



**Universität
Zürich**^{UZH}

Topologically localized phases

Bastien Lapierre

In collaboration with

Titus Neupert, Piet Brouwer, and Luka Trifunovic

Phys. Rev. Lett. **129** (25), 256401 (2022), and work in progress

This talk...

This talk...

1) Anderson localization



This talk...

1) Anderson localization

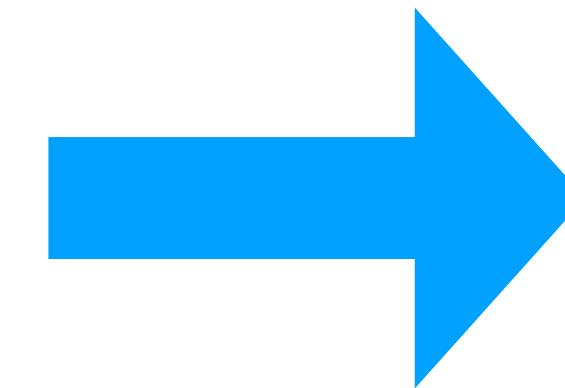
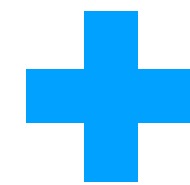


2) Topology



This talk...

1) Anderson localization

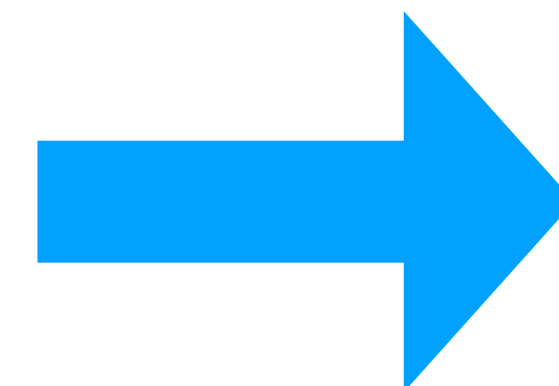


Topological insulator

2) Topology

This talk...

1) Anderson localization



Topologically Localized
Insulator (TLI)

2) Topology



B.L, T. Neupert, L. Trifunovic,
Phys. Rev. Lett. **129** (25), 256401 (2022),
“Topologically localized insulators”

Tenfold way topology

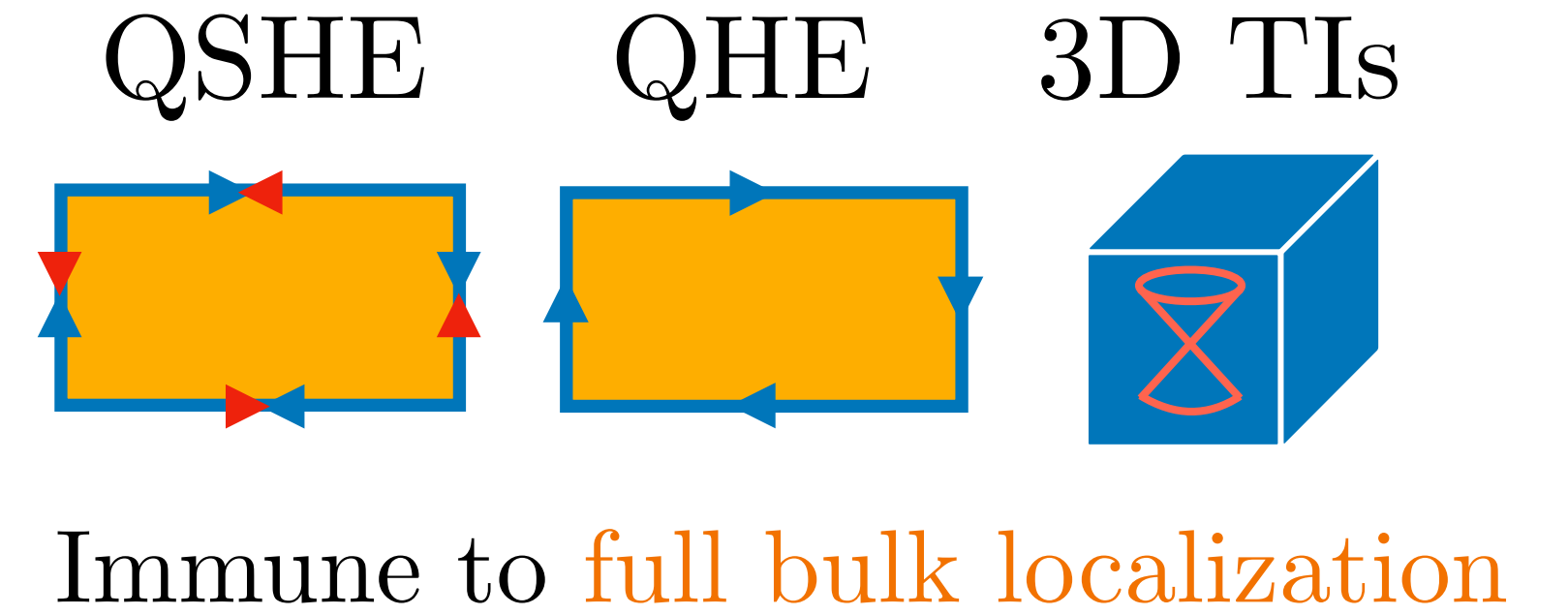
AZ	\mathcal{T}	\mathcal{P}	\mathcal{C}	1	2	3	4	5	6	7	8
A	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0

[A. P. Schnyder et al., Phys. Rev. B **78**, 195125]

Tenfold way topology

AZ	\mathcal{T}	\mathcal{P}	\mathcal{C}	1	2	3	4	5	6	7	8
A	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0

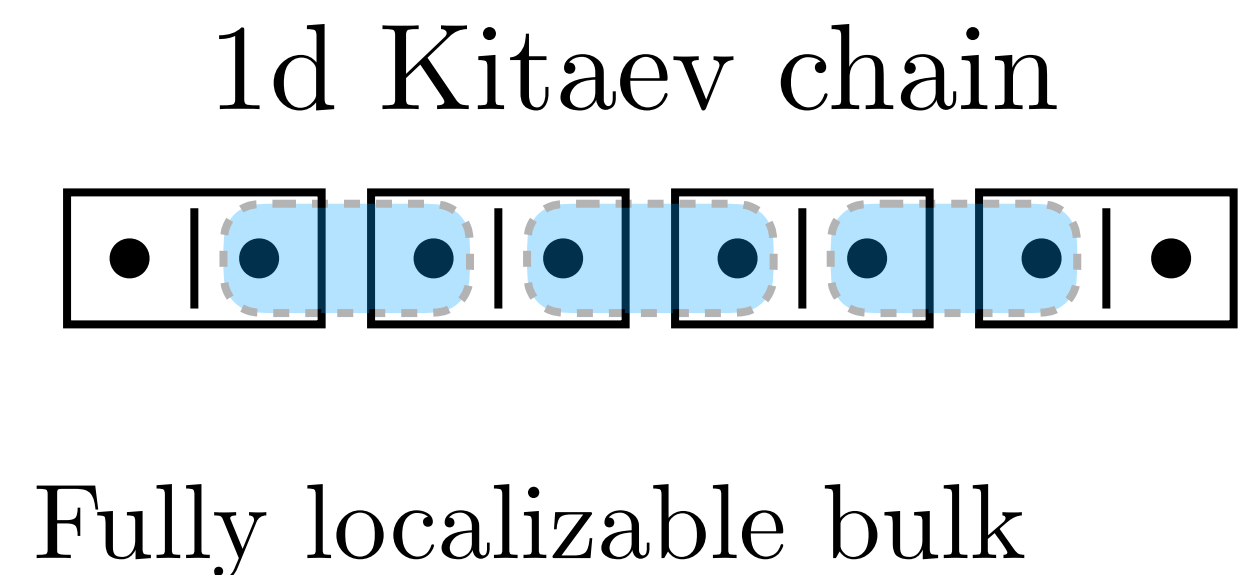
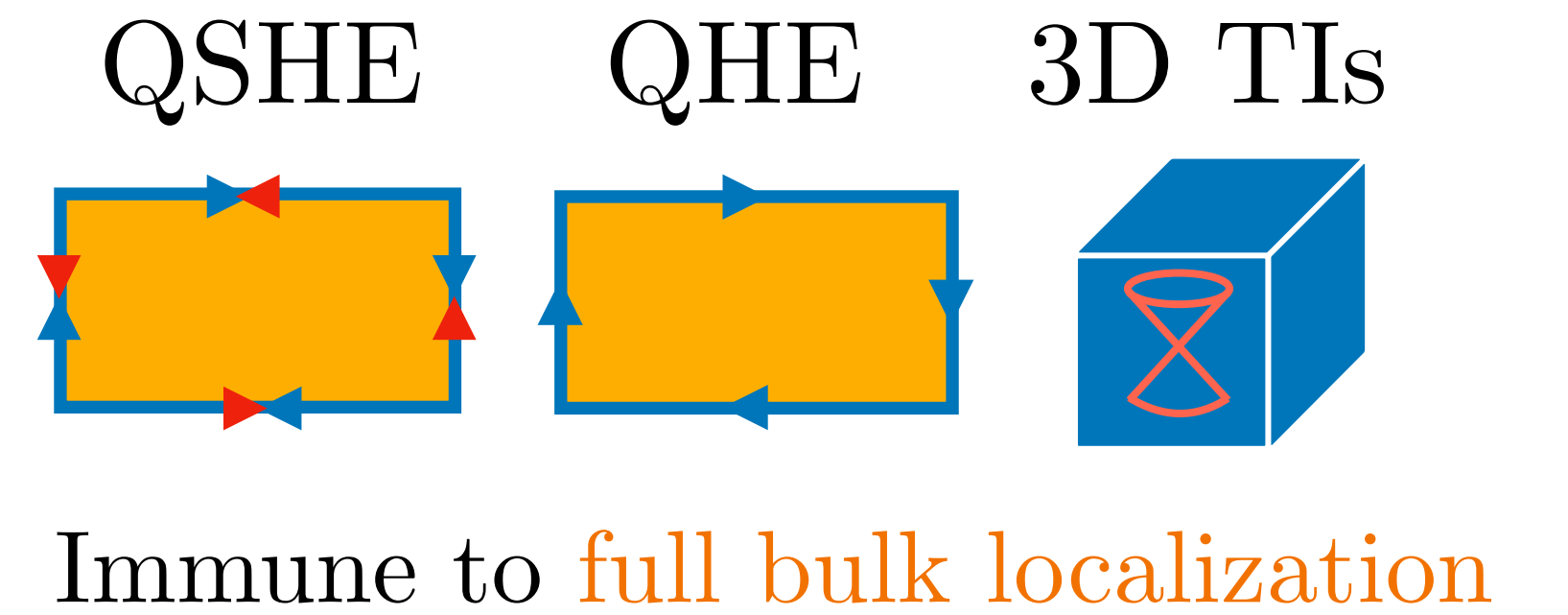
[A. P. Schnyder et al., Phys. Rev. B 78, 195125]



Tenfold way topology

AZ	\mathcal{T}	\mathcal{P}	\mathcal{C}	1	2	3	4	5	6	7	8
A	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0

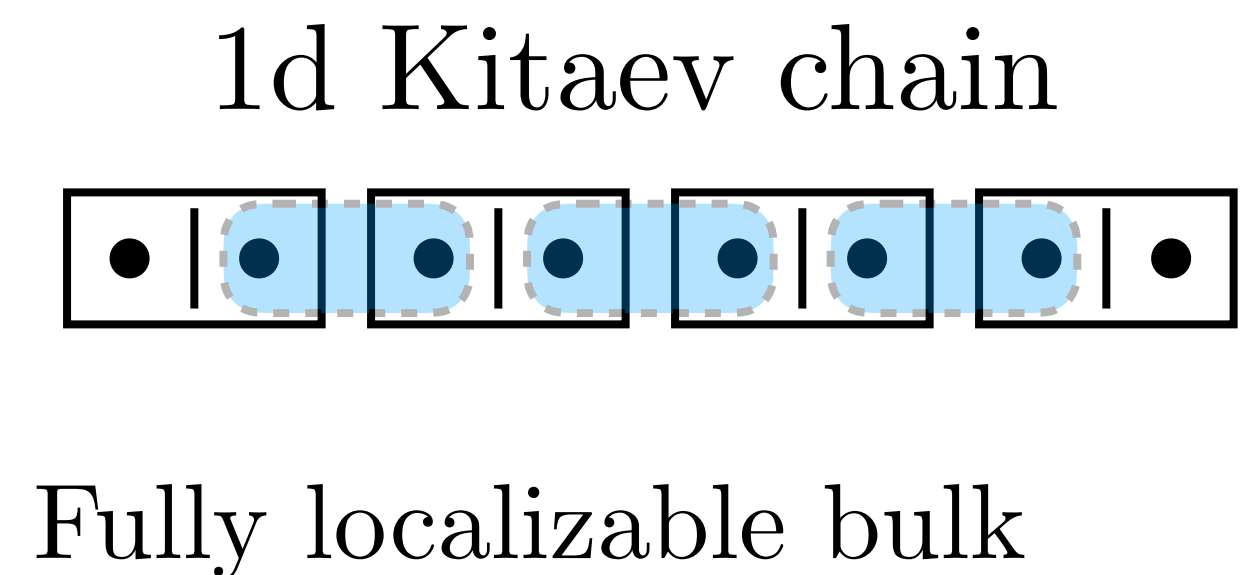
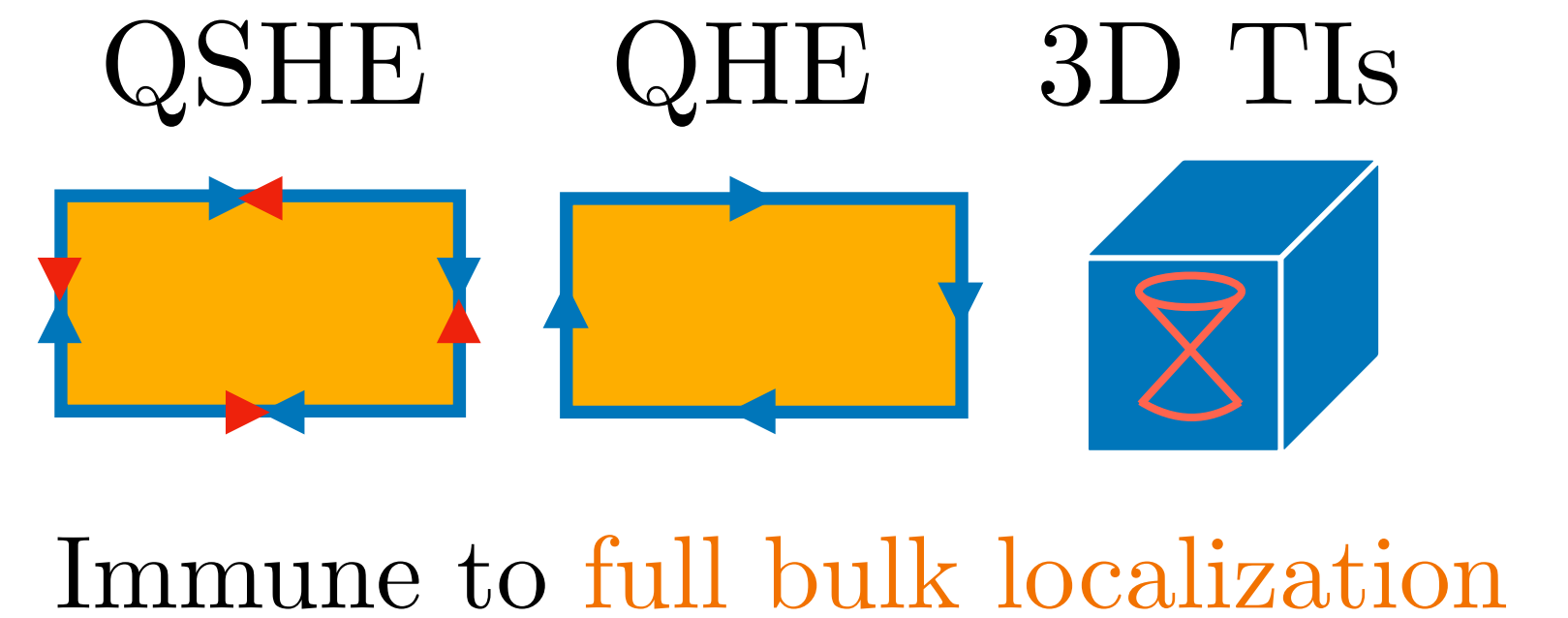
[A. P. Schnyder et al., Phys. Rev. B 78, 195125]



Tenfold way topology

AZ	\mathcal{T}	\mathcal{P}	\mathcal{C}	1	2	3	4	5	6	7	8
A	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0

[A. P. Schnyder et al., Phys. Rev. B 78, 195125]



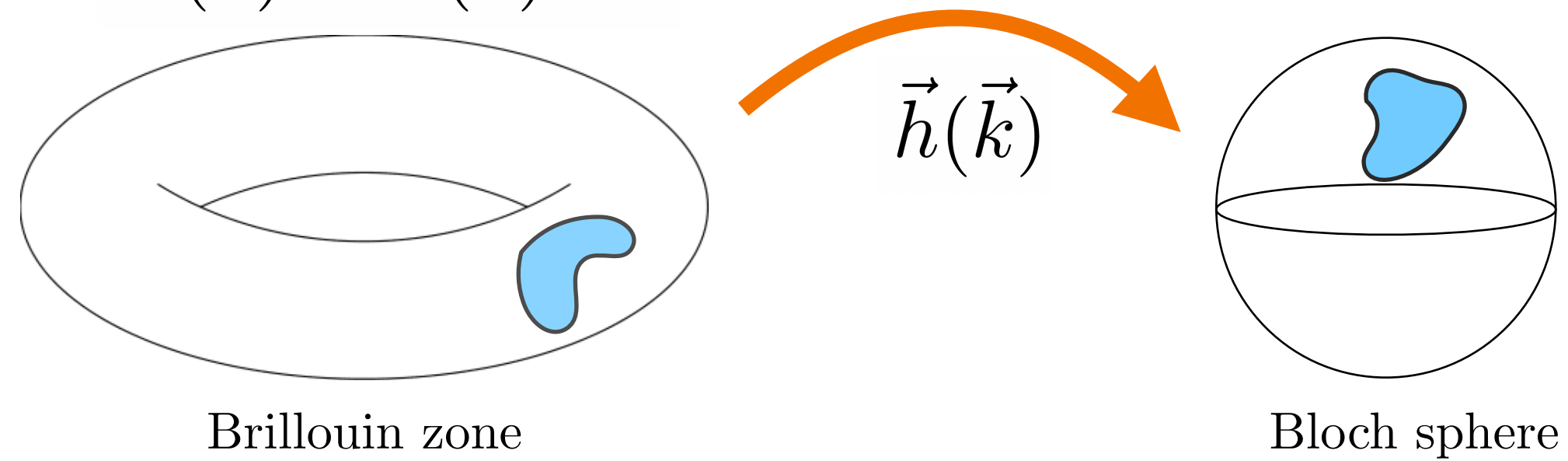
Can we **classify** topological phases with fully localized bulk?

Can we find **new** fully localized phases?

Chern insulators

2D Topological insulators in class A

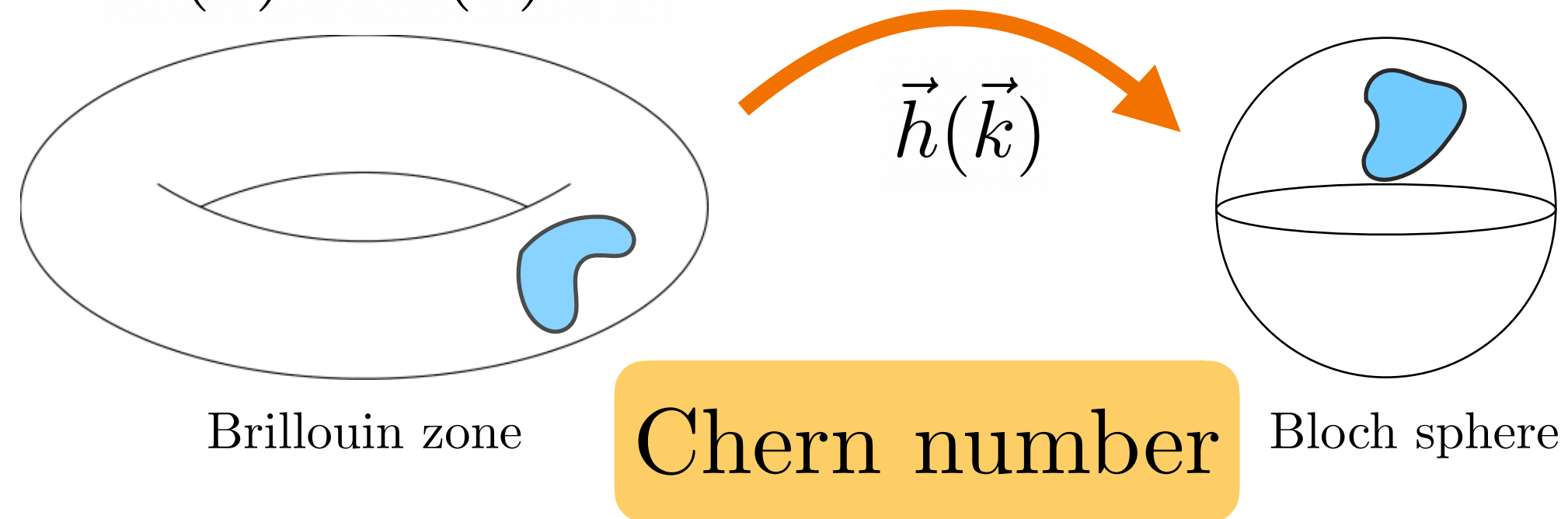
$$H(\vec{k}) = \vec{h}(\vec{k}) \cdot \vec{\sigma}$$



Chern insulators

2D Topological insulators in class A

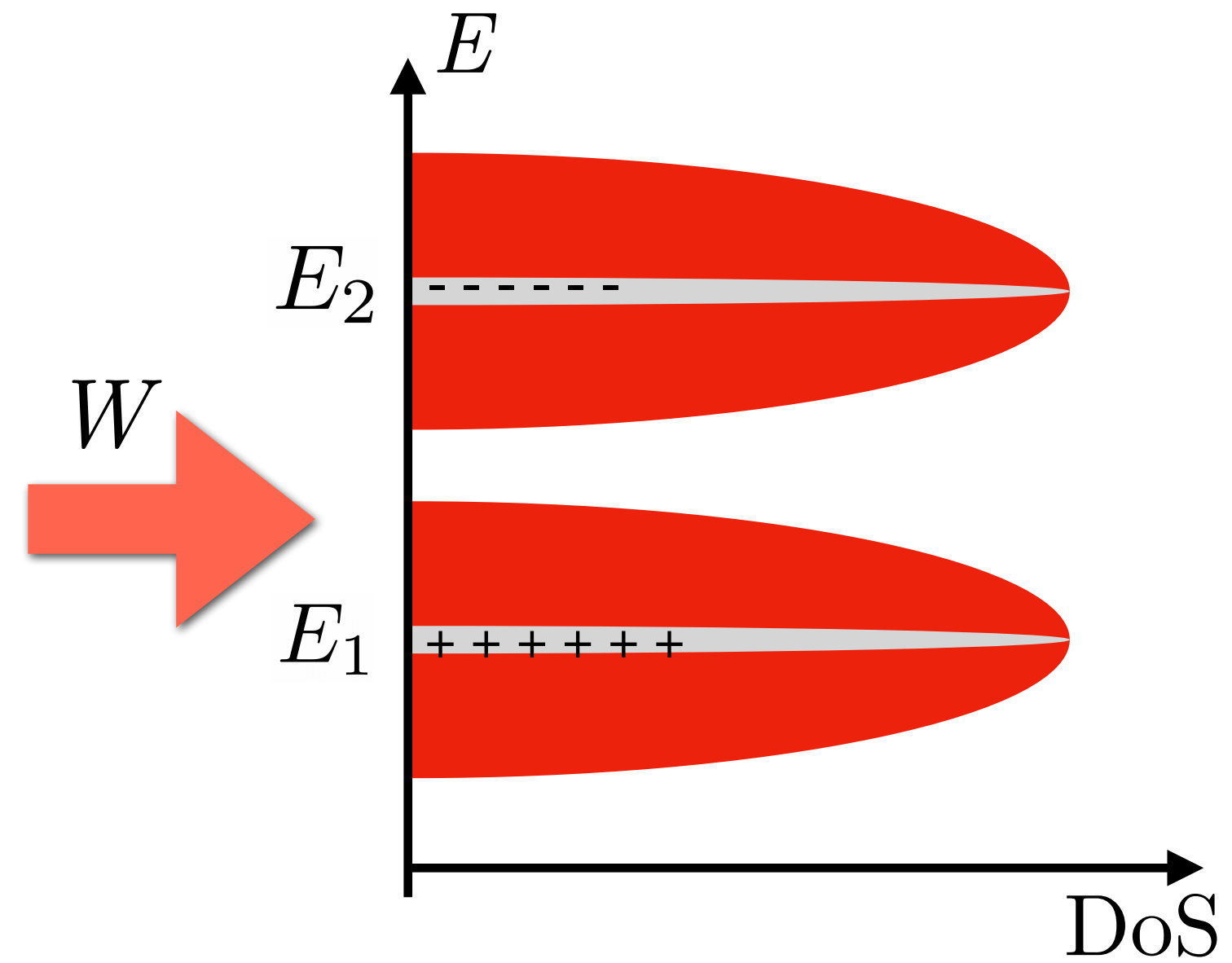
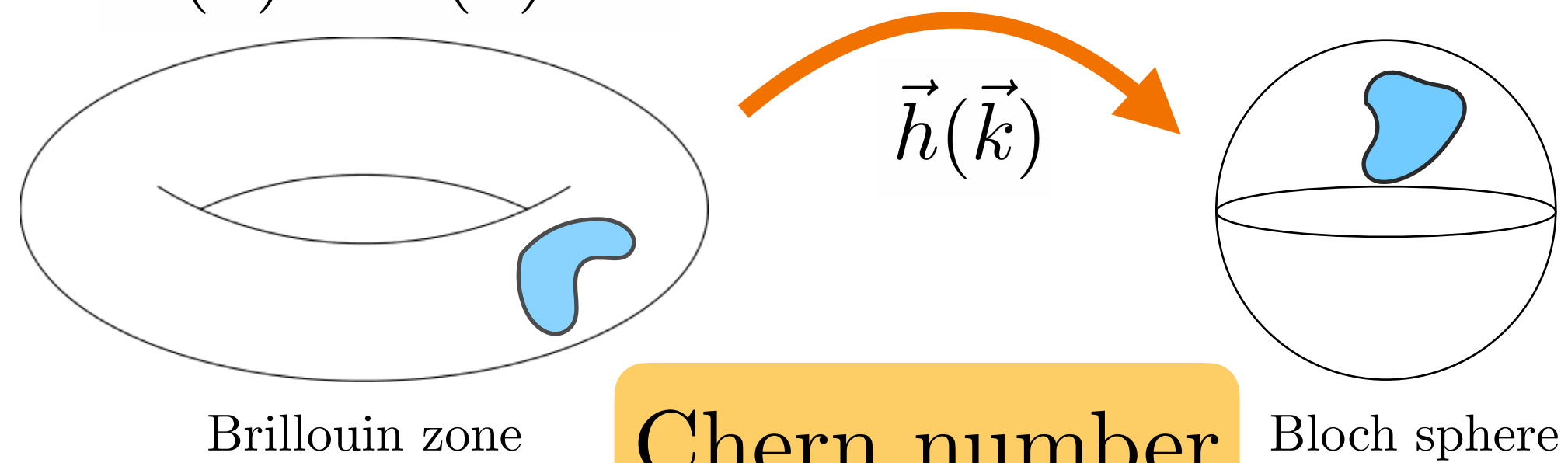
$$H(\vec{k}) = \vec{h}(\vec{k}) \cdot \vec{\sigma}$$



Chern insulators

2D Topological insulators in class A

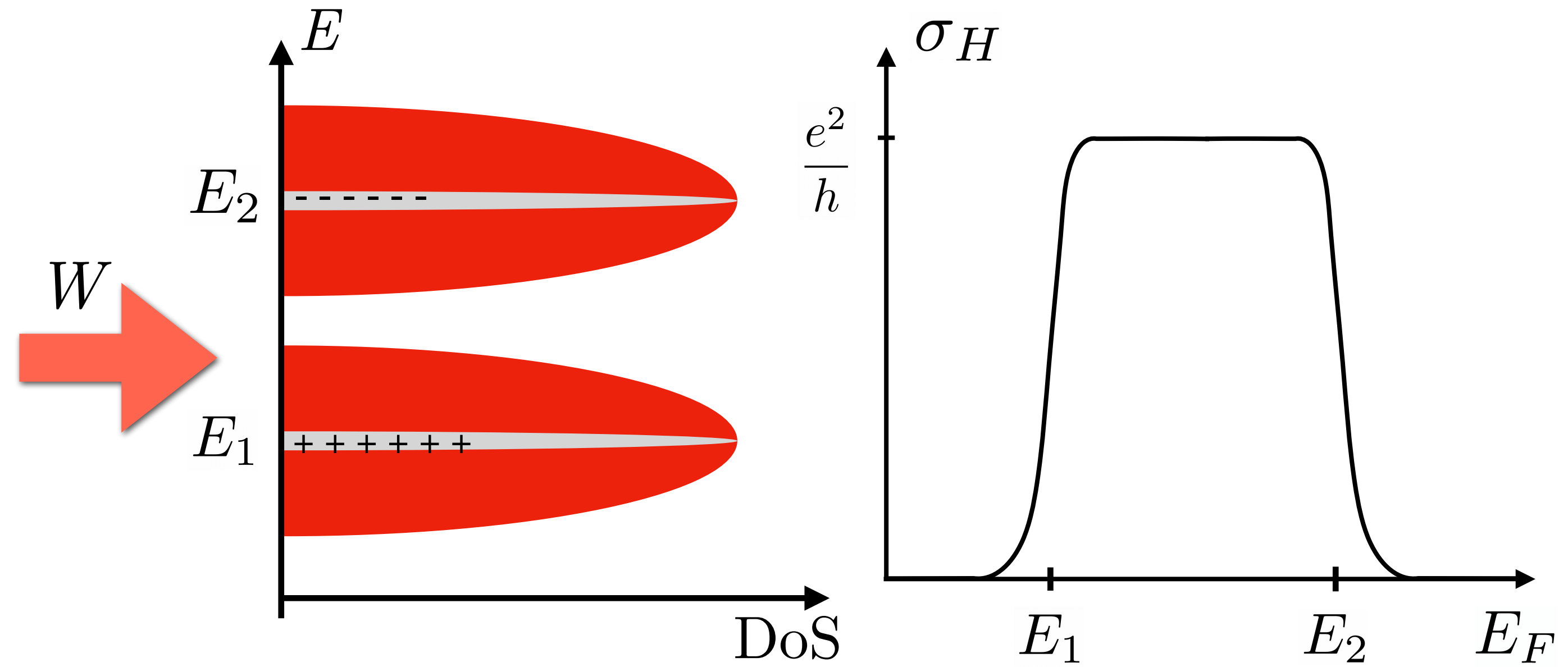
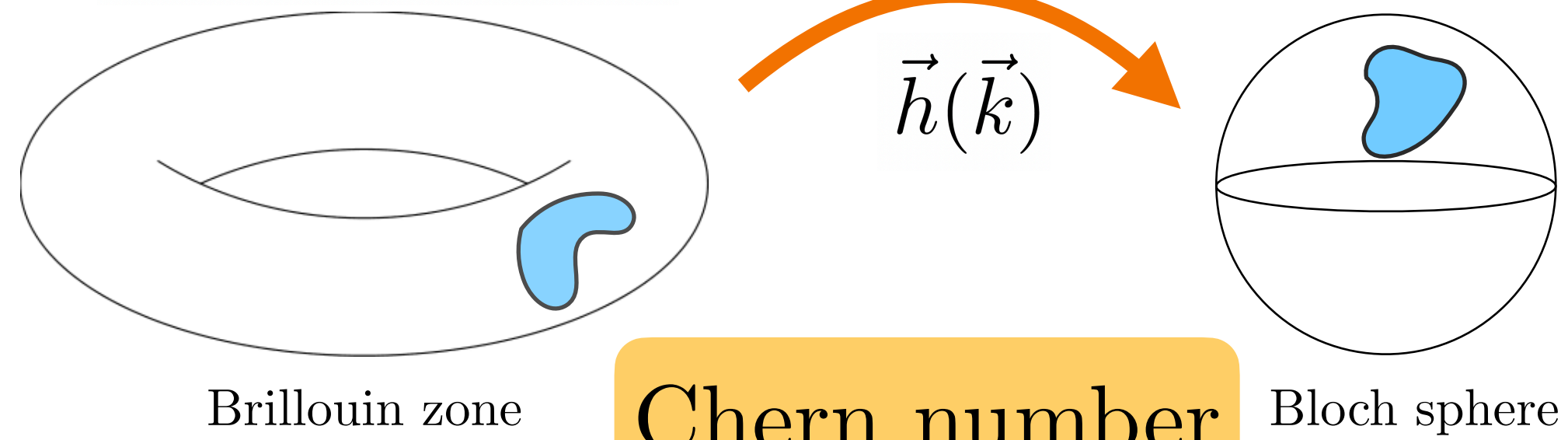
$$H(\vec{k}) = \vec{h}(\vec{k}) \cdot \vec{\sigma}$$



Chern insulators

2D Topological insulators in class A

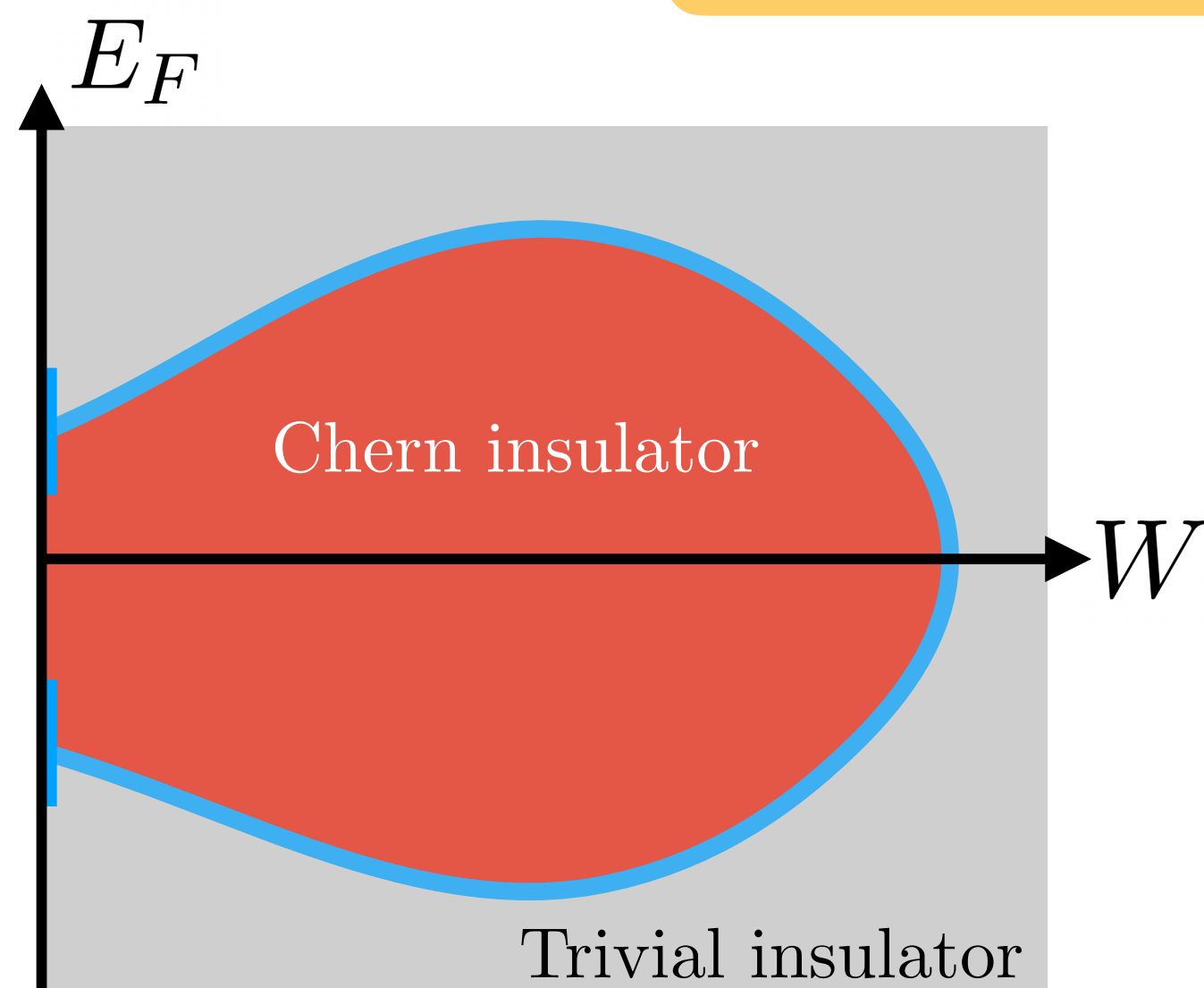
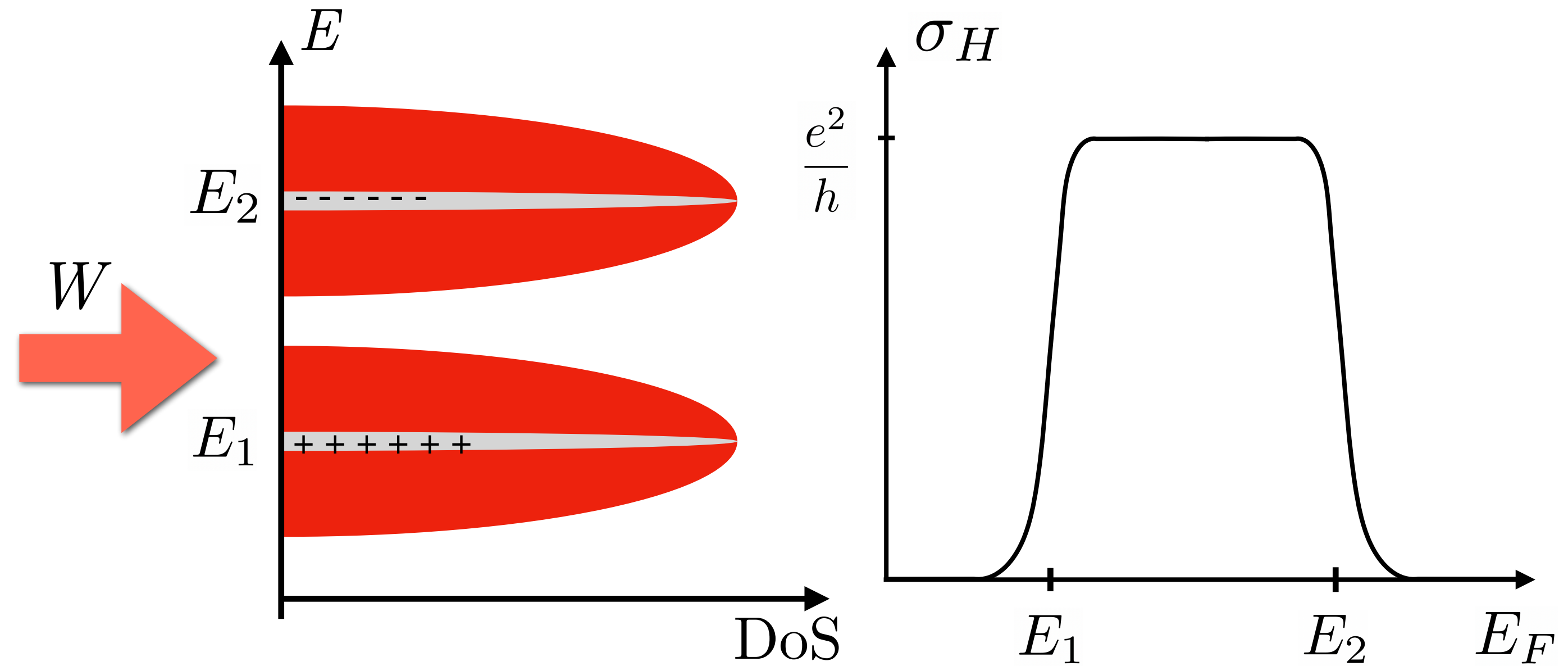
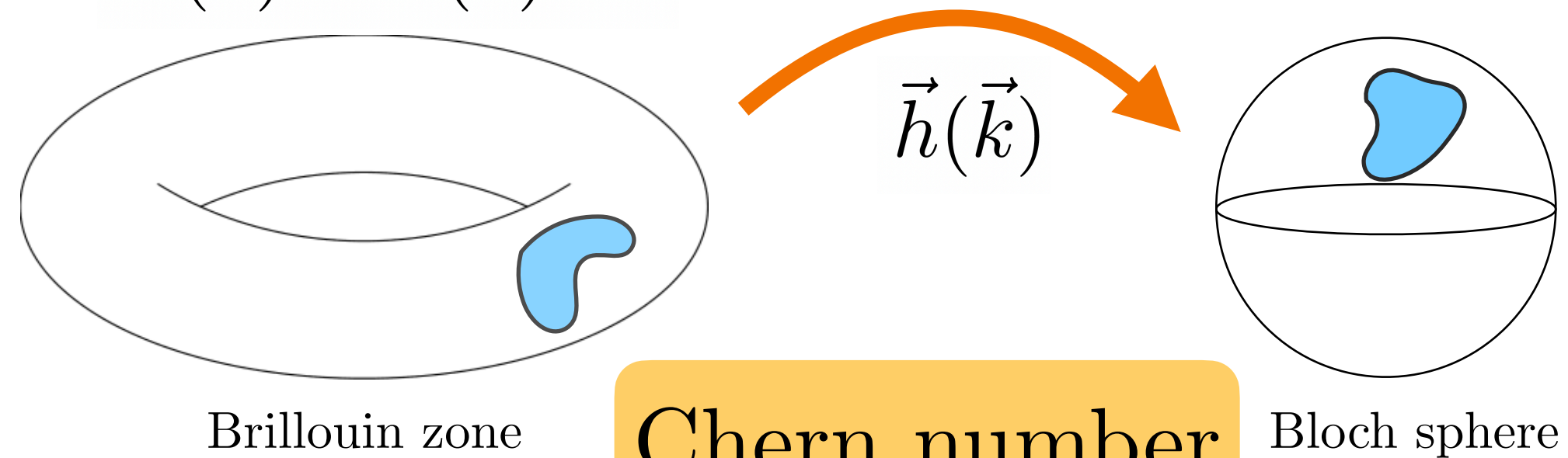
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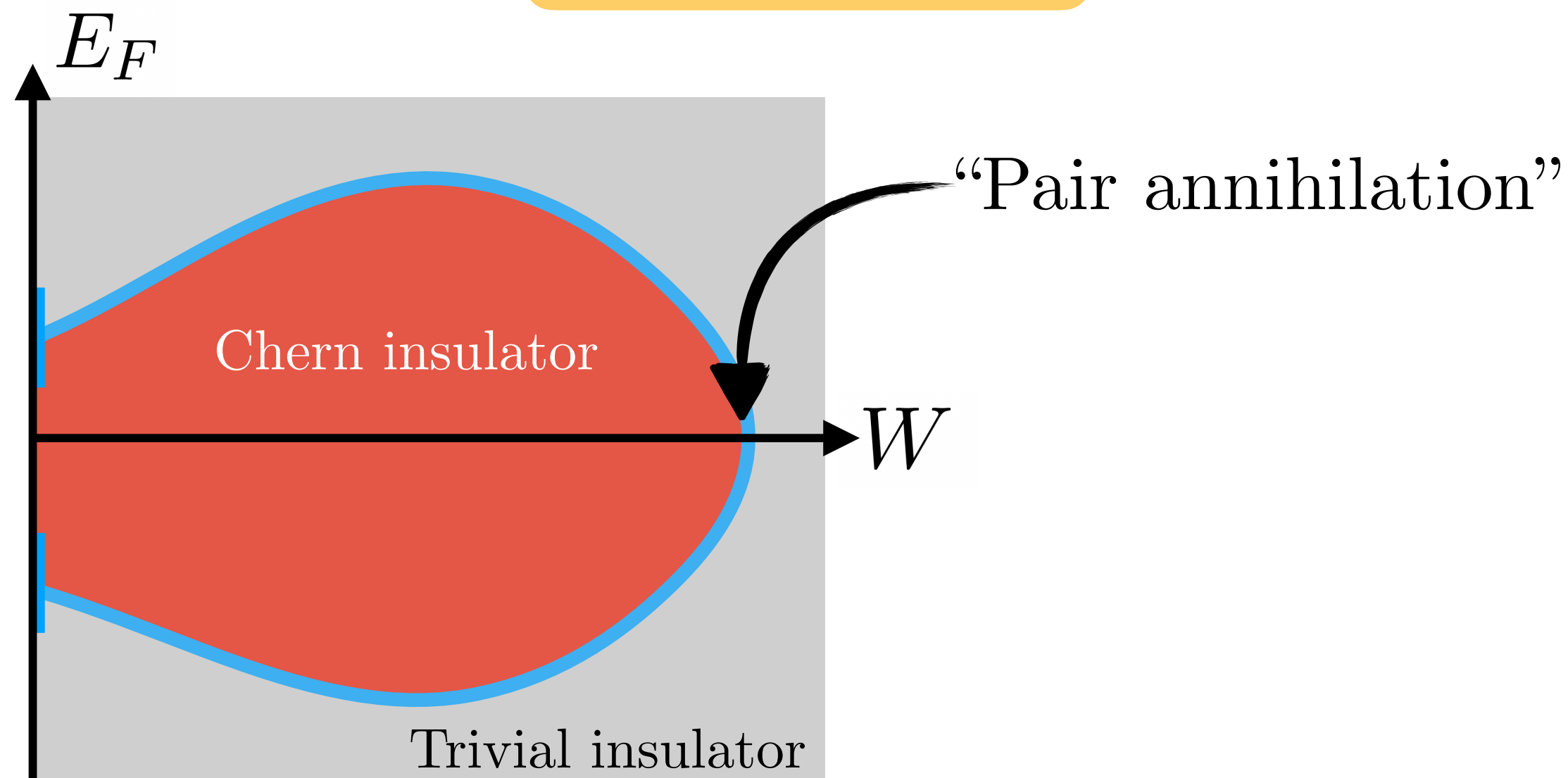
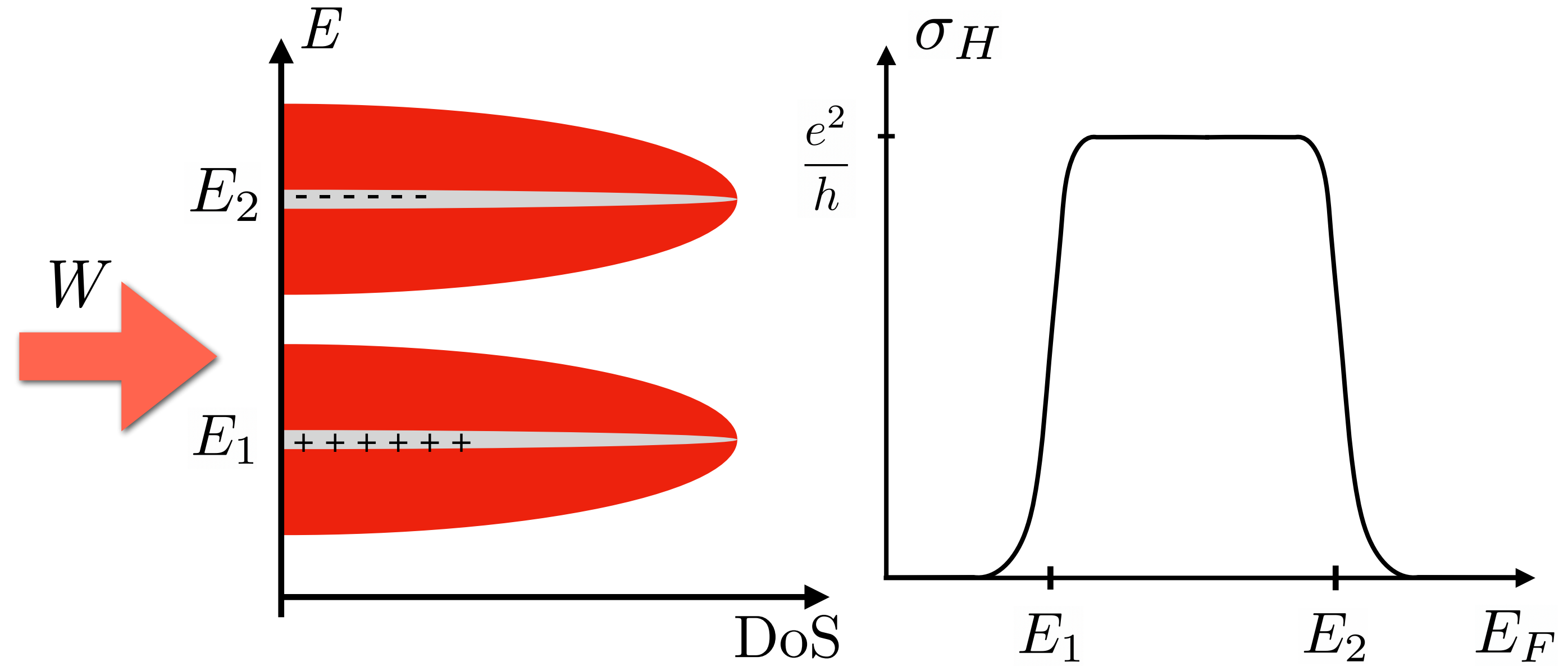
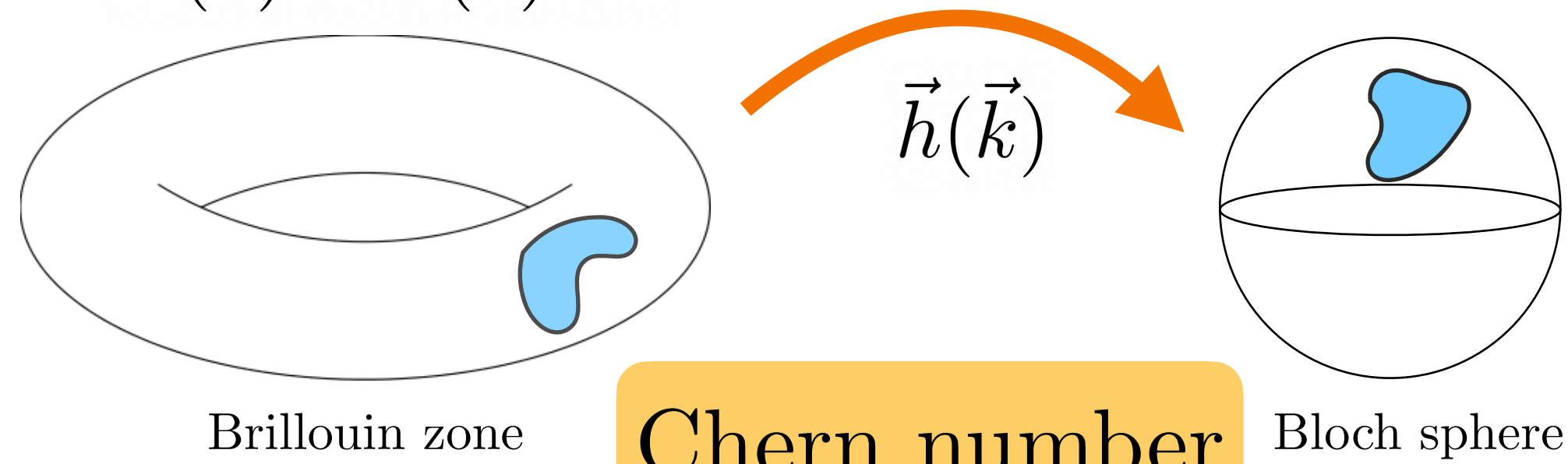


[E. Prodan, J. Phys. A: Math. Theor. 44, 113001 (2011)]

Chern insulators

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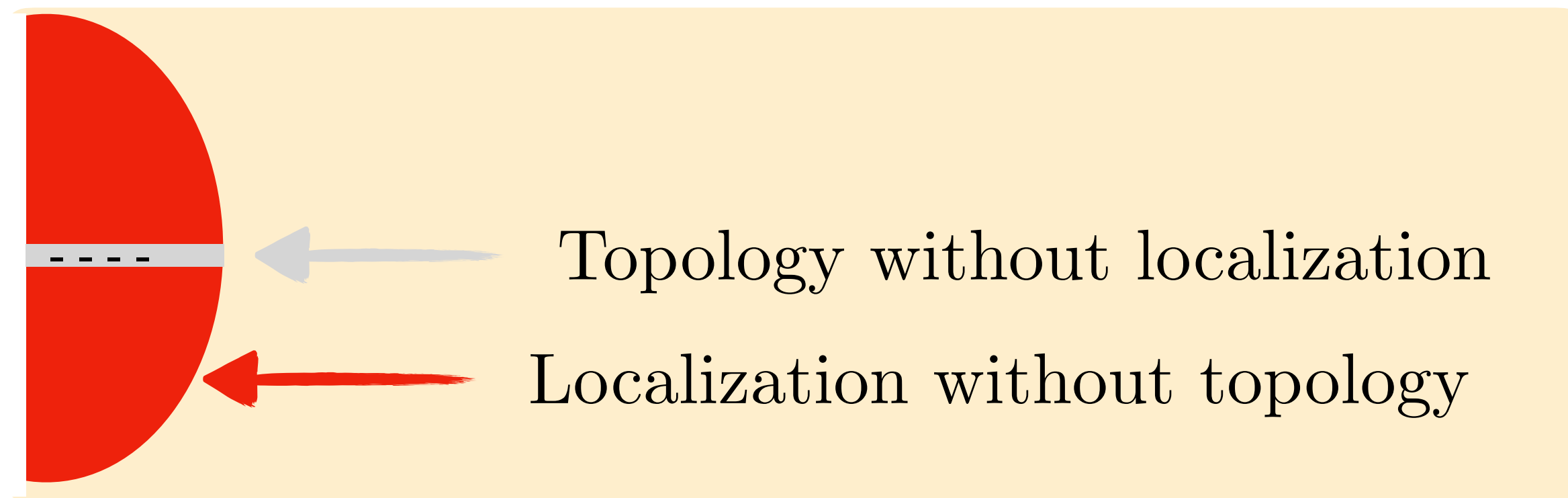
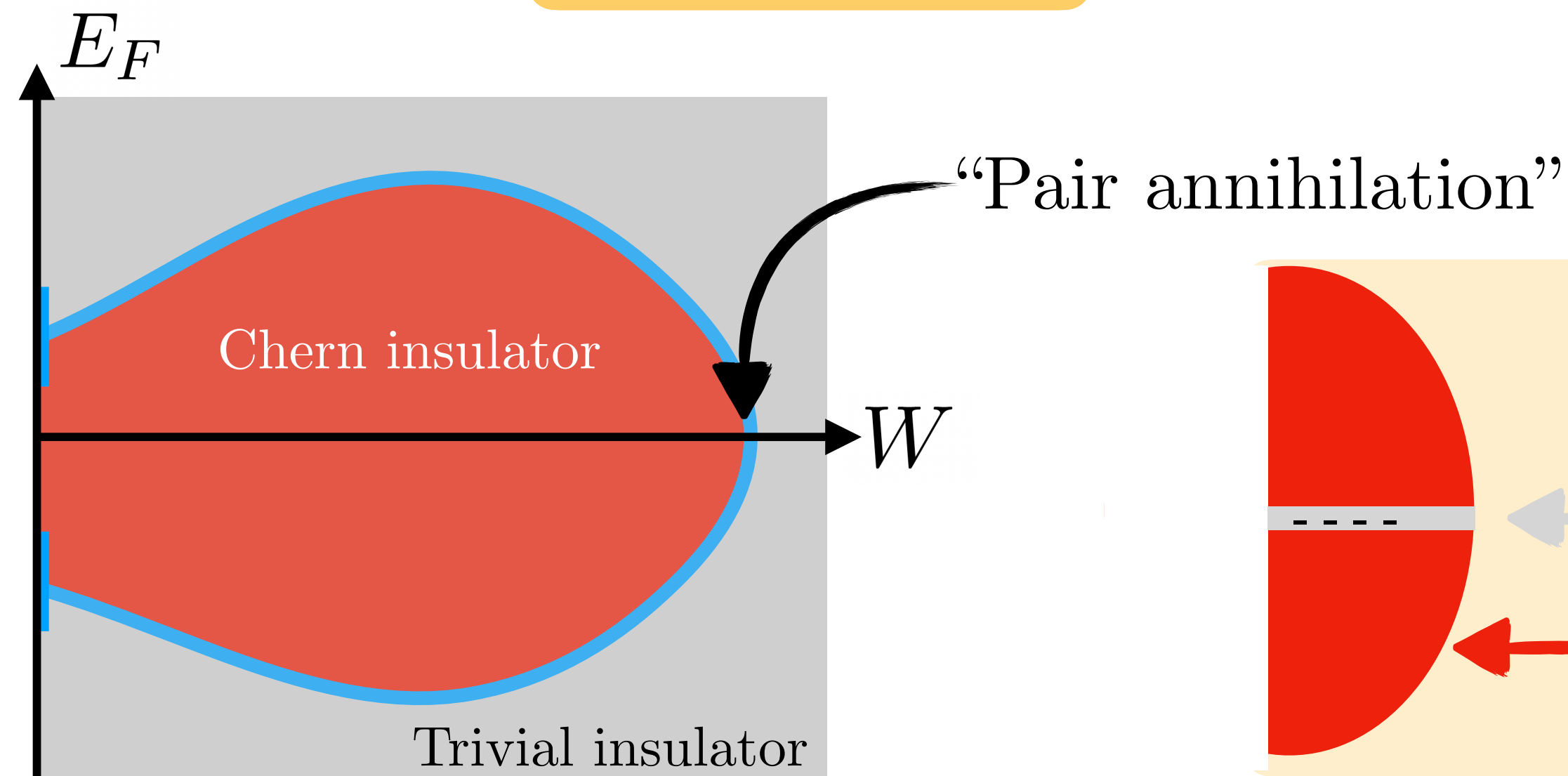
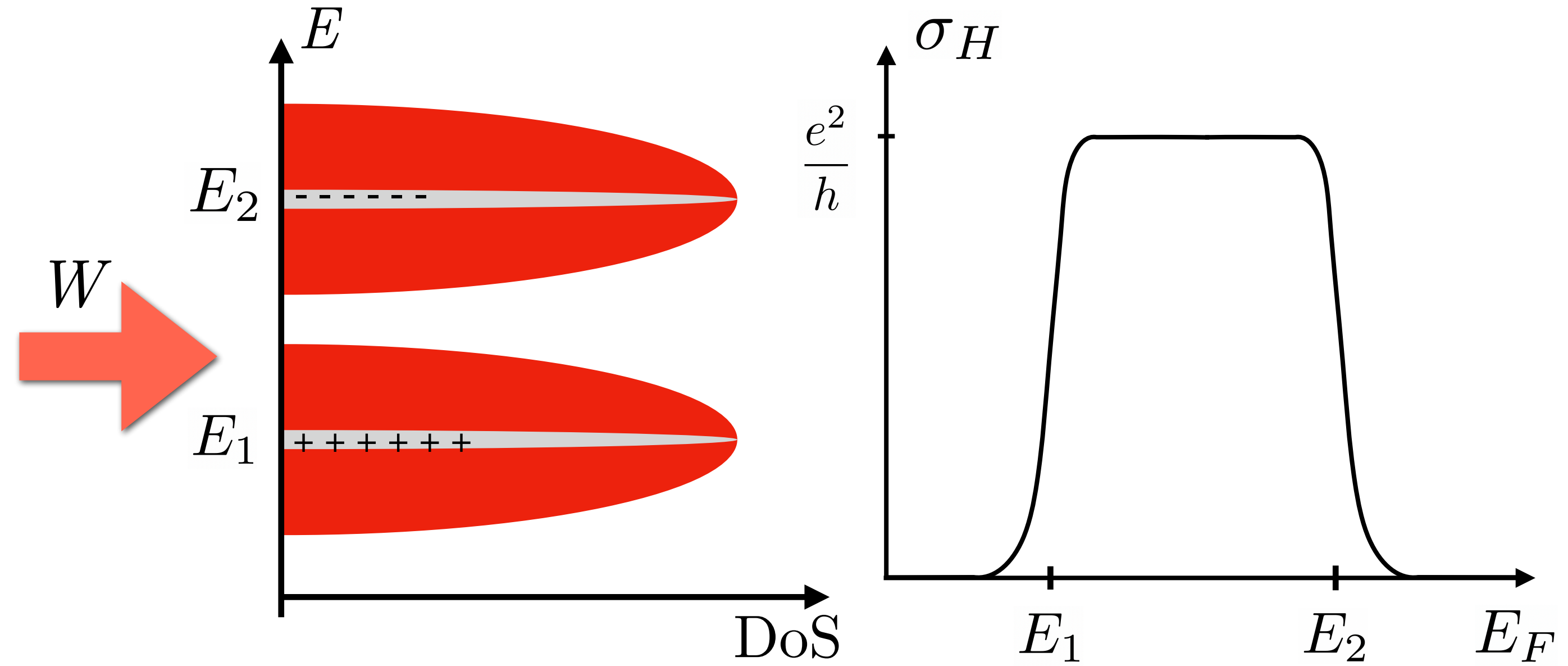
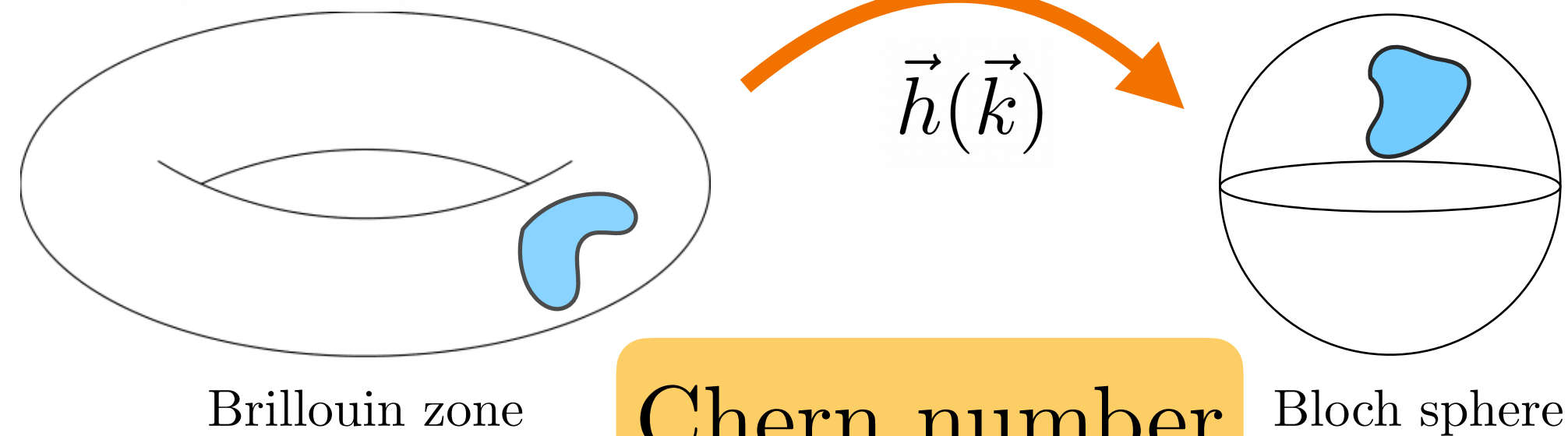


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Chern insulators

2D Topological insulators in class A

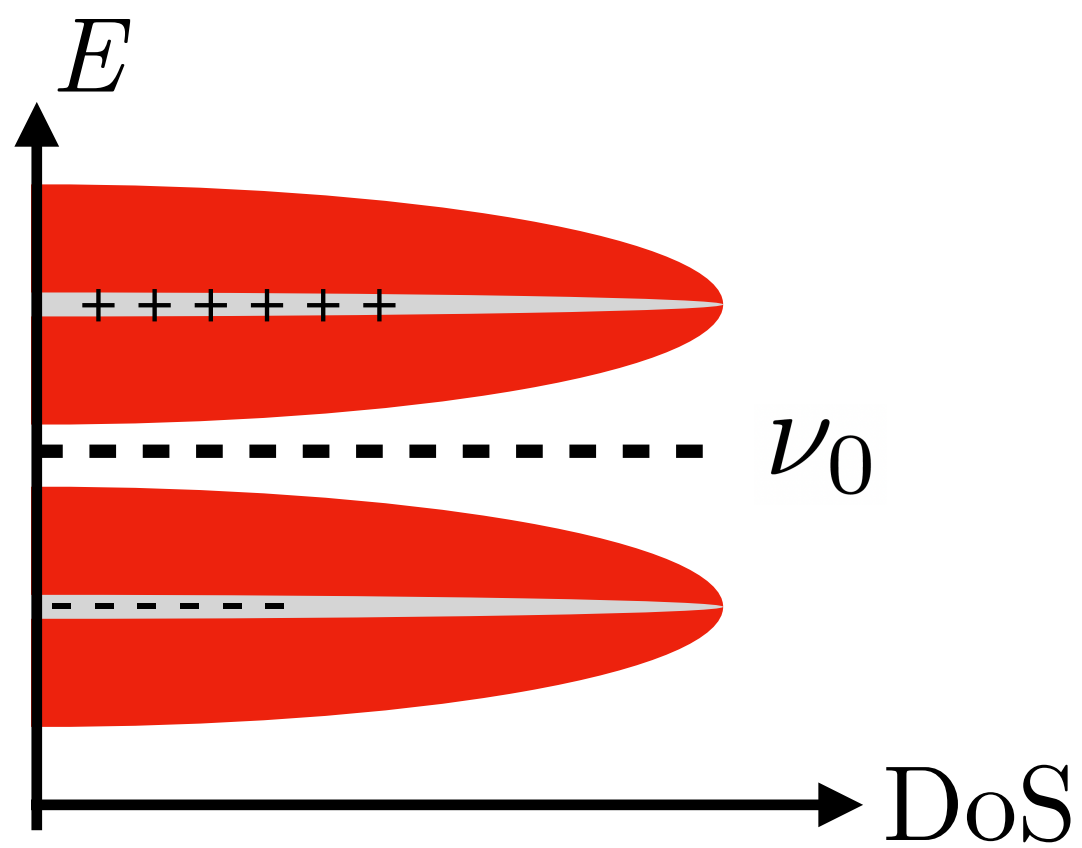
$$H(\vec{k}) = \vec{h}(\vec{k}) \cdot \vec{\sigma}$$



[E. Prodan, J. Phys. A: Math. Theor. 44, 113001 (2011)]

Topological Insulators (TIs)

class	\mathcal{T}	\mathcal{P}	\mathcal{C}	$d = 0$	$d = 1$	$d = 2$	$d = 3$
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}
AI	+	0	0	\mathbb{Z}	0	0	0
BDI	+	-	1	\mathbb{Z}_2	\mathbb{Z}	0	0
-	-	-	-	-	-	-	-



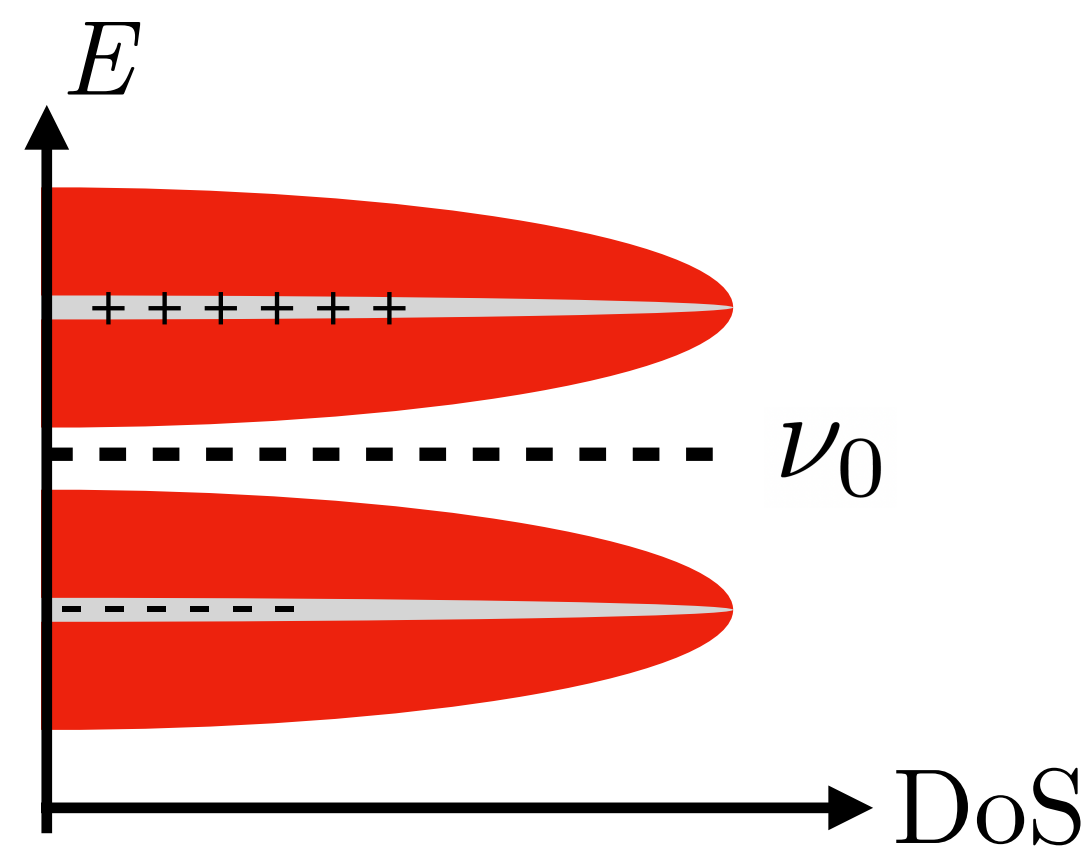
IQHE, Chern insulators,
QSHE, 3D TIs...

Applies to insulators, i.e., $\sigma_{xx} = 0$

(For fixed filling ν_0)

Topological Insulators (TIs)

class	\mathcal{T}	\mathcal{P}	\mathcal{C}	$d = 0$	$d = 1$	$d = 2$	$d = 3$
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}
AI	+	0	0	\mathbb{Z}	0	0	0
BDI	+	-	1	\mathbb{Z}_2	\mathbb{Z}	0	0



IQHE, Chern insulators,
QSHE, 3D TIs...

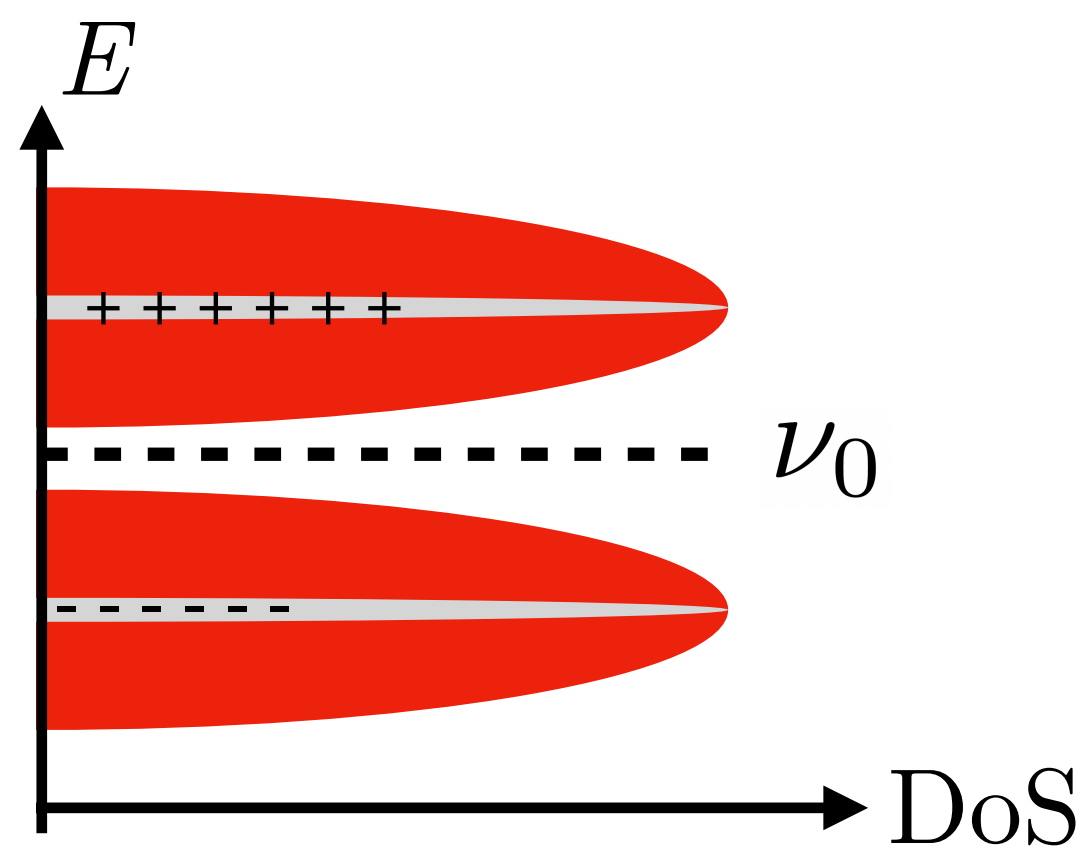
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Phase transition: $\sigma_{xx} \neq 0$ (For fixed filling ν_0)

Topological Insulators (TIs)

class	\mathcal{T}	\mathcal{P}	\mathcal{C}	$d=0$	$d=1$	$d=2$	$d=3$
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}
AI	+	0	0	\mathbb{Z}	0	0	0
BDI	+	-	1	\mathbb{Z}_2	\mathbb{Z}	0	0



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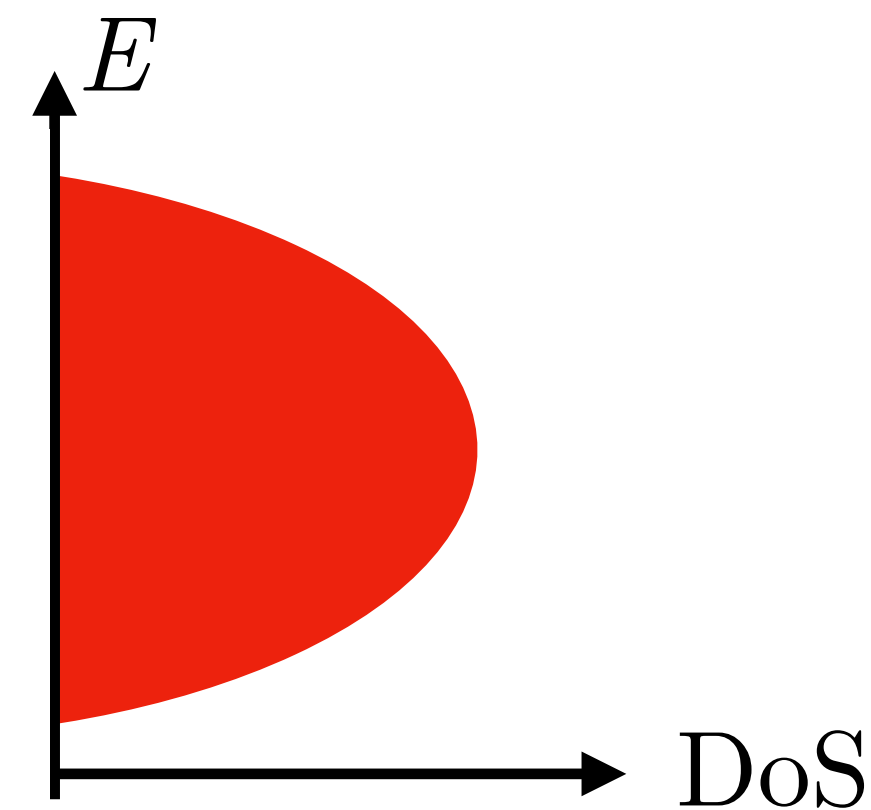
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Topologically Localized Insulators (TLIs)

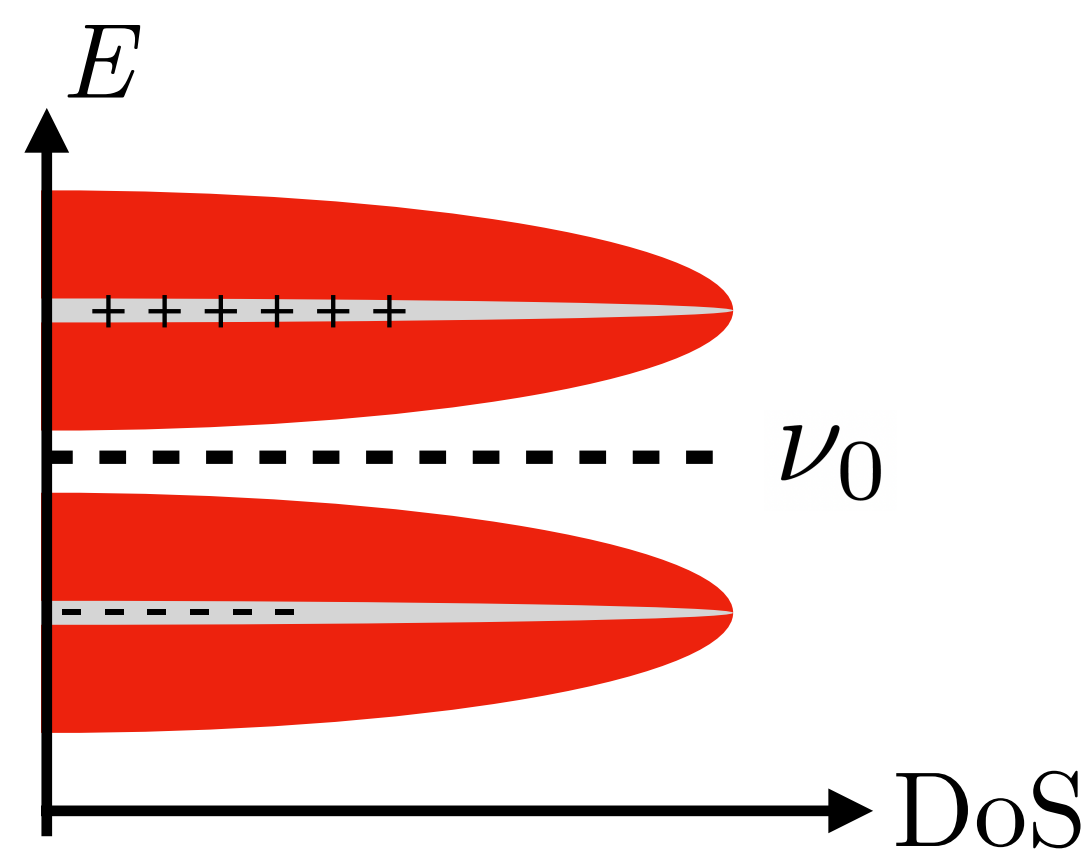
class	\mathcal{T}	\mathcal{P}	\mathcal{C}	$d=0$	$d=1$	$d=2$	$d=3$
A	0	0	0	?	?	?	\mathbb{Z}
AIII	0	0	1	?	?	?	?
AI	+	0	0	?	?	?	?
BDI	+	-	1	?	?	?	?



Full Anderson localization
& non-trivial topology

Topological Insulators (TIs)

class	\mathcal{T}	\mathcal{P}	\mathcal{C}	$d=0$	$d=1$	$d=2$	$d=3$
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}
AI	+	0	0	\mathbb{Z}	0	0	0
BDI	+	-	1	\mathbb{Z}_2	\mathbb{Z}	0	0



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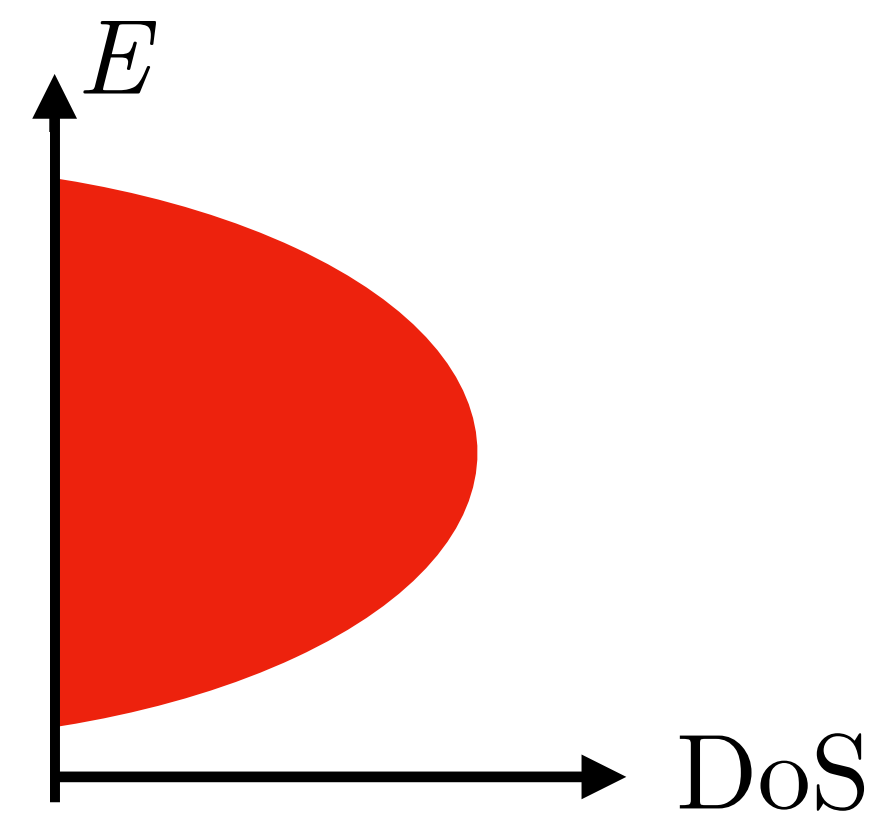
Applies to insulators, i.e., $\sigma_{xx} = 0$

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Topologically Localized Insulators (TLIs)

class	\mathcal{T}	\mathcal{P}	\mathcal{C}	$d=0$	$d=1$	$d=2$	$d=3$
A	0	0	0	?	?	?	\mathbb{Z}
AIII	0	0	1	?	?	?	?
AI	+	0	0	?	?	?	?
BDI	+	-	1	?	?	?	?



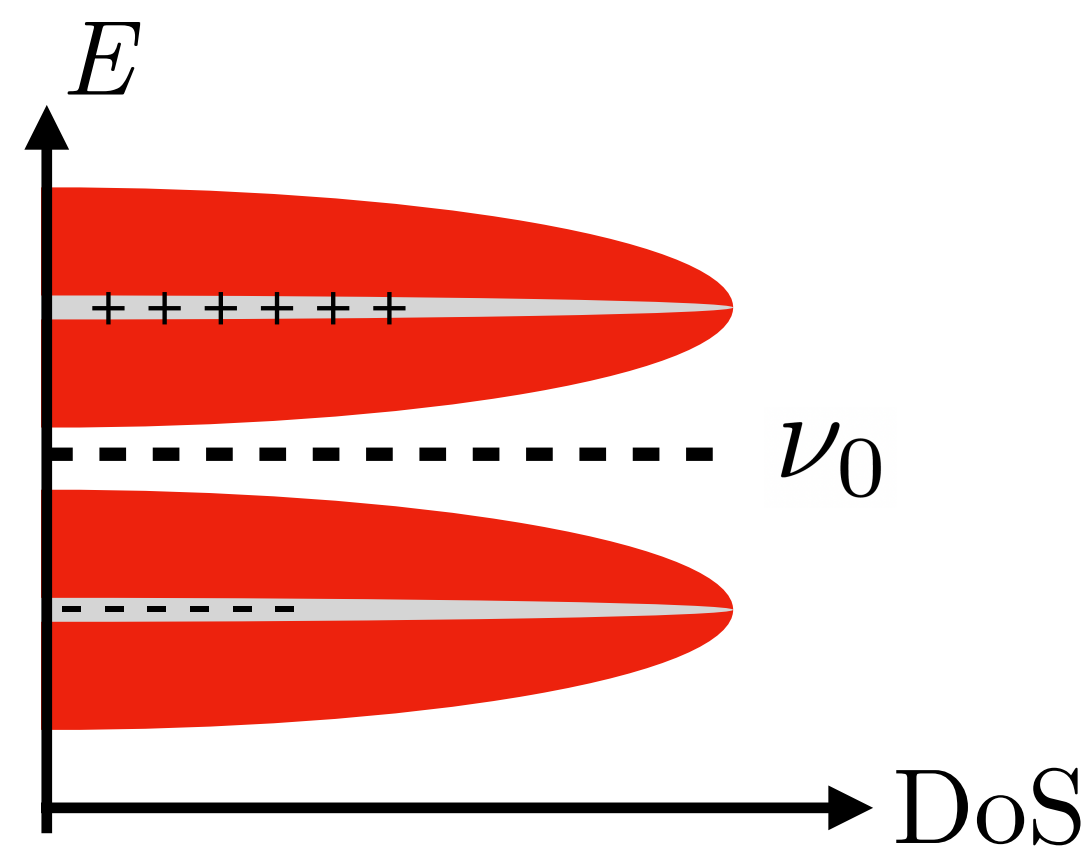
Full Anderson localization
& non-trivial topology

Applies to **fully** localized insulators, i.e.,

$$\sigma_{xx} = 0 \quad \forall \nu$$

Topological Insulators (TIs)

class	\mathcal{T}	\mathcal{P}	\mathcal{C}	$d=0$	$d=1$	$d=2$	$d=3$
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}
AI	+	0	0	\mathbb{Z}	0	0	0
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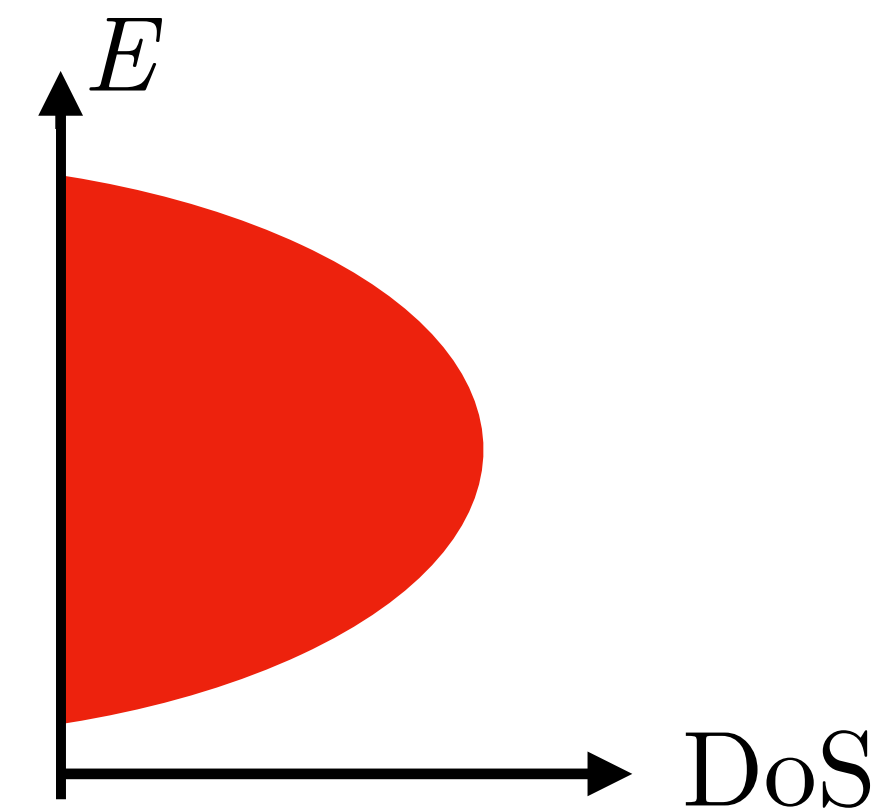
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(For fixed filling ν_0)

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A	0	0	0	?	?	?	\mathbb{Z}
AIII	0	0	1	?	?	?	?
AI	+	0	0	?	?	?	?
BDI	+	-	1	?	?	?	?



Full Anderson localization
& non-trivial topology

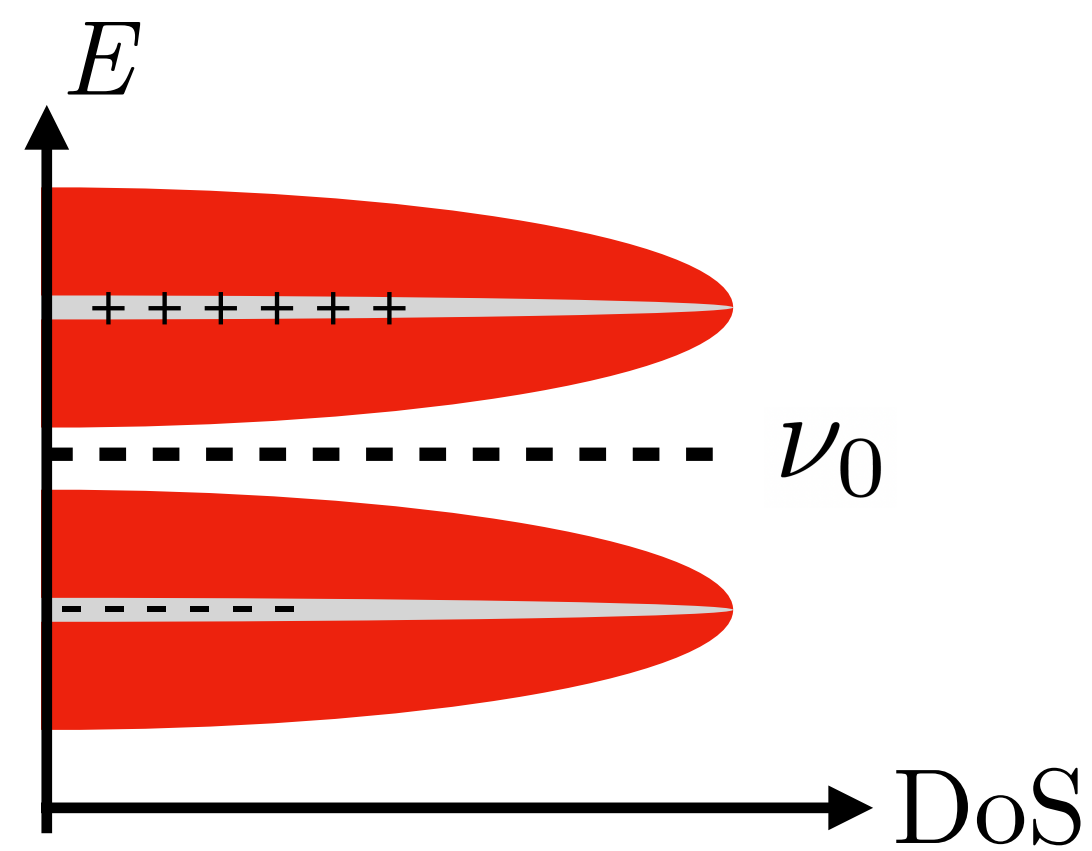
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A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}
AI	+	0	0	\mathbb{Z}	0	0	0
BDI	+	-	1	\mathbb{Z}_2	\mathbb{Z}	0	0



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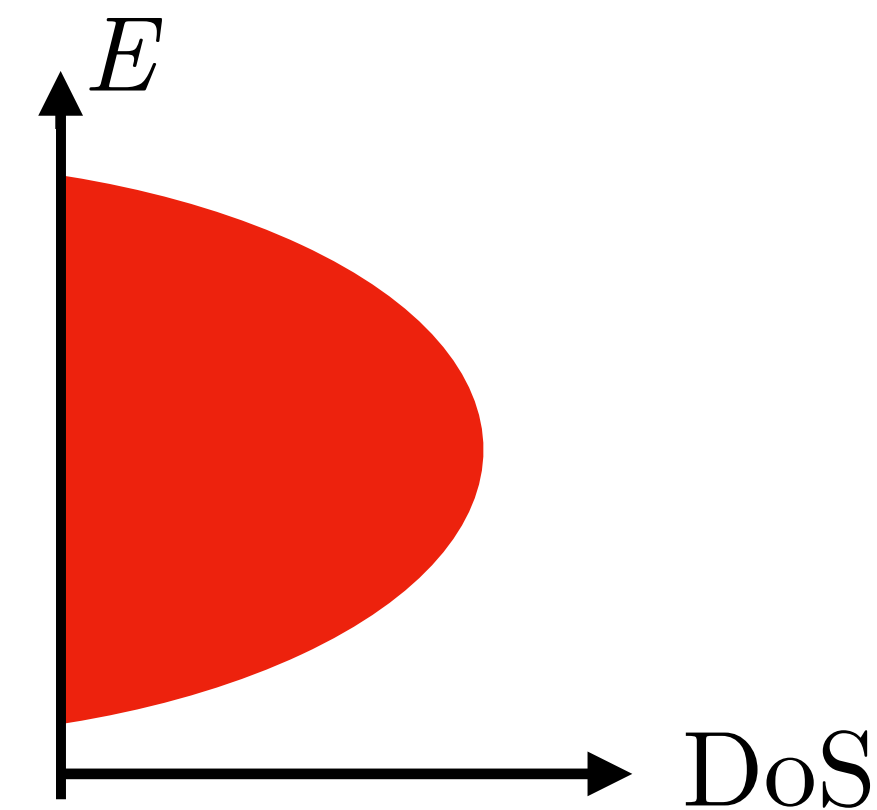
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Topologically Localized Insulators (TLIs)

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A	0	0	0	?	?	?	\mathbb{Z}
AIII	0	0	1	?	?	?	?
AI	+	0	0	?	?	?	?
BDI	+	-	1	?	?	?	?



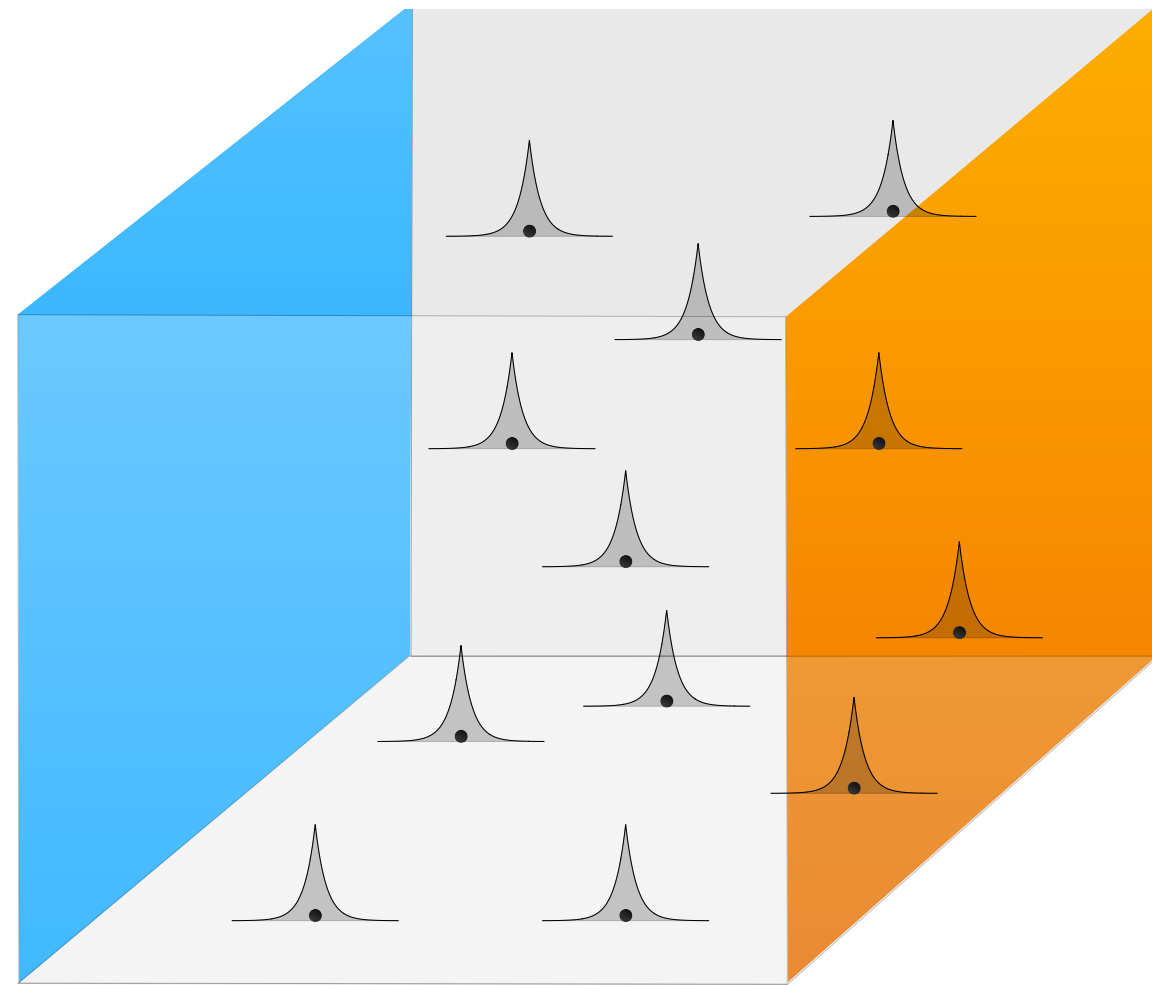
Full Anderson localization
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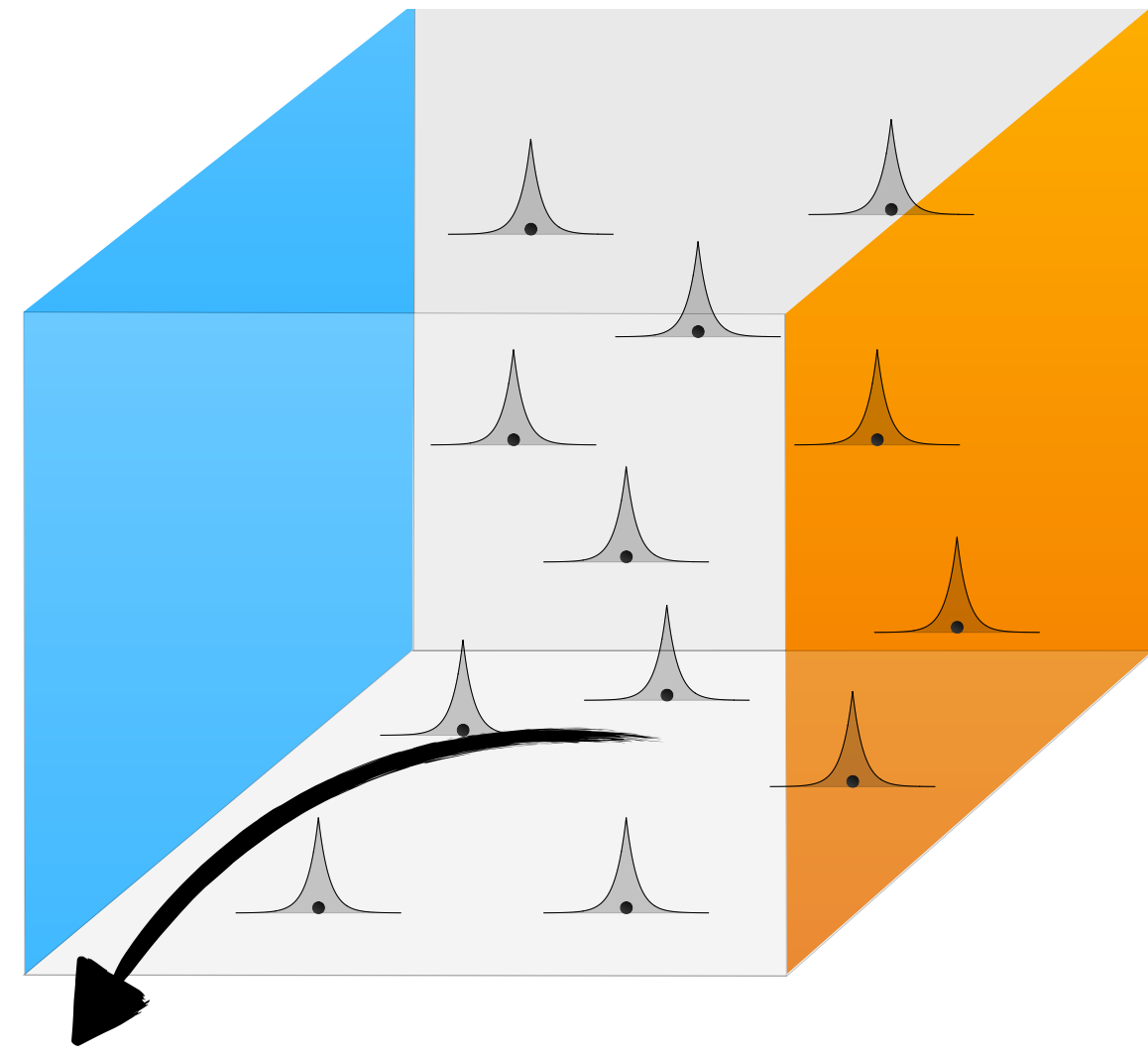
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Topologically Localized Insulators

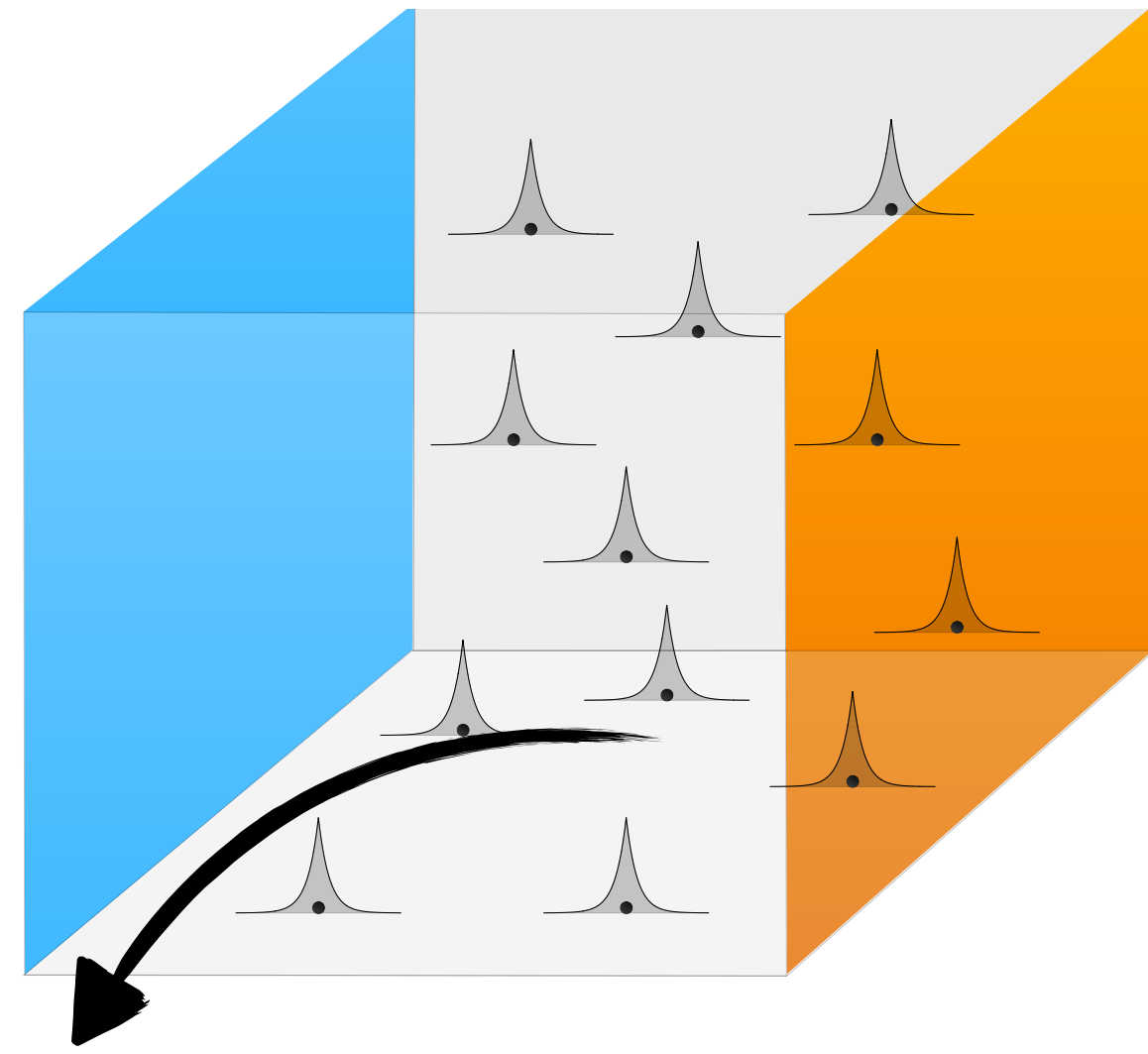


Topologically Localized Insulators



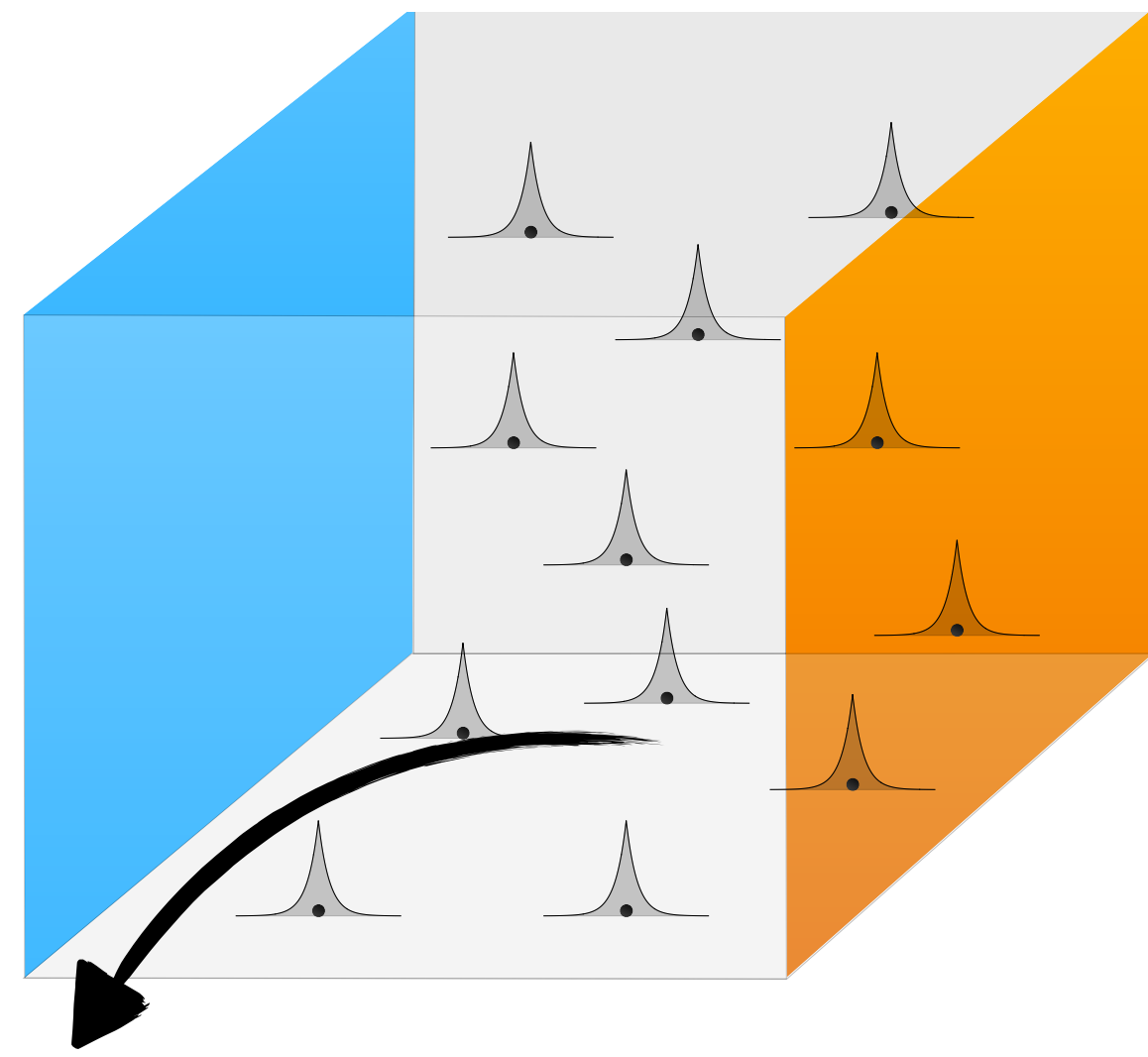
$$\vec{P} = \hat{\alpha}_{\text{ME}} \vec{B}$$

Topologically Localized Insulators

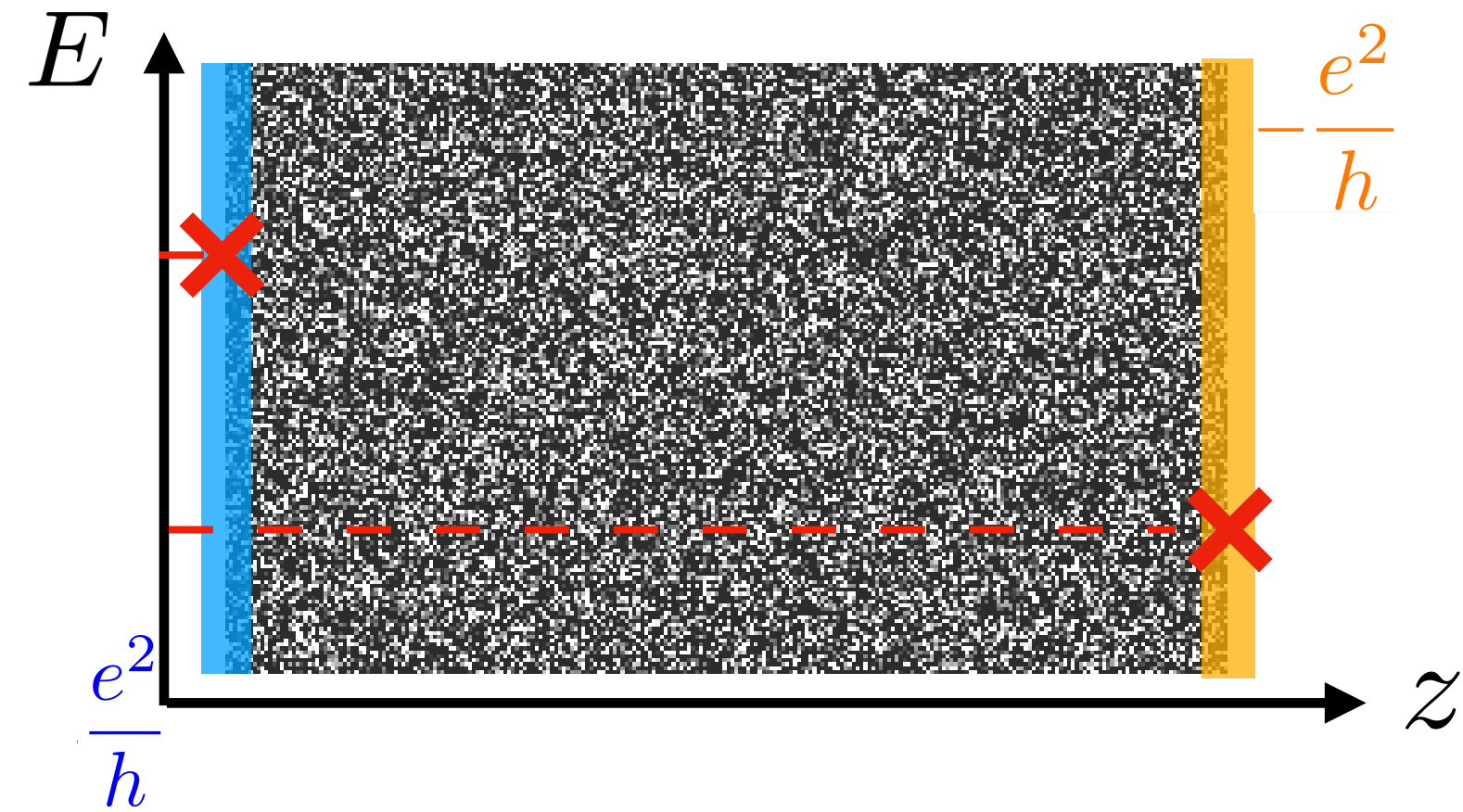


$$\vec{P} = \hat{\alpha}_{\text{ME}} \vec{B} \quad \alpha_{\text{ME}} \text{ quantized to integer value}$$

Topologically Localized Insulators

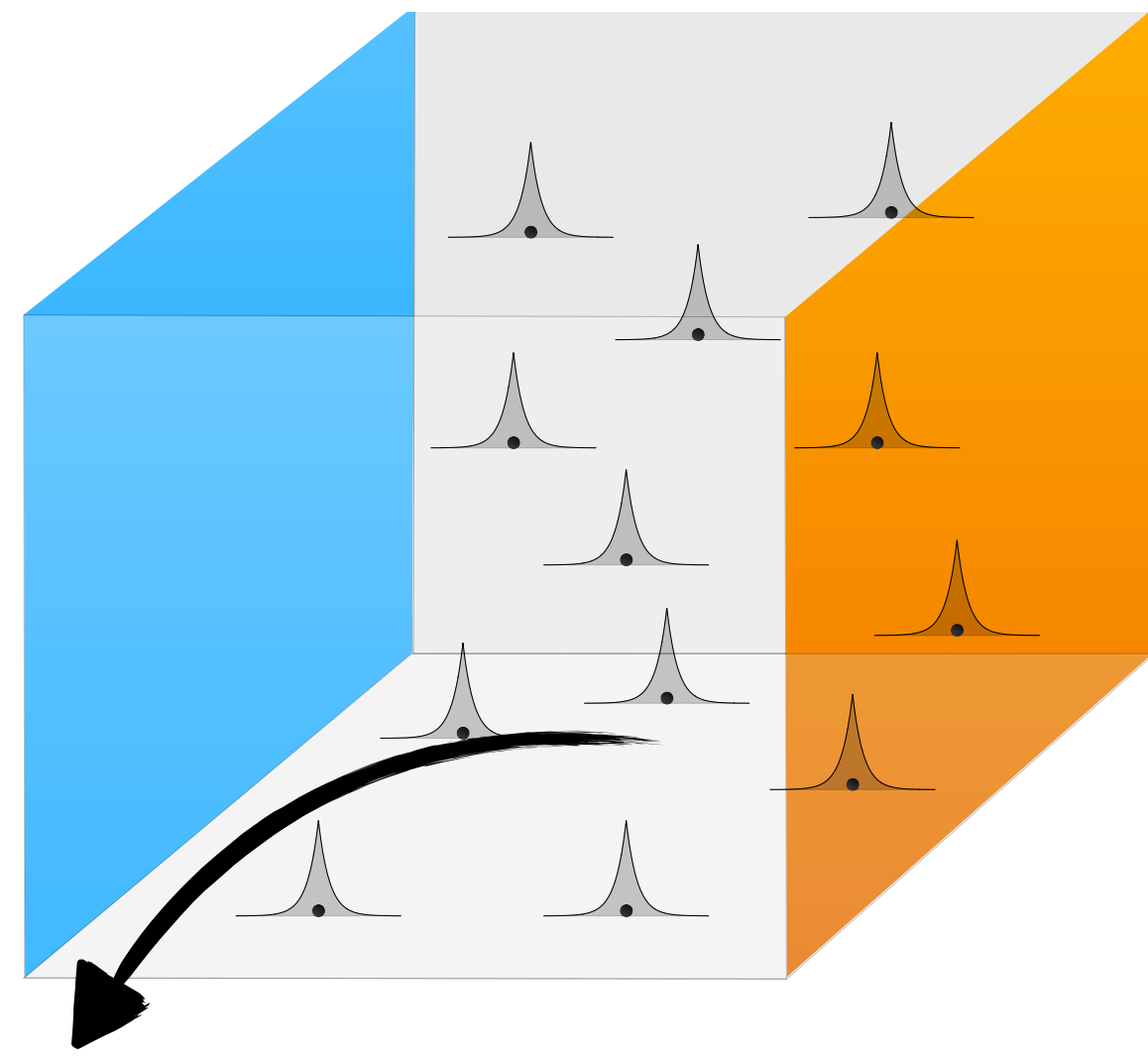


OBC in z -direction



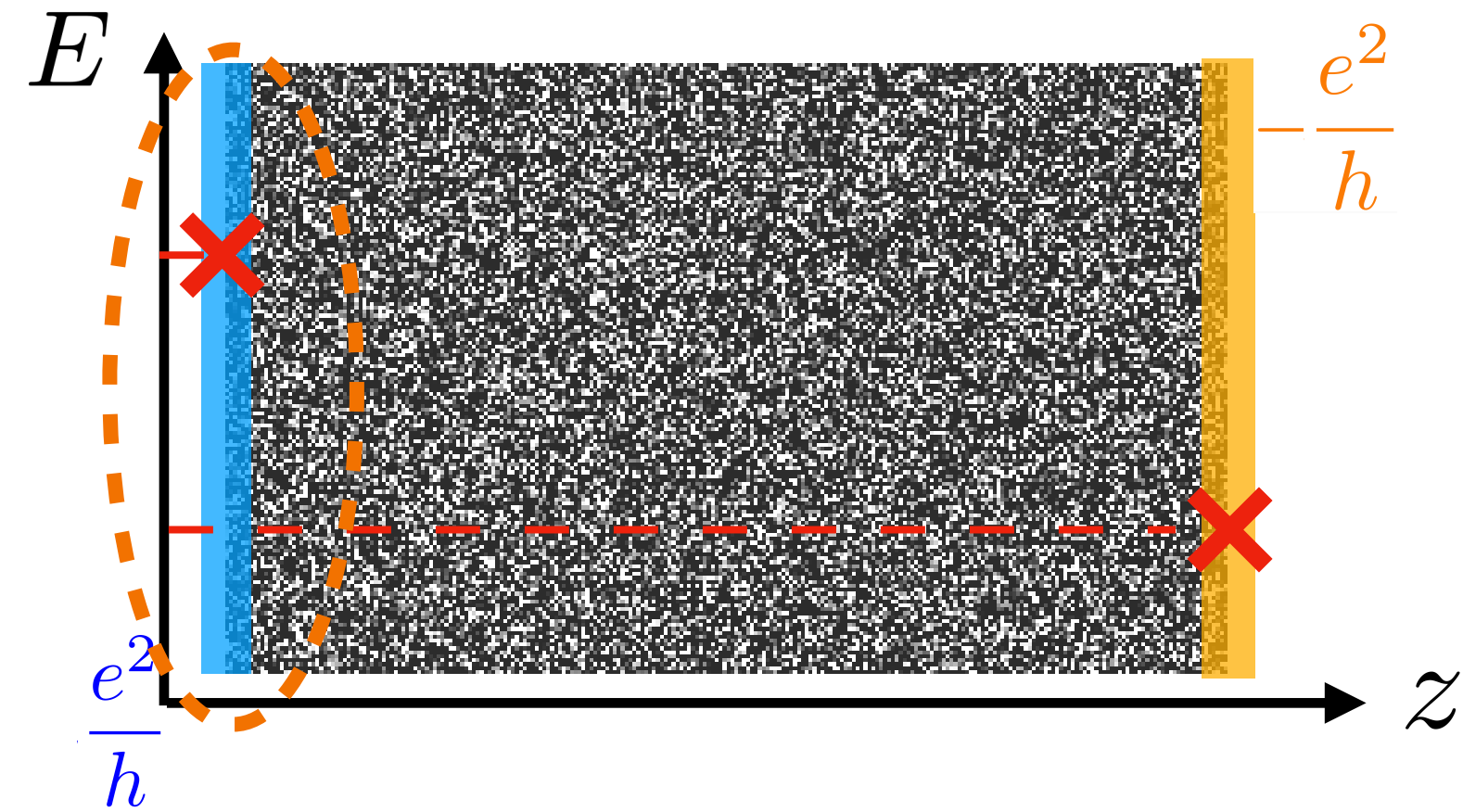
$$\vec{P} = \hat{\alpha}_{\text{ME}} \vec{B} \quad \alpha_{\text{ME}} \text{ quantized to integer value}$$

Topologically Localized Insulators



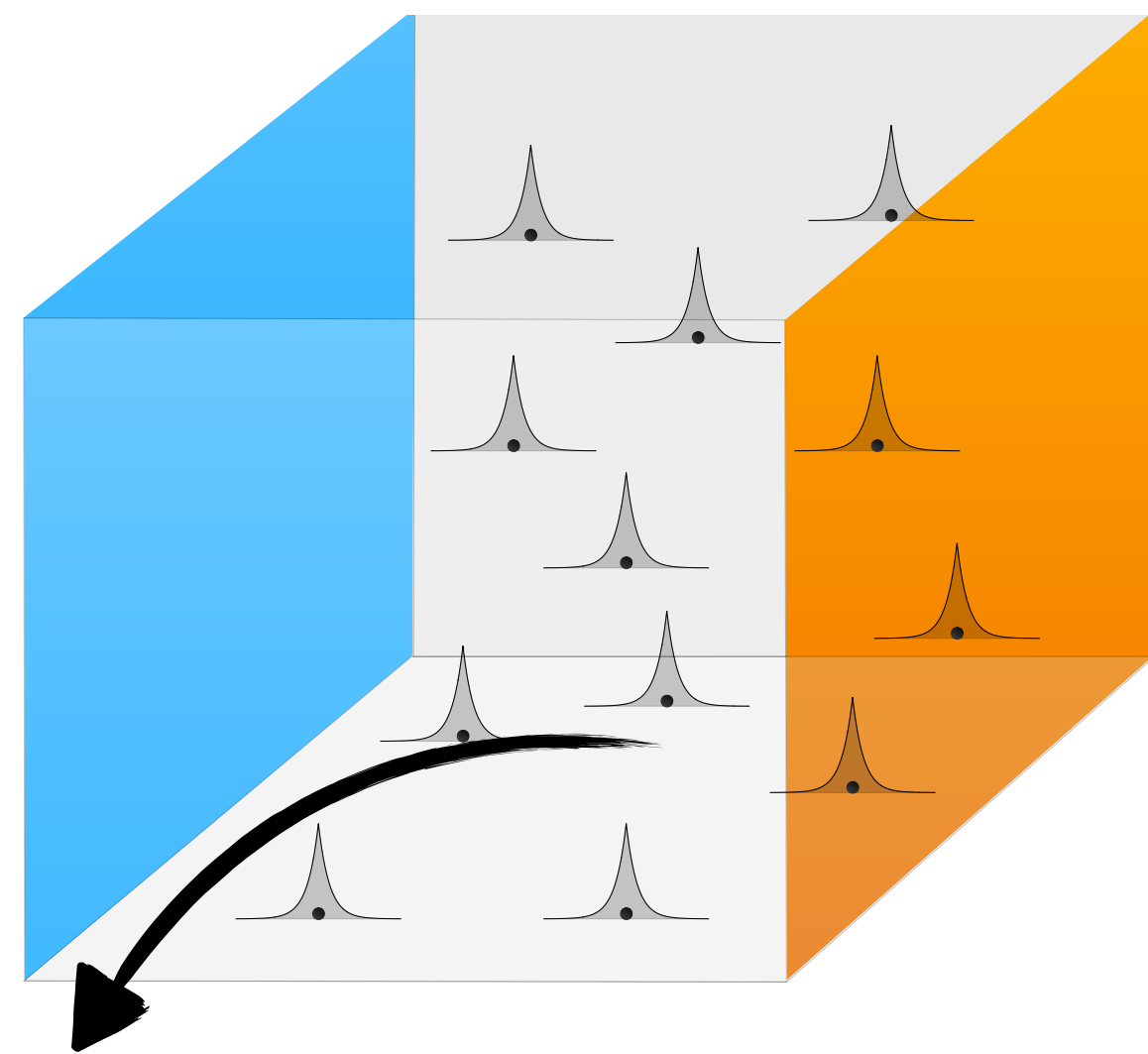
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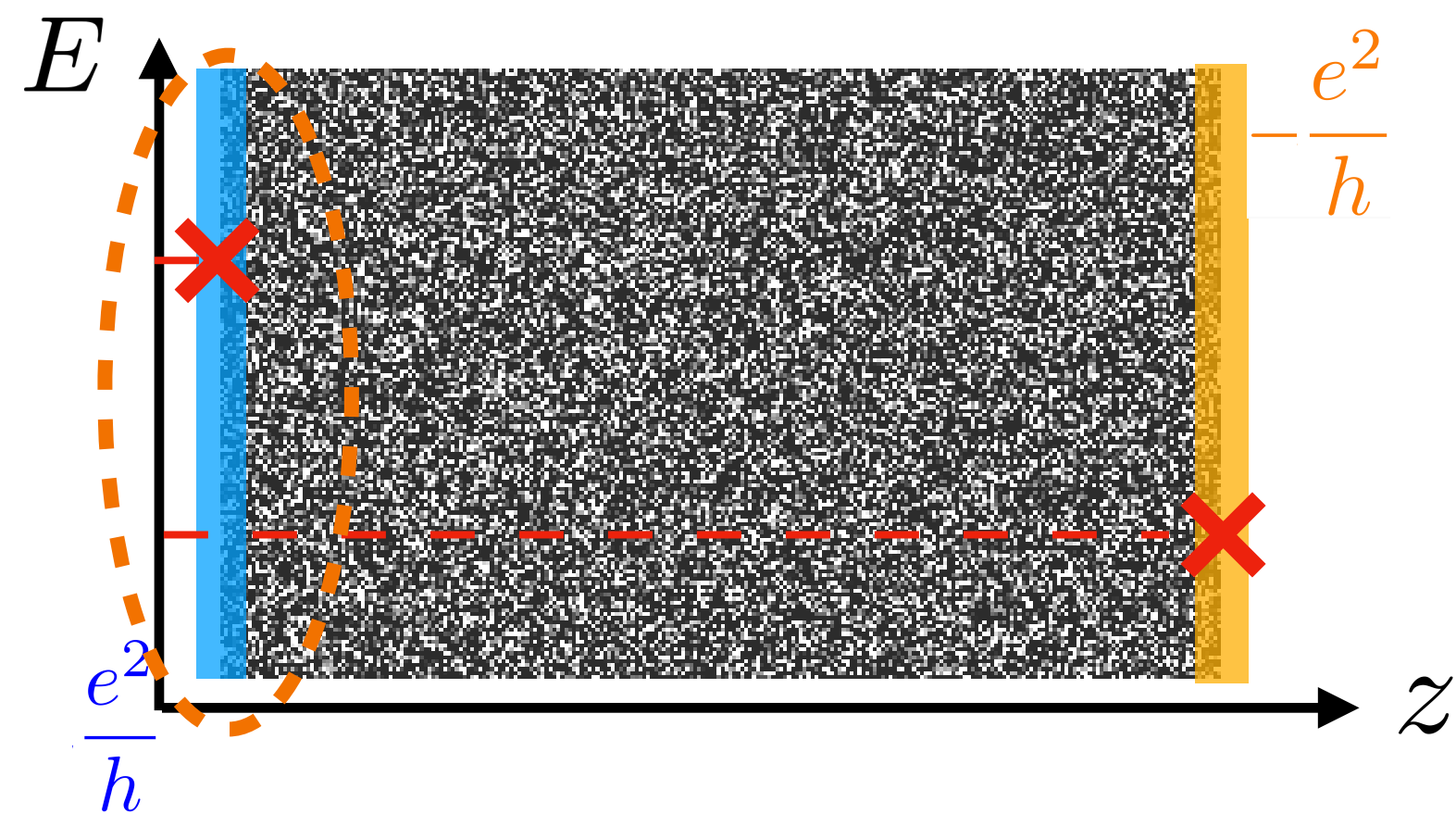
“Half” Chern insulator

Topologically Localized Insulators



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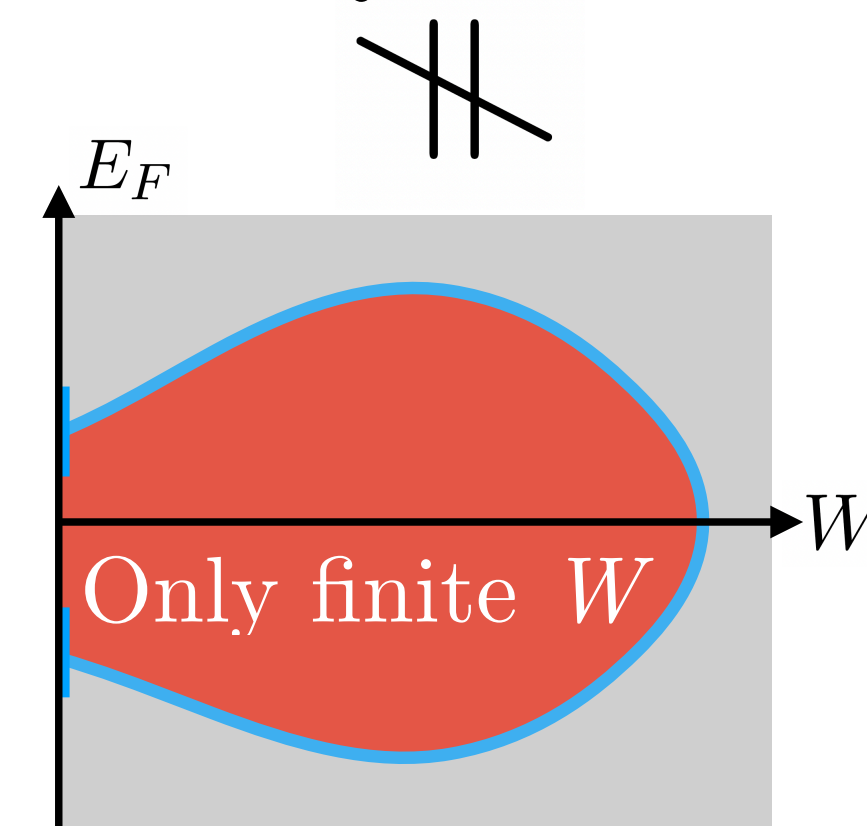
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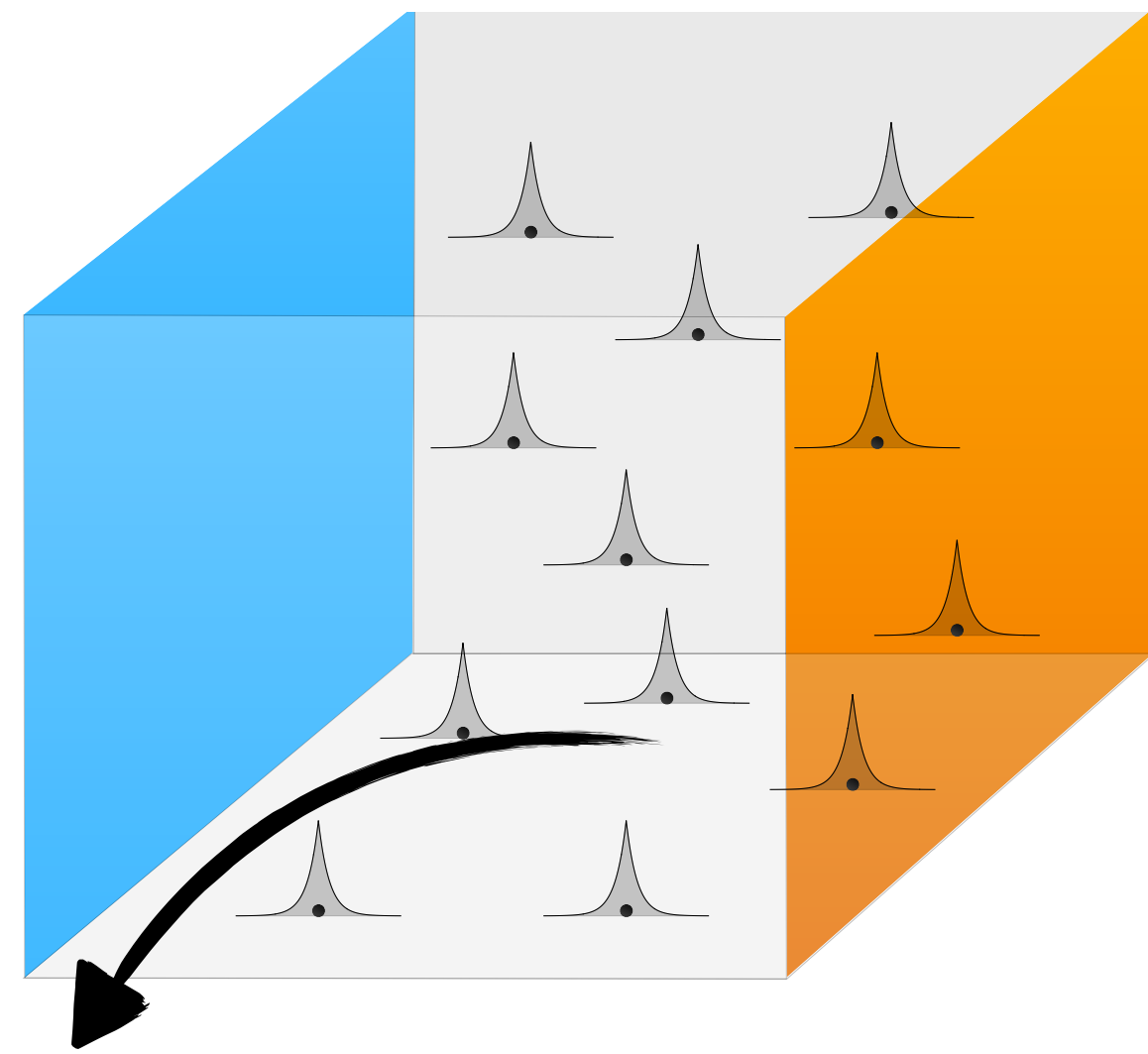
“Half” Chern insulator

Quantized Hall conductivity

$$\sigma_{xy} = n \frac{e^2}{h} \quad \text{for any } W \text{ on boundary}$$

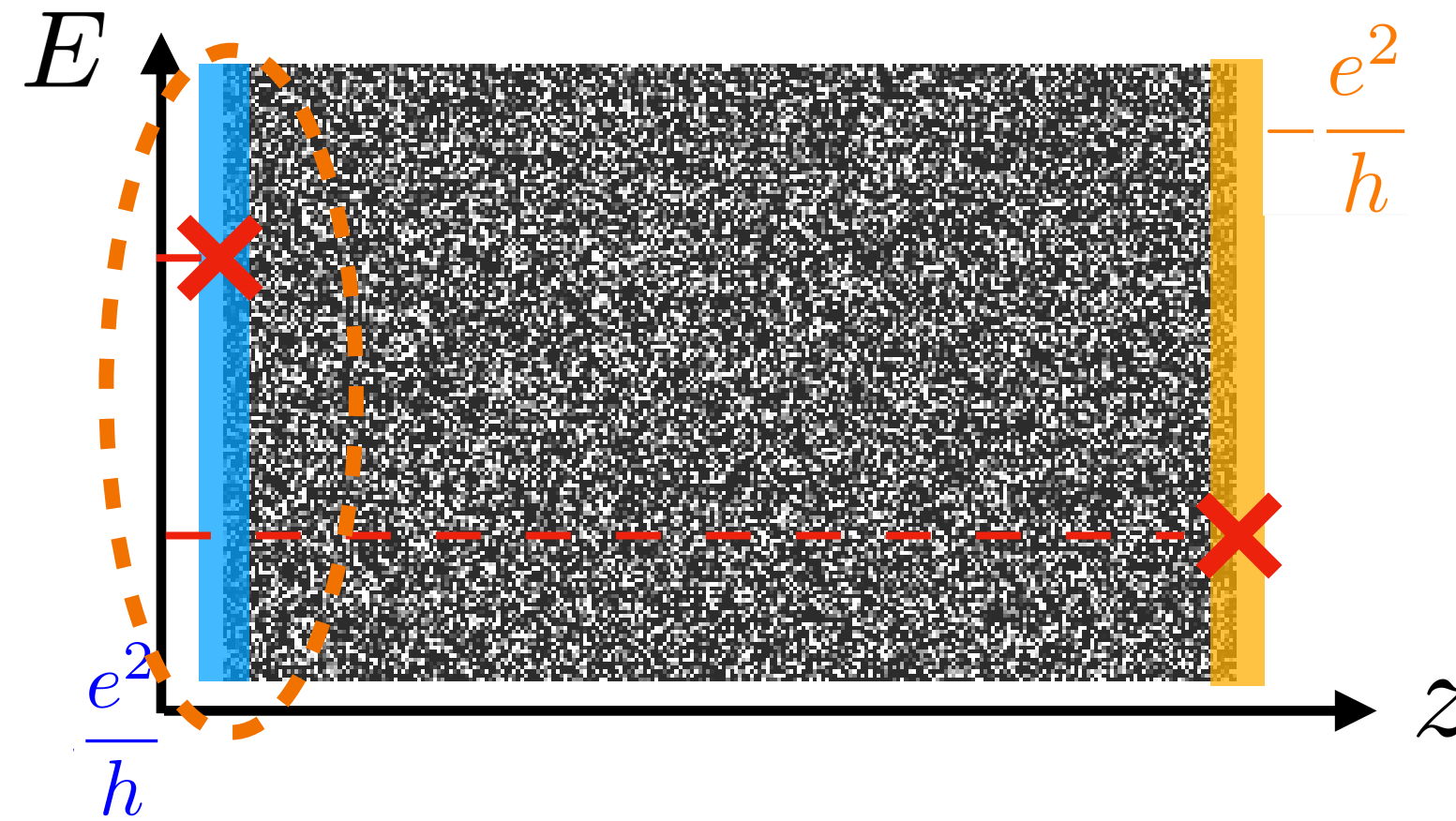


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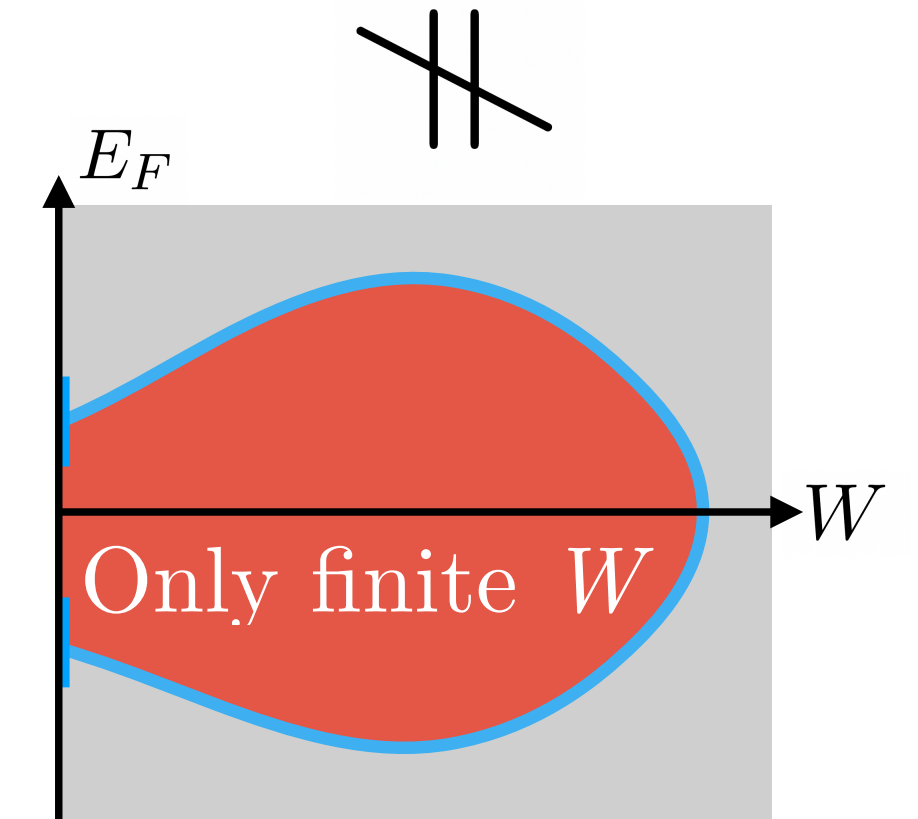
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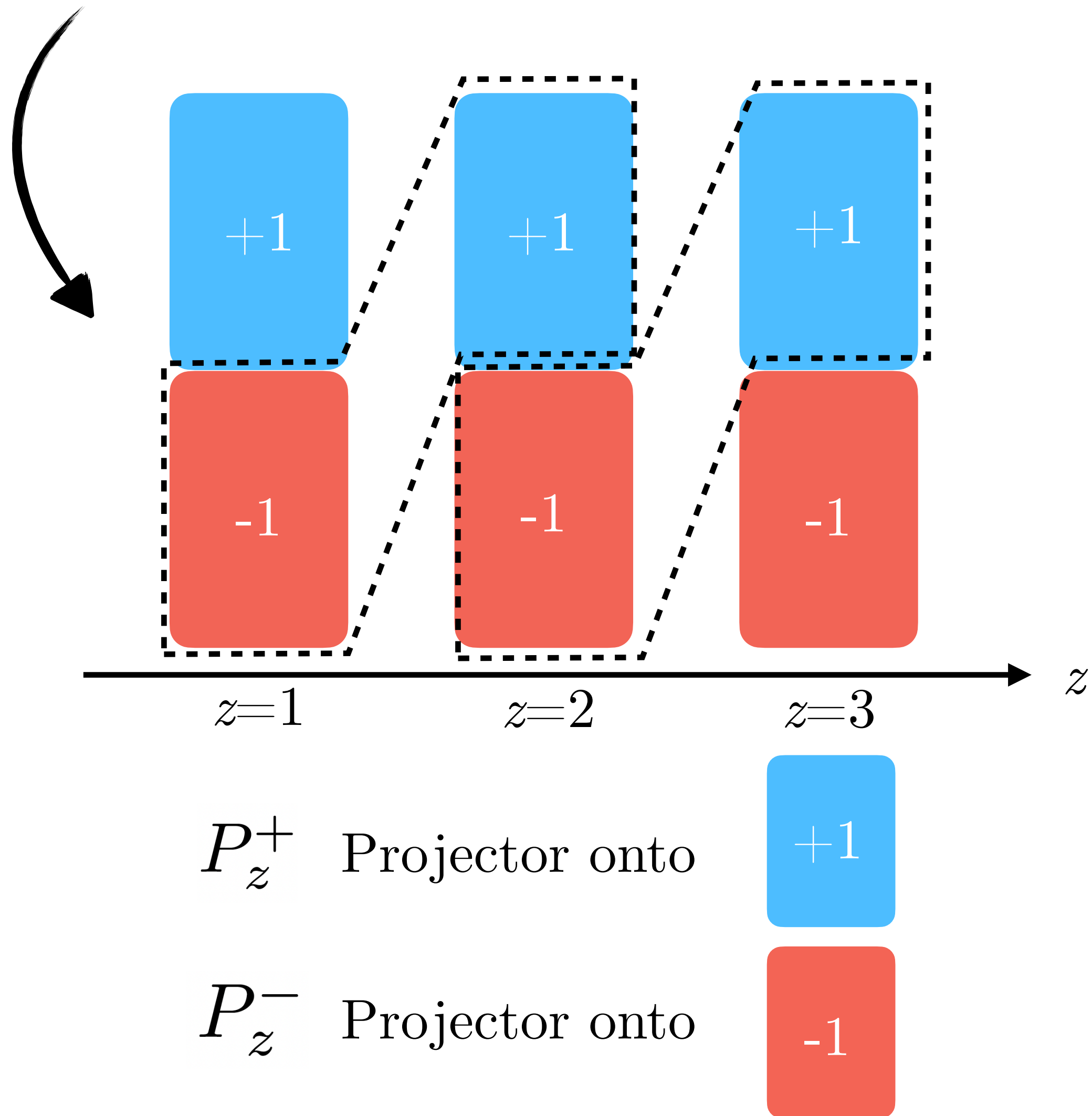
Only finite W

Three properties of TLIs:

- I) Bulk fully localized
- II) Quantized magnetoelectric pol. tensor
- III) Anomalous boundary with non-zero Chern number

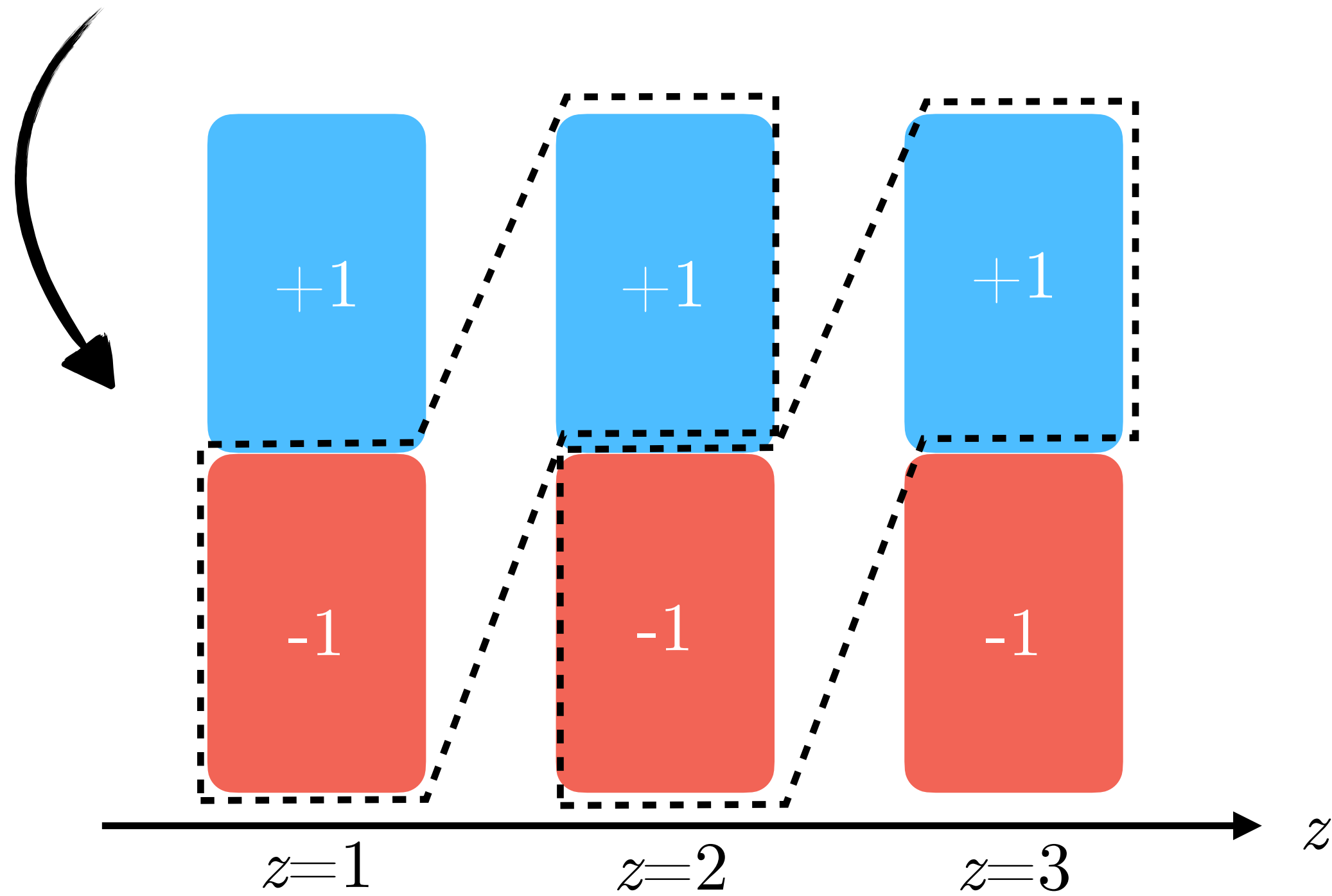
A concrete TLI model

Hilbert space
of Chern insulator



A concrete TLI model

Hilbert space
of Chern insulator



P_z^+ Projector onto



P_z^- Projector onto



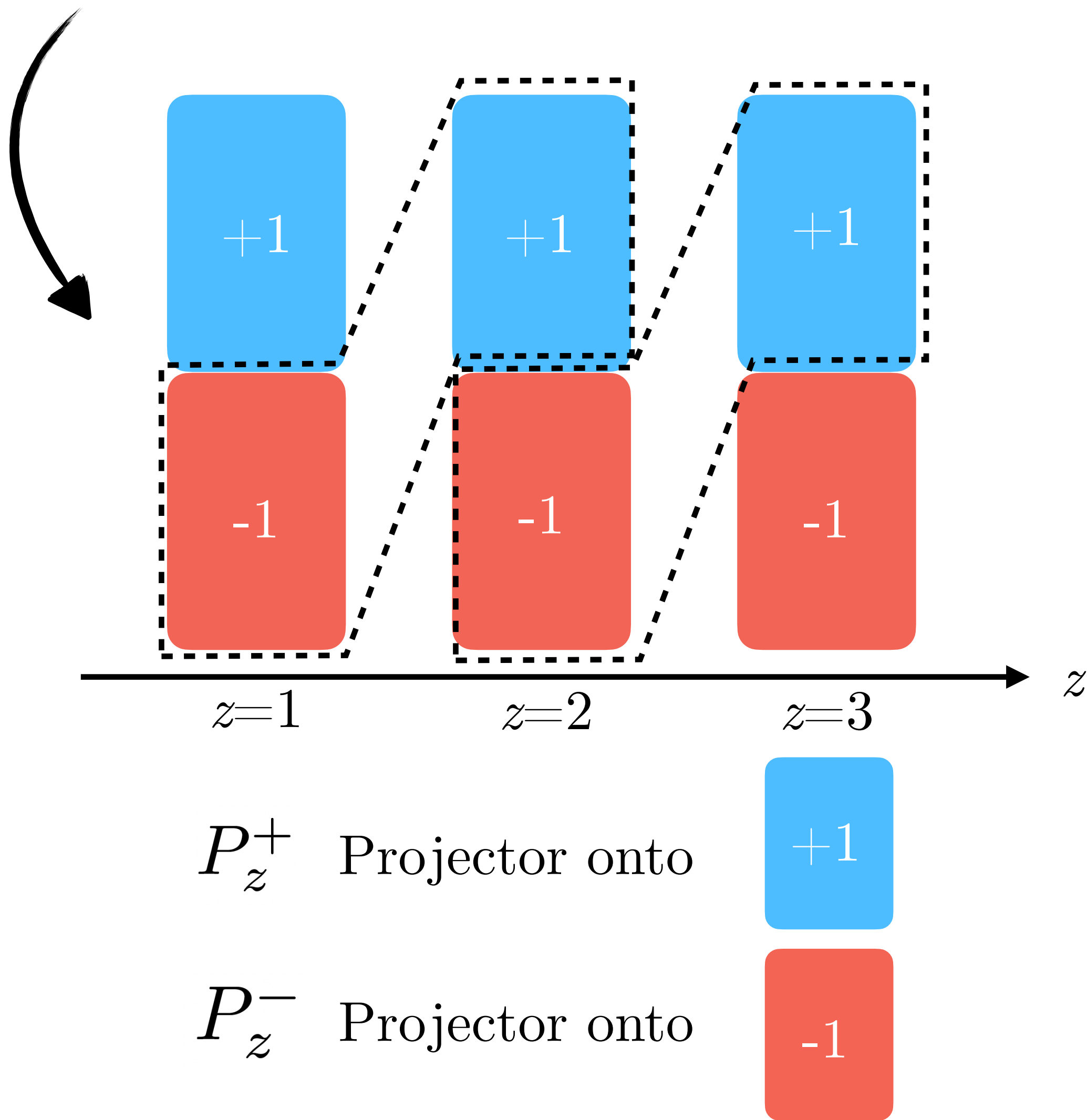
$$H = \sum_z (P_z^- + P_{z+1}^+) H_W (P_z^- + P_{z+1}^+)$$

$$H_W = \sum_{\vec{R}, \alpha} W_{\vec{R}\alpha} |g_{\vec{R}\alpha}\rangle \langle g_{\vec{R}\alpha}|, \quad W_{\vec{R}\alpha} \in [-W, W]$$

$$\text{with } |g_{\vec{R}\alpha}\rangle = |\vec{R}\alpha\rangle + |(\vec{R} + \hat{e}_z)\alpha\rangle$$

A concrete TLI model

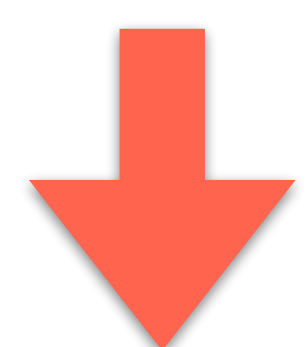
Hilbert space
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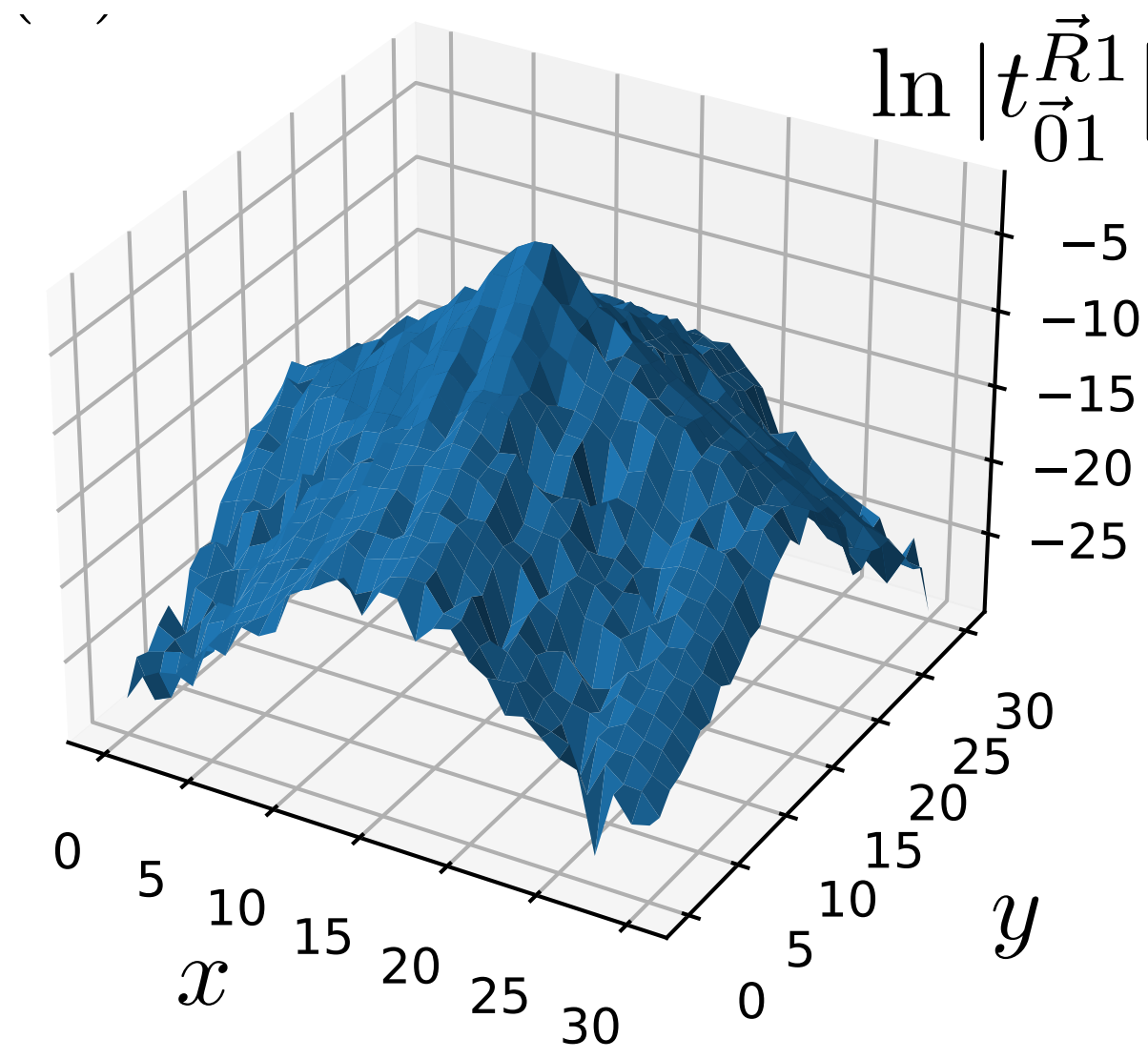
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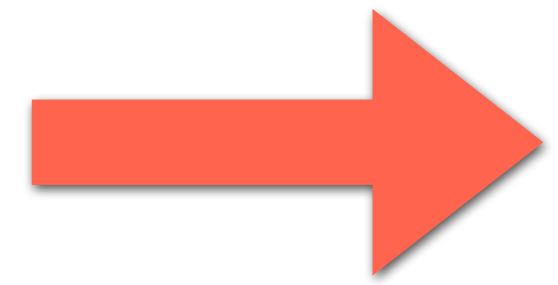
$$H = \sum_{\vec{R}, \alpha, \vec{R}', \alpha'} t_{\vec{R}'\alpha'}^{\vec{R}\alpha} |\vec{R}\alpha\rangle \langle \vec{R}'\alpha'|$$

Exponentially decaying hoppings

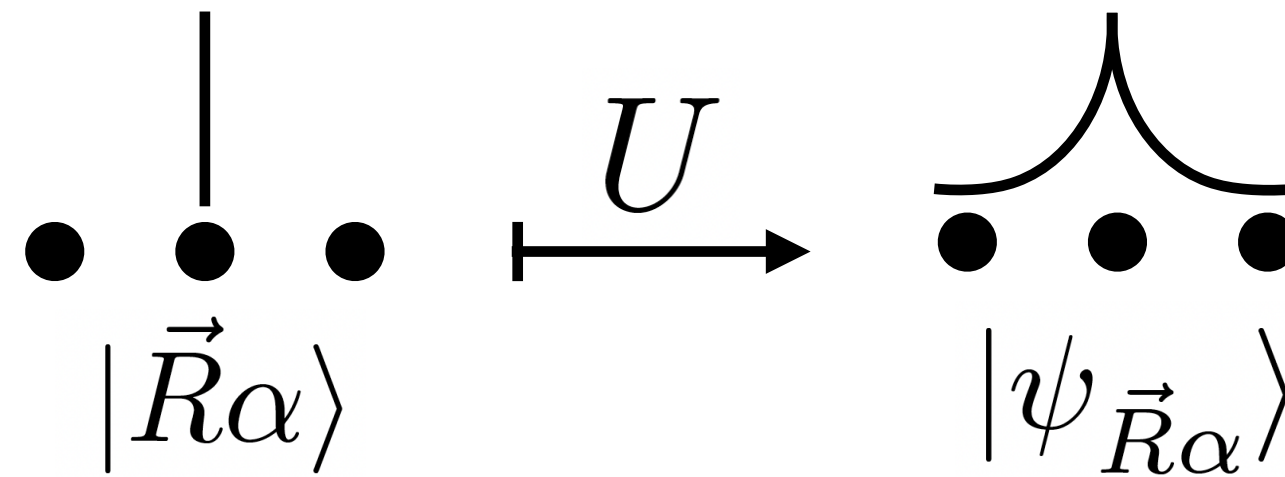


Bulk and surface topology

Fully localized phase: Eigenstate $|\psi_{\vec{R}\alpha}\rangle$ localized around lattice vector $|\vec{R}\alpha\rangle$



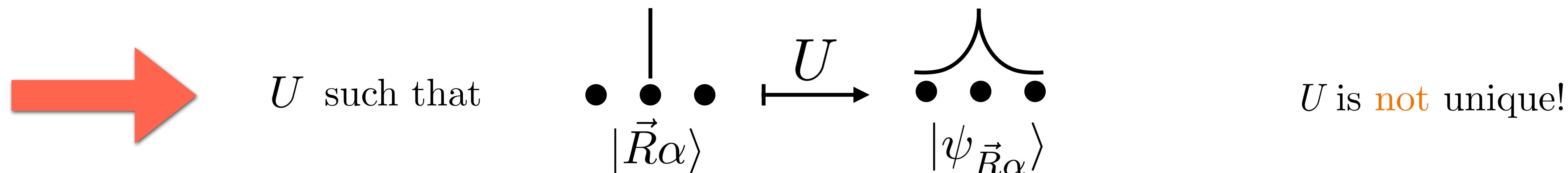
U such that



U is **not** unique!

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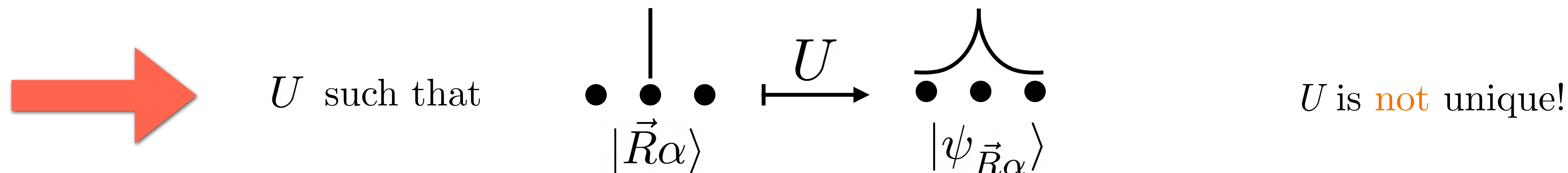


Bulk invariant: Third winding number of the unitary $\nu[U] = \alpha_{\text{ME}}$

$$\nu[U] = \frac{i\pi}{3} \frac{1}{N_x N_y N_z} \epsilon^{ijk} \text{tr} \left(U^{-1} [\hat{X}_i, U] U^{-1} [\hat{X}_j, U] U^{-1} [\hat{X}_k, U] \right) \in \mathbb{Z} \quad \text{if } \langle \vec{R}'\alpha' | U | \vec{R}\alpha \rangle \sim e^{-\gamma|\vec{R}-\vec{R}'|}$$

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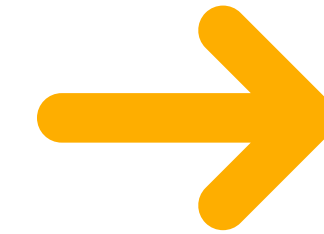
Surface invariant: Chern number of the projector onto d.o.f of the surface

$$\text{Ch}[\mathcal{P}] = \frac{2\pi i}{N_x N_y} \text{Tr} \left(\mathcal{P} \left[[\hat{X}_1, \mathcal{P}], [\hat{X}_2, \mathcal{P}] \right] \right) \quad \text{Bulk fully localized} \rightarrow \text{surfaces well decoupled}$$

TLI to metal transition

Nearest neighbour hopping perturbation

$$H_V(\lambda) = H + \lambda V$$

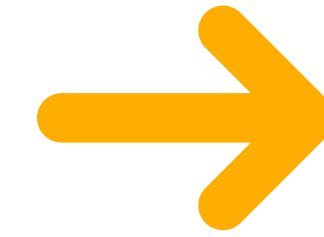


3D Anderson transition

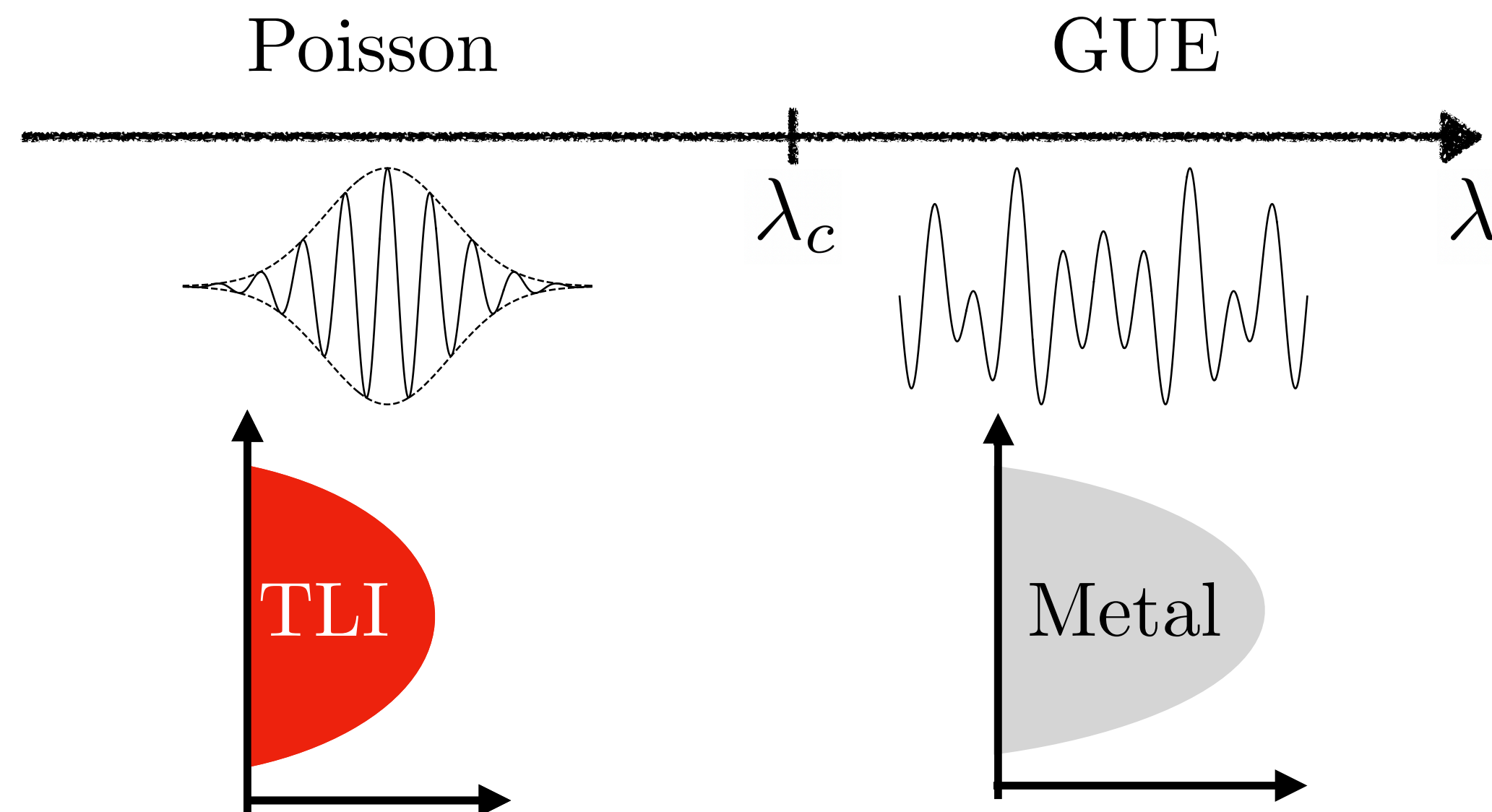
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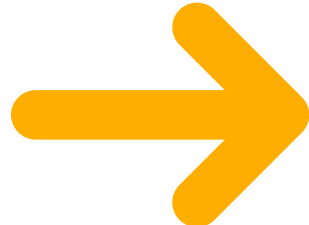
3D Anderson transition



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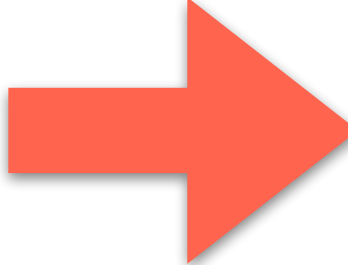
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3D Anderson transition

Level spacing statistics

$$s_n = E_{n+1} - E_n$$

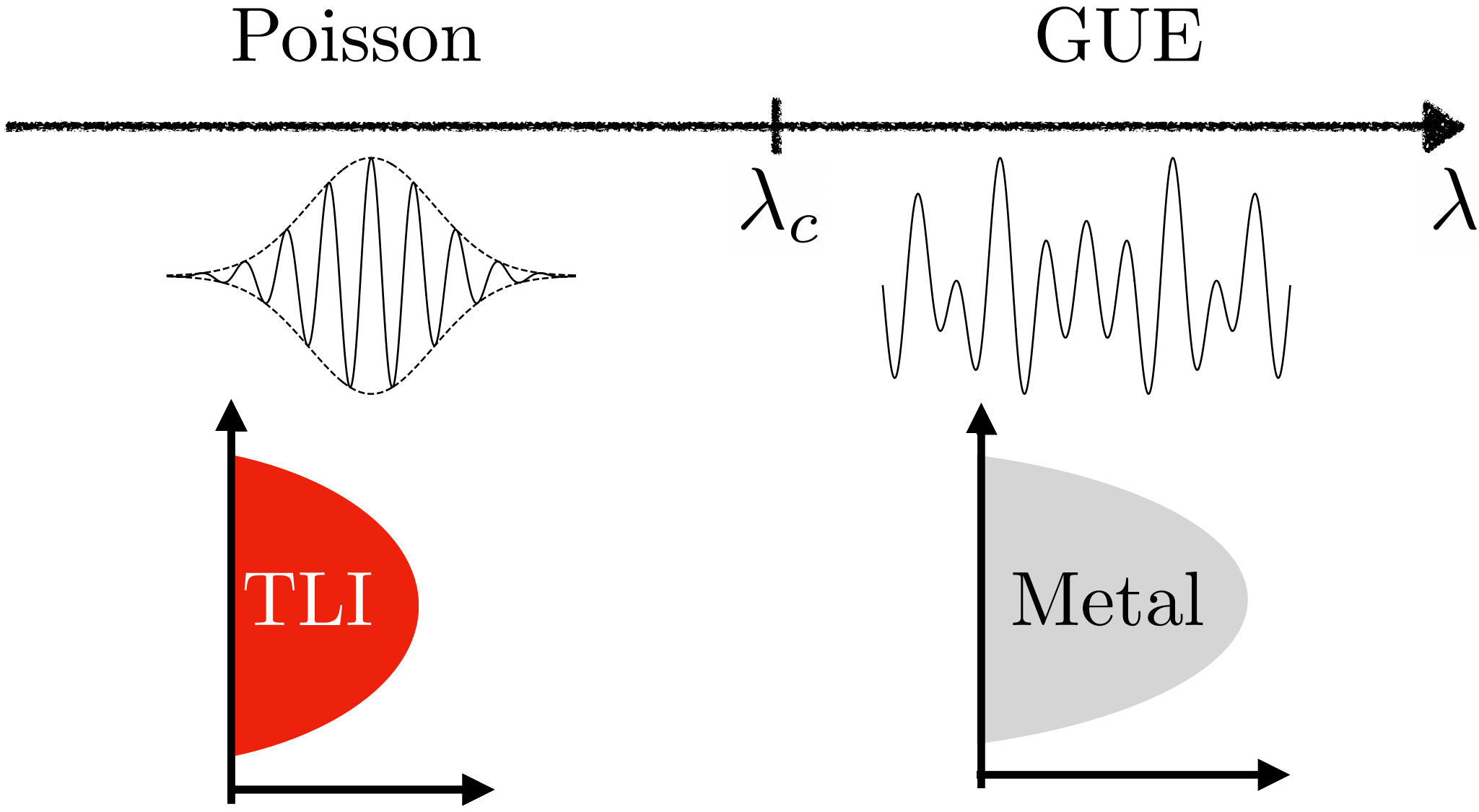


Level spacing ratio

$$r_n = \min\{s_n, s_{n+1}\} / \max\{s_n, s_{n+1}\}$$

Averaged

$$r = \langle \langle r_n \rangle_n \rangle_W$$



TLI to metal transition

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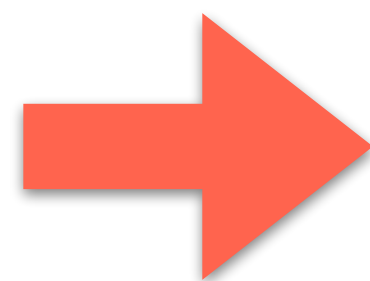
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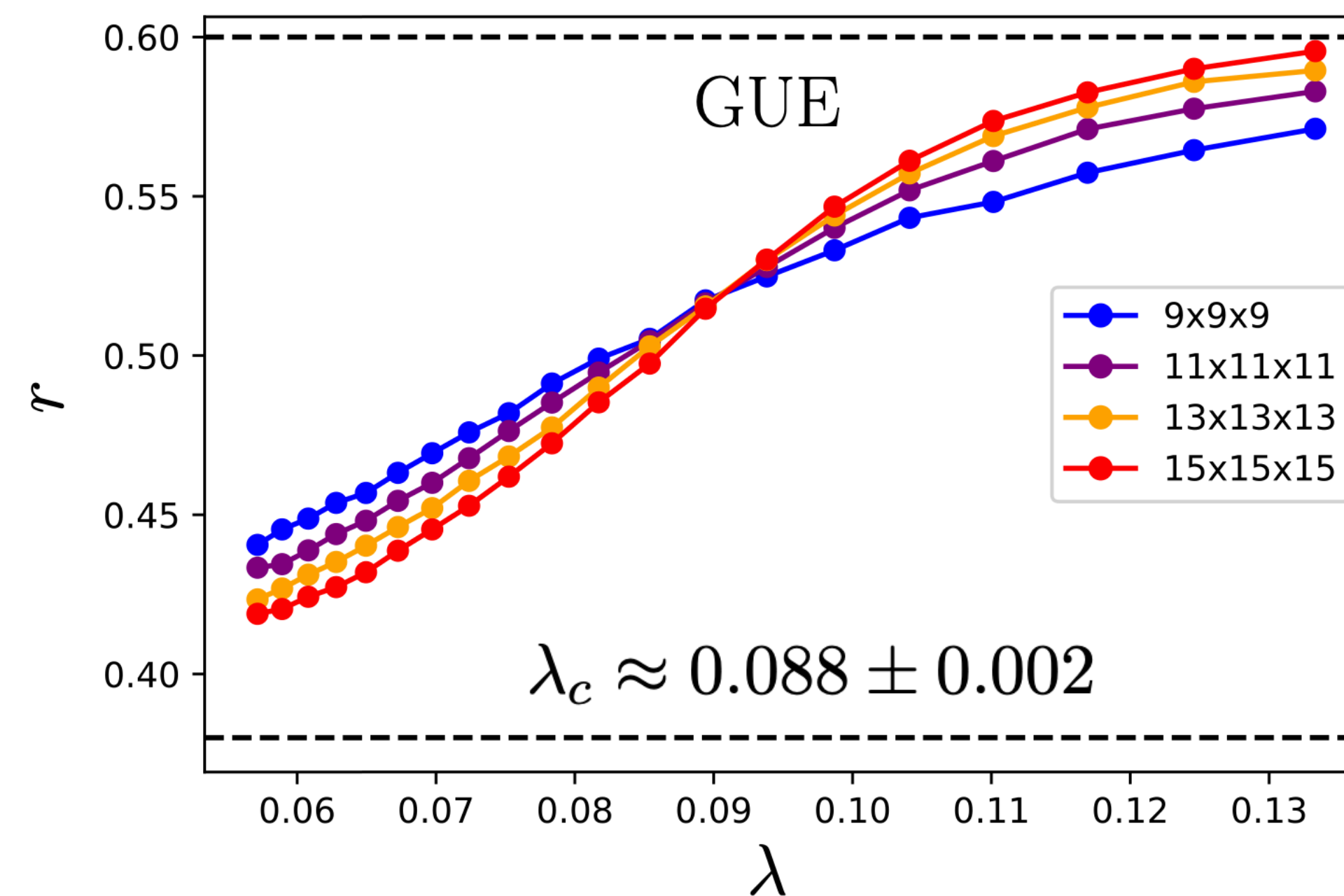
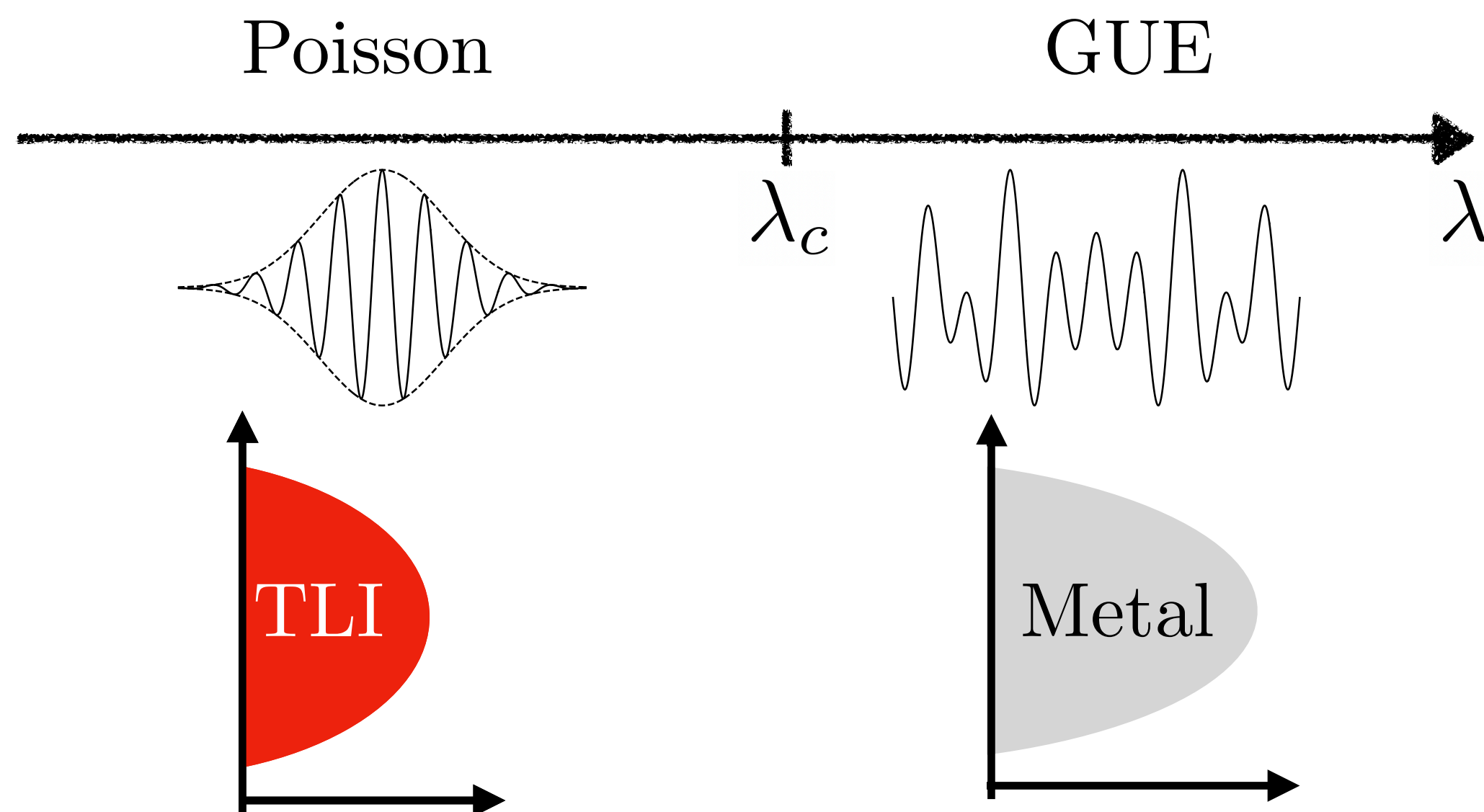


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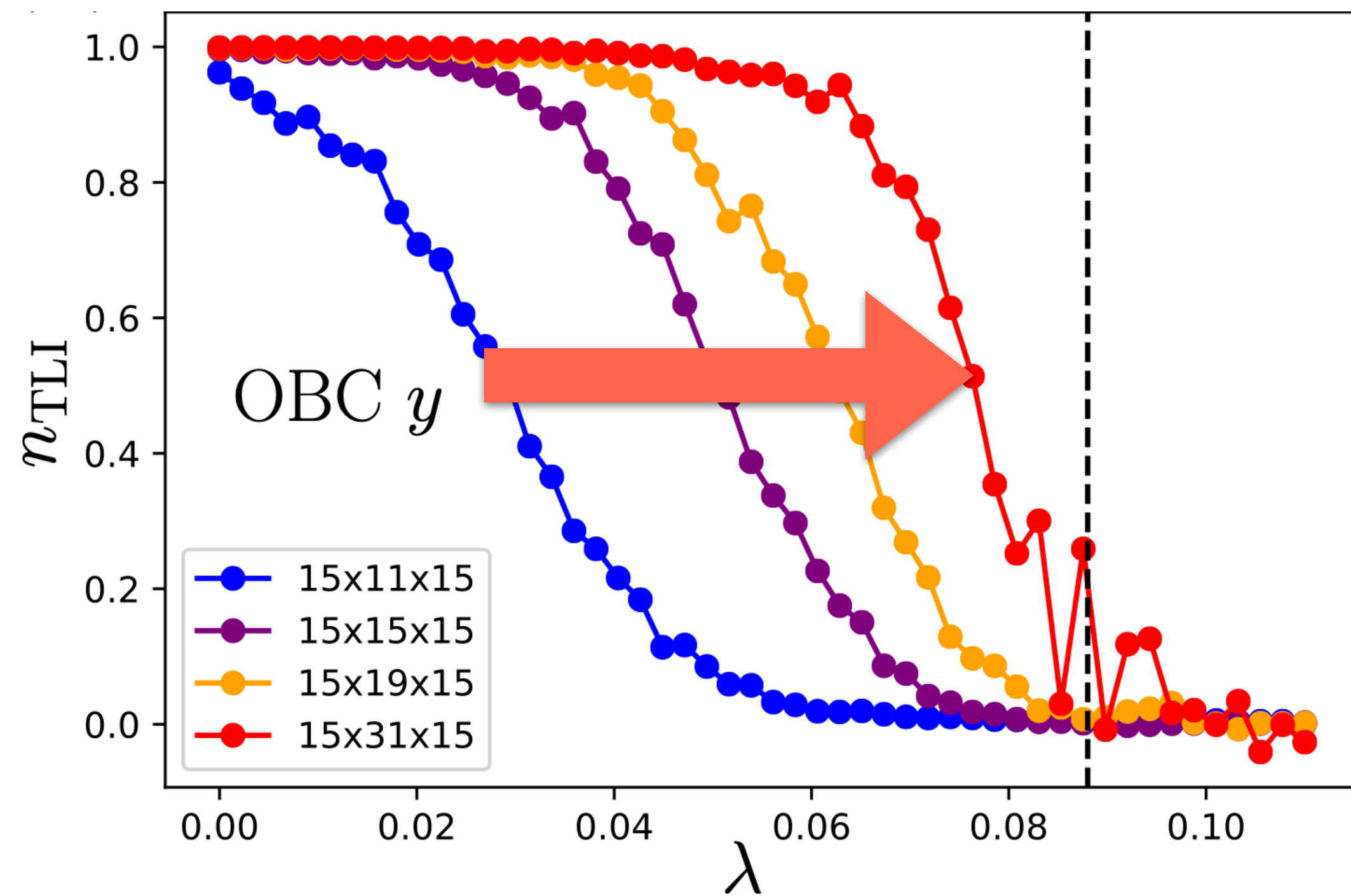
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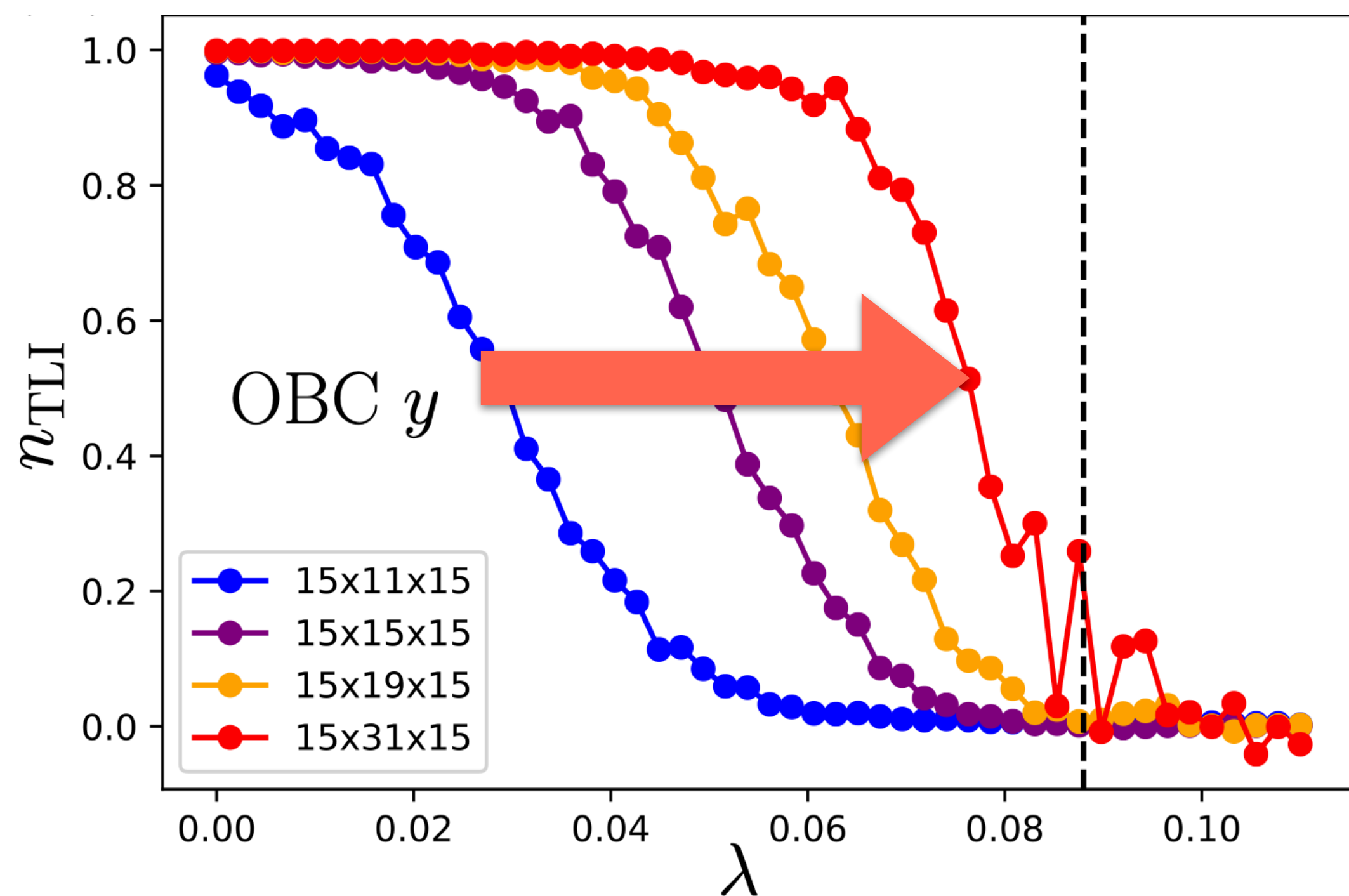


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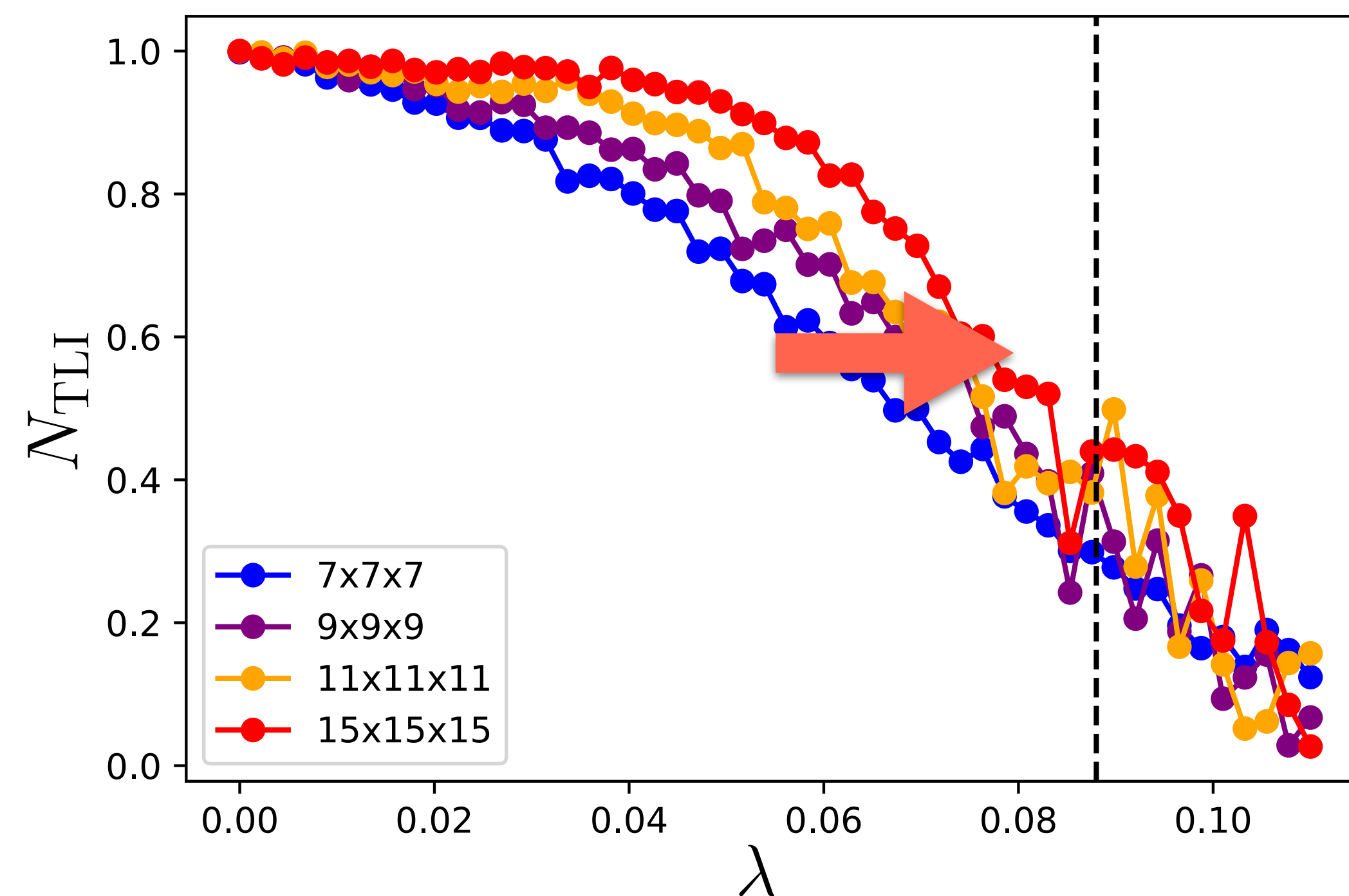
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Bulk invariant $N_{\text{TLI}} = \langle \nu[U_W] \rangle_W$



Classification of TLIs in class A

Idea: associate a chiral Hamiltonian to the local unitary U  $\tilde{H} = \begin{pmatrix} 0 & U \\ U^\dagger & 0 \end{pmatrix}$ Class AIII


Up to (local) permutations P of the eigenvectors and phase matrix D (Not affecting TLI invariant)

B.L, T. Neupert, P. Brouwer, L. Trifunovic, To appear

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$$K_{\text{A}}^{\text{TLI}}(d) = \begin{cases} 0 & \text{if } d = 0 \text{ or } d = 1, \\ K_{\text{AIII}}(d) & \text{if } d > 1. \end{cases}$$

B.L, T. Neupert, P. Brouwer, L. Trifunovic, To appear

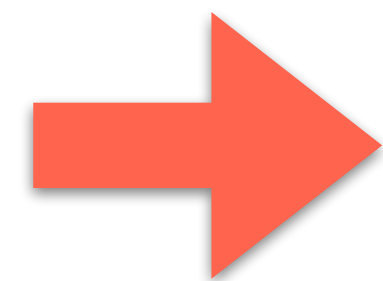
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Non-trivial TLIs in $d = 3, 5, 7, 9, \text{ etc.}$

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Classification of topologically localized phases

Topologically localized insulators and superconductors (not captured by the tenfold way):

AZ	\mathcal{T}	\mathcal{P}	\mathcal{C}	1	2	3	4	5	6	7	8
A	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
D	0	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	0
DIII	-1	1	1	0	0	0	0	\mathbb{Z}	0	0	0
AII	-1	0	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
C	0	-1	0	0	0	\mathbb{Z}_2	0	$2\mathbb{Z}$	0	0	0
CI	1	-1	1	0	0	0	0	$2\mathbb{Z}$	0	0	0

B.L, T. Neupert, P. Brouwer, L. Trifunovic, To appear

