)K(x)^{-1}\rho] &= 0, \\ \partial_t\rho_5 + \partial_x j_5 &= 0, & \partial_t j_5 + v(x)K(x)^{-1}\partial_x[v(x)K(x)\rho_5] &= 0,\end{aligned}$$

In terms of quantities for right/left movers:

$$\rho = \sqrt{K(x)}(\tilde{\rho}_+ + \tilde{\rho}_-), \quad j = \sqrt{K(x)}(\tilde{j}_+ + \tilde{j}_-).$$

## Remark 2: Coupled $U(1)$ current algebras

Define

$$a_n \equiv \int_{S_L^1} dx \tilde{\rho}_+(x) e^{-2\pi i n x / L}, \quad \bar{a}_n \equiv \int_{S_L^1} dx \tilde{\rho}_-(x) e^{2\pi i n x / L}.$$

Obtain **coupled**  $U(1)$  current algebras:

$$[a_n, a_m] = n \delta_{n+m, 0} = [\bar{a}_n, \bar{a}_m], \quad [a_n, \bar{a}_m] = \frac{i}{2\pi} \Lambda_{n-m},$$

where  $\Lambda_n \equiv \int_{S_L^1} dx \Lambda(x) e^{-2\pi i n x / L}$ .

$\implies$  Infinitely many **coupled** quantum harmonic oscillators.

**Special case:** If  $K(x) = K$ , then  $\Lambda_n = 0$  and the algebras **decouple**.

## Application: Transport in quantum wire

Consider a **quantum quench** turning off a smooth chemical-potential profile  $\mu(x)$  at  $t = 0$ . Suppose there is some finite  $\ell > 0$  so that

$$\mu(x), K(x), v(x) = \begin{cases} \mu_L, K_L, v_L & \text{for } x < -\ell, \\ \mu_R, K_R, v_R & \text{for } x > +\ell. \end{cases}$$

Due to universality of  $\frac{v(x)}{K(x)} \langle \rho \rangle$  and equilibrium before the quench:

$$\langle \rho(y, 0) \rangle = \frac{K(y)}{\pi v(y)} \mu(y), \quad \langle j(y, 0) \rangle = 0.$$

Inserted into the  $t \gg 1$  expression for  $j$ :

$$\lim_{t \rightarrow \infty} \langle j(x, t) \rangle = \frac{\mu_+ - \mu_-}{2\pi}$$

with  $\mu_+ = K_L \mu_L$  and  $\mu_- = K_R \mu_R$ .

