Dirac points for TBG with in-plane magnetic field

Mathematical Aspects of Condensed Matter Physics, ETH, Zürich

Maciej Zworski

July 18, 2023



▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●



Joint work with Simon Becker





Joint work with Simon Becker

with contributions by Patrick Ledwith



How do the (two) Dirac points move when in-plane magnetic field *B* is applied to sheets of bilayer graphene twisted by $\theta \simeq 1/\alpha$?

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬぐ

How do the (two) Dirac points move when in-plane magnetic field *B* is applied to sheets of bilayer graphene twisted by $\theta \simeq 1/\alpha$?



How do the (two) Dirac points move when in-plane magnetic field *B* is applied to sheets of bilayer graphene twisted by $\theta \simeq 1/\alpha$?



◆□ → ◆□ → ◆ □ → ◆ □ → □ □

L: θ varies, B/|B| fixed

How do the (two) Dirac points move when in-plane magnetic field *B* is applied to sheets of bilayer graphene twisted by $\theta \simeq 1/\alpha$?



L: θ varies, B/|B| fixed

R: B/|B| varies, θ fixed

うしん 同一人用 人用 人口 マ

How do the (two) Dirac points move when in-plane magnetic field *B* is applied to sheets of bilayer graphene twisted by $\theta \simeq 1/\alpha$?



L: θ varies, B/|B| fixed

R: B/|B| varies, θ fixed

うしん 同一人用 人用 人口 マ



Plan of the talk



◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @



Plan of the talk



▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

Review of the Bistritzer-MacDonald '11 model and of its chiral limit



Plan of the talk



▲□▶ ▲圖▶ ▲≣▶ ▲≣▶ = 差 = のへで

- Review of the Bistritzer-MacDonald '11 model and of its chiral limit
- Results for the chiral model with weak in-plane magnetic field





▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

- Review of the Bistritzer-MacDonald '11 model and of its chiral limit
- Results for the chiral model with weak in-plane magnetic field
- "Origin" of magic angles following Tarnopolski et al '19 (theta function argument of Dubrovin-Novikov '80) and Becker et al '21 (spectral characterization; Galkowski-Z '23)





- Review of the Bistritzer-MacDonald '11 model and of its chiral limit
- Results for the chiral model with weak in-plane magnetic field
- "Origin" of magic angles following Tarnopolski et al '19 (theta function argument of Dubrovin-Novikov '80) and Becker et al '21 (spectral characterization; Galkowski-Z '23)
- Proofs of our results; based on the blend of the two characterizations and some linear algebra





- Review of the Bistritzer-MacDonald '11 model and of its chiral limit
- Results for the chiral model with weak in-plane magnetic field
- "Origin" of magic angles following Tarnopolski et al '19 (theta function argument of Dubrovin-Novikov '80) and Becker et al '21 (spectral characterization; Galkowski-Z '23)
- Proofs of our results; based on the blend of the two characterizations and some linear algebra

Michael Artin, attributed: We only do the rest of mathematics because linear algebra is too hard





- Review of the Bistritzer-MacDonald '11 model and of its chiral limit
- Results for the chiral model with weak in-plane magnetic field
- "Origin" of magic angles following Tarnopolski et al '19 (theta function argument of Dubrovin-Novikov '80) and Becker et al '21 (spectral characterization; Galkowski-Z '23)
- Proofs of our results; based on the blend of the two characterizations and some linear algebra

Michael Artin, attributed: We only do the rest of mathematics because linear algebra is too hard

Qualitative agreement of the chiral model with the BM model

▲□▶ ▲□▶ ▲ □▶ ▲ □▶ ▲ □ ● ● ● ●

$$H(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 \mathcal{C} & D(\alpha_1)^* \\ D(\alpha_1) & \alpha_0 \mathcal{C} \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$

$$\begin{aligned} H(\alpha_1, \alpha_0) &:= \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4) \\ D(\alpha) &:= \begin{pmatrix} 2D_{\bar{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} \end{pmatrix}, \end{aligned}$$

$$H(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D(\alpha) := \begin{pmatrix} 2D_{\bar{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$

$$\begin{aligned} H(\alpha_1, \alpha_0) &:= \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4) \\ D(\alpha) &:= \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix} \\ z &= x_1 + ix_2, \quad D_{\overline{z}} := \frac{1}{2i}(\partial_{x_1} + i\partial_{x_2}) \end{aligned}$$

$$H(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$
$$z = x_1 + ix_2, \quad D_{\overline{z}} := \frac{1}{2i}(\partial_{x_1} + i\partial_{x_2})$$

$$U(z) := -\frac{4}{3}\pi i \sum_{k=0}^{2} \omega^{k} e^{i\langle z, \omega^{k} K \rangle}, \quad V(z) := -\frac{4}{3} \sum_{k=0}^{2} e^{i\langle z, \omega^{k} K \rangle}$$
$$K := \frac{4}{3}\pi, \quad \omega := e^{2\pi i/3}, \quad \Lambda = \mathbb{Z} + \omega\mathbb{Z}, \quad \Lambda^{*} = \frac{4\pi i}{\sqrt{3}}\Lambda$$

$$H(\alpha_{1}, \alpha_{0}) := \begin{pmatrix} \alpha_{0}C & D(\alpha_{1})^{*} \\ D(\alpha_{1}) & \alpha_{0}C \end{pmatrix} : H^{1}(\mathbb{C}; \mathbb{C}^{4}) \to L^{2}(\mathbb{C}; \mathbb{C}^{4})$$
$$D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$
$$z = x_{1} + ix_{2}, \quad D_{\overline{z}} := \frac{1}{2i}(\partial_{x_{1}} + i\partial_{x_{2}})$$
$$U(z) := -\frac{4}{3}\pi i \sum_{k=0}^{2} \omega^{k} e^{i\langle z, \omega^{k}K \rangle}, \quad V(z) := -\frac{4}{3} \sum_{k=0}^{2} e^{i\langle z, \omega^{k}K \rangle}$$

$$K := \frac{4}{3}\pi, \quad \omega := e^{2\pi i/3}, \quad \Lambda = \mathbb{Z} + \omega \mathbb{Z}, \quad \Lambda^* = \frac{4\pi i}{\sqrt{3}}\Lambda$$

Mathematical derivation:

Cancès–Garrigue–Gontier, Watson–Kong–MacDonald–Luskin '22 Bands: eigenvalues $H_k(\alpha_1, \alpha_0)$ obtained by $2D_{\bar{z}} \rightarrow 2D_{\bar{z}} + k$.

うしん 同一人用 人用 人口 マ

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix},$$

◆□ ▶ ◆□ ▶ ◆ 臣 ▶ ◆ 臣 ▶ ○ 臣 ○ の Q @

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix},$$

Flat band at 0 for $H(\alpha) \Leftrightarrow \operatorname{Spec}_{L^2(\mathbb{C}/3\Lambda)} D(\alpha) = \mathbb{C}$

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\bar{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} \end{pmatrix},$$

Flat band at 0 for $H(\alpha) \Leftrightarrow \operatorname{Spec}_{L^2(\mathbb{C}/3\Lambda)} D(\alpha) = \mathbb{C} \Leftrightarrow \alpha \in \mathcal{A}$



▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三 のへぐ

Becker-Embree-Wittsten-Z '21

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix},$$

Flat band at 0 for $H(\alpha) \Leftrightarrow \operatorname{Spec}_{L^2(\mathbb{C}/3\Lambda)} D(\alpha) = \mathbb{C} \Leftrightarrow \alpha \in \mathcal{A}$



Becker-Embree-Wittsten-Z '21

Watson–Luskin '21: Existence of the first real α

Becker–Humbert–Z '22: Its simplicity and $|\mathcal{A}| = \infty$

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix},$$

Flat band at 0 for $H(\alpha) \Leftrightarrow \operatorname{Spec}_{L^2(\mathbb{C}/3\Lambda)} D(\alpha) = \mathbb{C} \Leftrightarrow \alpha \in \mathcal{A}$



Becker-Embree-Wittsten-Z '21

Watson–Luskin '21: Existence of the first real α

Becker–Humbert–Z '22: Its simplicity and $|\mathcal{A}| = \infty$

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix},$$

◆□ ▶ ◆□ ▶ ◆ 臣 ▶ ◆ 臣 ▶ ○ 臣 ○ の Q @

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix},$$
$$U(z+\gamma) = e^{i\langle\gamma, K\rangle} U(z), \quad U(\omega z) = \omega U(z), \quad \overline{U(\overline{z})} = -U(-z)$$

$$H(\alpha) := \begin{pmatrix} 0 & D(\alpha)^* \\ D(\alpha) & 0 \end{pmatrix}, \quad D(\alpha) := \begin{pmatrix} 2D_{\overline{z}} & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} \end{pmatrix},$$
$$U(z+\gamma) = e^{i\langle \gamma, K \rangle} U(z), \quad U(\omega z) = \omega U(z), \quad \overline{U(\overline{z})} = -U(-z)$$

Tarnopolsky–Kruchkov–Vishwanath '19: symmetry protected states fixed at $\pm K \omega \kappa \equiv \kappa \mod \Lambda^*$



▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

In-plane magnetic field for the chiral model

◆□ ▶ ◆□ ▶ ◆ 臣 ▶ ◆ 臣 ▶ ○ 臣 ○ の Q @

$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}.$$

$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}.$$

Dirac point at $k \iff k \in \operatorname{Spec}_{L^2_0(\mathbb{C}/\Gamma)} D_B(\alpha)$

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @

$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}.$$

Dirac point at $k \iff k \in \operatorname{Spec}_{L^2_0(\mathbb{C}/\Gamma)} D_B(\alpha)$

Theorem (BZ '23) If $\underline{\alpha} \in A$ is simple (+ one more condition) and $0 < B_0 \ll 1$ then there are no flat bands and for $\alpha \sim \underline{\alpha}$ Dirac points (eigenvalues of $D_B(\alpha)$) are close to the Γ point.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}.$$

Dirac point at $k \iff k \in \operatorname{Spec}_{L^2_0(\mathbb{C}/\Gamma)} D_B(\alpha)$

Theorem (BZ '23) If $\underline{\alpha} \in A$ is simple (+ one more condition) and $0 < B_0 \ll 1$ then there are no flat bands and for $\alpha \sim \underline{\alpha}$ Dirac points (eigenvalues of $D_B(\alpha)$) are close to the Γ point.



< = ► = • • • •
$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}.$$

Dirac point at $k \iff k \in \operatorname{Spec}_{L^2_0(\mathbb{C}/\Gamma)} D_B(\alpha)$

Theorem (BZ '23) If $\underline{\alpha} \in A$ is simple (+ one more condition) and $0 < B_0 \ll 1$ then there are no flat bands and for $\alpha \sim \underline{\alpha}$ Dirac points (eigenvalues of $D_B(\alpha)$) are close to the Γ point.



< = ► = • • • • •

$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}.$$

Dirac point at $k \iff k \in \operatorname{Spec}_{L^2_0(\mathbb{C}/\Gamma)} D_B(\alpha)$

Theorem (BZ '23) If $\underline{\alpha} \in A$ is simple (+ one more condition) and $0 < B_0 \ll 1$ then there are no flat bands and for $\alpha \sim \underline{\alpha}$ Dirac points (eigenvalues of $D_B(\alpha)$) are close to the Γ point.



$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}$$

Theorem (BZ '23) If $\underline{\alpha} \in \mathcal{A} \cap \mathbb{R}$ is simple and $0 < B_0 \ll 1$ then

$$\mathscr{R}_{\ell} \setminus \bigcup_{k \neq \pm K} D(k, \epsilon) \subset \bigcup_{\underline{lpha} - \delta < lpha < \underline{lpha} + \delta} \operatorname{Spec}_{L_{0}^{2}}(D_{\omega^{\ell}B}(\alpha)) \subset \mathscr{R}_{\ell},$$

 $\mathscr{R}_{\ell} := \omega^{\ell}(2\pi(i\mathbb{R} + \mathbb{Z}) \cup \frac{2\pi}{\sqrt{3}}(\mathbb{R} + i\mathbb{Z}))$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

$$D_B(\alpha) := D(\alpha) + \mathcal{B}, \quad \mathcal{B} := \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}, \quad B = B_0 e^{2\pi i \theta}$$

Theorem (BZ '23) If $\underline{\alpha} \in \mathcal{A} \cap \mathbb{R}$ is simple and $0 < B_0 \ll 1$ then





<ロト < 回 ト < 三 ト < 三 ト 三 の < ()</p>

Structure of eigenfunctions at the flat band Dubrovin–Novikov '80, Tarnopolsky et al '19,

Dubrovin–Novikov '80, Tarnopolsky et al '19, Becker et al '22 $\forall \alpha \in \mathbb{C}, \quad (D(\alpha) + K)u_K(\alpha) = 0, \quad u_K(\alpha) \in H^1_0(\mathbb{C}/\Lambda) \setminus \{0\}.$

Dubrovin-Novikov '80, Tarnopolsky et al '19, Becker et al '22

$$\forall \alpha \in \mathbb{C}, \quad (D(\alpha) + K)u_K(\alpha) = 0, \quad u_K(\alpha) \in H^1_0(\mathbb{C}/\Lambda) \setminus \{0\}.$$

Consider the (rescaled) Green function of $2D_{\overline{z}}$ on \mathbb{C}/Λ :

 $(2D_{\overline{z}}+k)F_k(z) = a(k)\delta_0(z), \ F_p(z) \equiv 1, \ p \in \Lambda^*, \ k \mapsto F_k$ holomorphic

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

Dubrovin-Novikov '80, Tarnopolsky et al '19, Becker et al '22

$$\forall \alpha \in \mathbb{C}, \quad (D(\alpha) + K)u_{K}(\alpha) = 0, \quad u_{K}(\alpha) \in H^{1}_{0}(\mathbb{C}/\Lambda) \setminus \{0\}$$

Consider the (rescaled) Green function of $2D_{\overline{z}}$ on \mathbb{C}/Λ :

 $(2D_{\bar{z}}+k)F_k(z) = a(k)\delta_0(z), \ F_p(z) \equiv 1, \ p \in \Lambda^*, \ k \mapsto F_k$ holomorphic

$$(D(\alpha)+k)(F_{k-K}(z-z_0)u_K)=u_K(z_0)\alpha(k-K)\delta_0(z-z_0).$$

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

Dubrovin-Novikov '80, Tarnopolsky et al '19, Becker et al '22

$$\forall \alpha \in \mathbb{C}, \quad (D(\alpha) + K)u_{K}(\alpha) = 0, \quad u_{K}(\alpha) \in H^{1}_{0}(\mathbb{C}/\Lambda) \setminus \{0\}$$

Consider the (rescaled) Green function of $2D_{\bar{z}}$ on \mathbb{C}/Λ :

 $(2D_{\bar{z}}+k)F_k(z) = a(k)\delta_0(z), \ F_p(z) \equiv 1, \ p \in \Lambda^*, \ k \mapsto F_k$ holomorphic

$$(D(\alpha)+k)(F_{k-K}(z-z_0)u_K)=u_K(z_0)\alpha(k-K)\delta_0(z-z_0).$$

 $\exists z_0 \ u_K(z_0) = 0 \Rightarrow$

Dubrovin–Novikov '80, Tarnopolsky et al '19, Becker et al '22

$$\forall \alpha \in \mathbb{C}, \quad (D(\alpha) + K)u_{K}(\alpha) = 0, \quad u_{K}(\alpha) \in H^{1}_{0}(\mathbb{C}/\Lambda) \setminus \{0\}$$

Consider the (rescaled) Green function of $2D_{\overline{z}}$ on \mathbb{C}/Λ :

 $(2D_{\bar{z}}+k)F_k(z) = a(k)\delta_0(z), \ F_p(z) \equiv 1, \ p \in \Lambda^*, \ k \mapsto F_k$ holomorphic

$$(D(\alpha) + k)(F_{k-K}(z - z_0)u_K) = u_K(z_0)\alpha(k - K)\delta_0(z - z_0).$$
$$\exists z_0 \ u_K(z_0) = 0 \Rightarrow \begin{cases} u_k(z) := F_{k-K}(z - z_0)u_K(z) \in C^{\infty}(\mathbb{C}/\Lambda), \\ (D(\alpha) + k)u_k = 0, \quad \forall k \in \mathbb{C}, \\ \text{flat band at } \alpha. \end{cases}$$

・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・
 ・

Dubrovin-Novikov '80, Tarnopolsky et al '19, Becker et al '22

$$\forall \alpha \in \mathbb{C}, \quad (D(\alpha) + K)u_{K}(\alpha) = 0, \quad u_{K}(\alpha) \in H^{1}_{0}(\mathbb{C}/\Lambda) \setminus \{0\}$$

Consider the (rescaled) Green function of $2D_{\overline{z}}$ on \mathbb{C}/Λ :

 $(2D_{\bar{z}}+k)F_k(z) = a(k)\delta_0(z), \ F_p(z) \equiv 1, \ p \in \Lambda^*, \ k \mapsto F_k$ holomorphic

$$(D(\alpha) + k)(F_{k-\kappa}(z - z_0)u_{\kappa}) = u_{\kappa}(z_0)\alpha(k - \kappa)\delta_0(z - z_0).$$

$$\exists z_0 \ u_{\kappa}(z_0) = 0 \Rightarrow \begin{cases} u_k(z) := F_{k-\kappa}(z - z_0)u_{\kappa}(z) \in C^{\infty}(\mathbb{C}/\Lambda), \\ (D(\alpha) + k)u_k = 0, \quad \forall k \in \mathbb{C}, \\ \text{flat band at } \alpha. \end{cases}$$

Becker–Humbert–Z '22: If $\alpha \in \mathcal{A}$ is simple then the unique zero has to appear at the stacking point $z_{\mathcal{S}} := -z(\mathcal{K}) = \sqrt{3}/i$.

$$u_k(z) = F_{k-K}(z-z_S)u_K(z)$$

$$u_k(z) = F_{k-K}(z-z_S)u_K(z) = F_k(z)u_0(z)$$

$$u_{k}(z) = F_{k-\kappa}(z-z_{S})u_{\kappa}(z) = F_{k}(z)u_{0}(z)$$
$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$u_{k}(z) = F_{k-K}(z-z_{S})u_{K}(z) = F_{k}(z)u_{0}(z)$$

$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$\theta(z) := \theta_{1}(z|\omega) := -\sum_{n \in \mathbb{Z}} \exp(\pi i(n+\frac{1}{2})^{2}\omega + 2\pi i(n+\frac{1}{2})(z+\frac{1}{2}))$$

$$u_{k}(z) = F_{k-\kappa}(z-z_{S})u_{\kappa}(z) = F_{k}(z)u_{0}(z)$$

$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$\theta(z) := \theta_{1}(z|\omega) := -\sum_{n \in \mathbb{Z}} \exp(\pi i(n+\frac{1}{2})^{2}\omega + 2\pi i(n+\frac{1}{2})(z+\frac{1}{2}))$$



$$u_{k}(z) = F_{k-\kappa}(z-z_{S})u_{\kappa}(z) = F_{k}(z)u_{0}(z)$$

$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$\theta(z) := \theta_{1}(z|\omega) := -\sum_{n \in \mathbb{Z}} \exp(\pi i(n+\frac{1}{2})^{2}\omega + 2\pi i(n+\frac{1}{2})(z+\frac{1}{2}))$$

 $(D(\alpha)+k)u(k) = 0, \ \|u(k)\| = 1, \ (D(\alpha)^* + \bar{k})u^*(k) = 0, \ \|u^*(k)\| = 1$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

$$u_{k}(z) = F_{k-\kappa}(z-z_{S})u_{\kappa}(z) = F_{k}(z)u_{0}(z)$$

$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$\theta(z) := \theta_{1}(z|\omega) := -\sum_{n \in \mathbb{Z}} \exp(\pi i(n+\frac{1}{2})^{2}\omega + 2\pi i(n+\frac{1}{2})(z+\frac{1}{2}))$$

 $(D(\alpha)+k)u(k) = 0, \ \|u(k)\| = 1, \ (D(\alpha)^* + \bar{k})u^*(k) = 0, \ \|u^*(k)\| = 1$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

$$u(k) = c(k)F_k\begin{pmatrix}\psi\\\varphi\end{pmatrix}, \quad u^*(k) = c(k)\overline{F_{-k}}\begin{pmatrix}\bar{\varphi}\\-\bar{\psi}\end{pmatrix}$$

$$u_{k}(z) = F_{k-\kappa}(z-z_{S})u_{\kappa}(z) = F_{k}(z)u_{0}(z)$$

$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$\theta(z) := \theta_{1}(z|\omega) := -\sum_{n \in \mathbb{Z}} \exp(\pi i(n+\frac{1}{2})^{2}\omega + 2\pi i(n+\frac{1}{2})(z+\frac{1}{2}))$$

$$(D(\alpha)+k)u(k) = 0, \ \|u(k)\| = 1, \ (D(\alpha)^* + \bar{k})u^*(k) = 0, \ \|u^*(k)\| = 1$$

$$u(k) = c(k)F_k\begin{pmatrix}\psi\\\varphi\end{pmatrix}, \quad u^*(k) = c(k)\overline{F_{-k}}\begin{pmatrix}\bar{\varphi}\\-\bar{\psi}\end{pmatrix}$$

We now want to treat the in-plane magnetic field as a perturbation of the chiral model:

$$u_{k}(z) = F_{k-\kappa}(z-z_{S})u_{\kappa}(z) = F_{k}(z)u_{0}(z)$$

$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$\theta(z) := \theta_{1}(z|\omega) := -\sum_{n \in \mathbb{Z}} \exp(\pi i(n+\frac{1}{2})^{2}\omega + 2\pi i(n+\frac{1}{2})(z+\frac{1}{2}))$$

$$(D(\alpha)+k)u(k) = 0, \ \|u(k)\| = 1, \ (D(\alpha)^* + \bar{k})u^*(k) = 0, \ \|u^*(k)\| = 1$$

$$u(k) = c(k)F_k\begin{pmatrix}\psi\\\varphi\end{pmatrix}, \quad u^*(k) = c(k)\overline{F_{-k}}\begin{pmatrix}ar{\varphi}\\-ar{\psi}\end{pmatrix}$$

We now want to treat the in-plane magnetic field as a perturbation of the chiral model:

$$D_B(\alpha) := \begin{pmatrix} 2D_{\overline{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} - B \end{pmatrix},$$

$$u_{k}(z) = F_{k-\kappa}(z-z_{S})u_{\kappa}(z) = F_{k}(z)u_{0}(z)$$

$$F_{k}(z) = e^{\frac{i}{2}(z-\bar{z})k}\frac{\theta(z-z(k))}{\theta(z)}, \quad a(k) = \frac{2\pi\theta(z(k))}{\theta'(0)}, \quad z(k) = \frac{\sqrt{3}}{4\pi i}k,$$

$$\theta(z) := \theta_{1}(z|\omega) := -\sum_{n \in \mathbb{Z}} \exp(\pi i(n+\frac{1}{2})^{2}\omega + 2\pi i(n+\frac{1}{2})(z+\frac{1}{2}))$$

$$(D(\alpha)+k)u(k) = 0, \ \|u(k)\| = 1, \ (D(\alpha)^* + \bar{k})u^*(k) = 0, \ \|u^*(k)\| = 1$$

$$u(k) = c(k)F_k\begin{pmatrix}\psi\\\varphi\end{pmatrix}, \quad u^*(k) = c(k)\overline{F_{-k}}\begin{pmatrix}\bar{\varphi}\\-\bar{\psi}\end{pmatrix}$$

We now want to treat the in-plane magnetic field as a perturbation of the chiral model:

$$D_B(\alpha) := \begin{pmatrix} 2D_{\bar{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} - B \end{pmatrix}, \quad H_B(\alpha) := \begin{pmatrix} 0 & D_B(\alpha)^* \\ D_B(\alpha) & 0 \end{pmatrix}$$

٠

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

Schur's complement formula

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$
$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$

$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$

$$P \longrightarrow \mathcal{P} := \begin{pmatrix} P & R_{-} \\ R_{+} & 0 \end{pmatrix},$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$
$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$
$$P \implies \mathcal{P} := \begin{pmatrix} P & R_{-} \\ R_{+} & 0 \end{pmatrix}, \quad \mathcal{P}^{-1} = \begin{pmatrix} E & E_{+} \\ E_{-} & E_{-+} \end{pmatrix}$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$

$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$

$$P \implies \mathcal{P} := \begin{pmatrix} P & R_{-} \\ R_{+} & 0 \end{pmatrix}, \quad \mathcal{P}^{-1} = \begin{pmatrix} E & E_{+} \\ E_{-} & E_{-+} \end{pmatrix}$$

(ロ)、(型)、(E)、(E)、 E) のQ(()

(Grushin problem - notation and nomenclature of Sjöstrand)

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$
$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$
$$P \implies \mathcal{P} := \begin{pmatrix} P & R_{-} \\ R_{+} & 0 \end{pmatrix}, \quad \mathcal{P}^{-1} = \begin{pmatrix} E & E_{+} \\ E_{-} & E_{-+} \end{pmatrix}$$

(ロ)、(型)、(E)、(E)、 E) のQ(()

(Grushin problem - notation and nomenclature of Sjöstrand)

$$P^{-1} = E - E_+ E_{-+}^{-1} E_-,$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$

$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$

$$P \longrightarrow \mathcal{P} := \begin{pmatrix} P & R_{-} \\ R_{+} & 0 \end{pmatrix}, \quad \mathcal{P}^{-1} = \begin{pmatrix} E & E_{+} \\ E_{-} & E_{-+} \end{pmatrix}$$

(Grushin problem - notation and nomenclature of Sjöstrand)

 $P^{-1} = E - E_+ E_{-+}^{-1} E_-, \quad E_{-+}$ becomes an effective Hamiltonian

▲□▶▲□▶▲□▶▲□▶ ■ のへで

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$
$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$
$$P + \mathcal{B} \implies \mathcal{P}_{\mathcal{B}} := \begin{pmatrix} P + \mathcal{B} & R_{-} \\ R_{+} & 0 \end{pmatrix}, \quad \mathcal{P}_{\mathcal{B}}^{-1} = \begin{pmatrix} E^{\mathcal{B}} & E^{\mathcal{B}}_{+} \\ E^{\mathcal{B}}_{-} & E^{\mathcal{B}}_{-+} \end{pmatrix}$$

(Grushin problem - notation and nomenclature of Sjöstrand)

$$(P+\mathcal{B})^{-1} = E^{\mathcal{B}} - E^{\mathcal{B}}_{+} (E^{\mathcal{B}}_{-+})^{-1} E^{\mathcal{B}}_{-}, \quad E^{\mathcal{B}}_{-+}$$
 is a new effective Hamiltonian

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$
$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$
$$P + \mathcal{B} \implies \mathcal{P}_{\mathcal{B}} := \begin{pmatrix} P + \mathcal{B} & R_{-} \\ R_{+} & 0 \end{pmatrix}, \quad \mathcal{P}_{\mathcal{B}}^{-1} = \begin{pmatrix} E^{\mathcal{B}} & E^{\mathcal{B}}_{+} \\ E^{\mathcal{B}}_{-} & E^{\mathcal{B}}_{-+} \end{pmatrix}$$

(Grushin problem - notation and nomenclature of Sjöstrand)

$$(P+\mathcal{B})^{-1} = E^{\mathcal{B}} - E^{\mathcal{B}}_{+} (E^{\mathcal{B}}_{-+})^{-1} E^{\mathcal{B}}_{-}, \quad E^{\mathcal{B}}_{-+} \text{ is a new effective Hamiltonian}$$
$$E^{\mathcal{B}}_{-+} = E_{-+} + \sum_{k=1}^{\infty} (-1)^{k} E_{-} \mathcal{B}(E\mathcal{B})^{k-1} E_{+}$$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \implies (A \text{ invertible } \iff d \text{ invertible })$$
$$A^{-1} = a - bd^{-1}c, \quad d^{-1} = D - CA^{-1}B$$
$$P + \mathcal{B} \implies \mathcal{P}_{\mathcal{B}} := \begin{pmatrix} P + \mathcal{B} & R_{-} \\ R_{+} & 0 \end{pmatrix}, \quad \mathcal{P}_{\mathcal{B}}^{-1} = \begin{pmatrix} E^{\mathcal{B}} & E^{\mathcal{B}}_{+} \\ E^{\mathcal{B}}_{-} & E^{\mathcal{B}}_{-+} \end{pmatrix}$$

(Grushin problem - notation and nomenclature of Sjöstrand)

$$(P+B)^{-1} = E^{B} - E^{B}_{+} (E^{B}_{-+})^{-1} E^{B}_{-}, \quad E^{B}_{-+} \text{ is a new effective Hamiltonian}$$
$$E^{B}_{-+} = E_{-+} + \sum_{k=1}^{\infty} (-1)^{k} E_{-} B(EB)^{k-1} E_{+} = E_{-+} - E_{-} BE_{+} + \mathcal{O}(|B|^{2})$$

In-plane magnetic field as a perturbation
$\underline{\alpha} \in \mathcal{A} \text{ simple}$

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

(ロ)、(型)、(E)、(E)、 E) の(()

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @

 $R_-u_- = u_-u^*(k), \ R_+u = \langle u, u(k) \rangle,$

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*},$

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$D_{\mathcal{B}}(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$D_{B}(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$
$$E_{-+}^{B} = -c^{2}B(G + \mathcal{O}(B)), \quad G(k) = 2\int_{\mathbb{C}/\Lambda} F_{k}F_{-k}\varphi\psi dm, \quad u_{0} = \begin{pmatrix} \psi \\ \varphi \end{pmatrix}$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$D_{B}(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$
$$E_{-+}^{B} = -c^{2}B(G + \mathcal{O}(B)), \quad G(k) = 2\int_{\mathbb{C}/\Lambda} F_{k}F_{-k}\varphi\psi dm, \quad u_{0} = \begin{pmatrix} \psi \\ \varphi \end{pmatrix},$$
$$\theta(z+u)\theta(z-u)\theta_{2}(0)^{2} = \theta^{2}(z)\theta_{2}^{2}(u) - \theta_{2}^{2}(z)\theta^{2}(u)$$

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬぐ

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$D_{B}(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$
$$E_{-+}^{B} = -c^{2}B(G + \mathcal{O}(B)), \quad G(k) = 2\int_{\mathbb{C}/\Lambda} F_{k}F_{-k}\varphi\psi dm, \quad u_{0} = \begin{pmatrix} \psi \\ \varphi \end{pmatrix},$$
$$\theta(z+u)\theta(z-u)\theta_{2}(0)^{2} = \theta^{2}(z)\theta_{2}^{2}(u) - \theta_{2}^{2}(z)\theta^{2}(u) \implies$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$D_{B}(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$
$$E_{-+}^{B} = -c^{2}B(G + \mathcal{O}(B)), \quad G(k) = 2\int_{\mathbb{C}/\Lambda} F_{k}F_{-k}\varphi\psi dm, \quad u_{0} = \begin{pmatrix} \psi \\ \varphi \end{pmatrix}.$$

$$\theta(z+u)\theta(z-u)\theta_2(0)^2 = \theta^2(z)\theta_2^2(u) - \theta_2^2(z)\theta^2(u)$$

$$G(k) = \frac{g_0}{\theta(\frac{1}{2})^2},$$

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$D_{B}(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$
$$E_{-+}^{B} = -c^{2}B(G + \mathcal{O}(B)), \quad G(k) = 2\int_{\mathbb{C}/\Lambda}F_{k}F_{-k}\varphi\psi dm, \quad u_{0} = \begin{pmatrix}\psi\\\varphi\end{pmatrix},$$
$$\theta(z+u)\theta(z-u)\theta_{2}(0)^{2} = \theta^{2}(z)\theta_{2}^{2}(u) - \theta_{2}^{2}(z)\theta^{2}(u) \implies$$

$$G(k) = \frac{g_0}{\theta(\frac{1}{2})^2}, \quad \frac{g_0}{g_0} = 2 \int_{\mathbb{C}/\Lambda} \theta_2(z) \frac{\varphi(z)\psi(z)}{\theta(z)^2} dm$$

◆□ ▶ ◆□ ▶ ◆ 臣 ▶ ◆ 臣 ▶ ○ 臣 ○ の Q @

$$\underline{\alpha} \in \mathcal{A} \text{ simple} \Longrightarrow \begin{pmatrix} D(\underline{\alpha}) + k & R_{-}(k) \\ R_{+}(k) & 0 \end{pmatrix}^{-1} : L_{0}^{2} \times \mathbb{C} \to H_{0}^{1} \times \mathbb{C}$$

 $R_{-}u_{-} = u_{-}u^{*}(k), \ R_{+}u = \langle u, u(k) \rangle, \ E_{-} = R_{-}^{*}, \ E_{+} = R_{+}^{*}, \ E_{-+} \equiv 0.$

$$D_{B}(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$
$$E_{-+}^{B} = -c^{2}B(G + \mathcal{O}(B)), \quad G(k) = 2\int_{\mathbb{C}/\Lambda}F_{k}F_{-k}\varphi\psi dm, \quad u_{0} = \begin{pmatrix}\psi \\ \varphi \end{pmatrix}$$

$$\theta(z+u)\theta(z-u)\theta_2(0)^2 = \theta^2(z)\theta_2^2(u) - \theta_2^2(z)\theta^2(u) \implies$$

$$G(k) = \frac{g_0}{\theta(\frac{1}{2})^2}, \quad g_0 = 2 \int_{\mathbb{C}/\Lambda} \theta_2(z) \frac{\varphi(z)\psi(z)}{\theta(z)^2} dm$$

Magic angle $\underline{\alpha}$	0.585	2.221	3.751	5.276	6.794
$ g_0(\underline{lpha}) \simeq$	7e-02	5 e-04	7 e-04	2 e-05	3 e-05

200

$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

k a Dirac point (or QBCP)



$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @

 $k \text{ a Dirac point (or QBCP)} \iff k \in \operatorname{Spec}_{L^2_0} D_B(\underline{\alpha})$

$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

k a Dirac point (or QBCP) $\iff k \in \operatorname{Spec}_{L^2_0} D_B(\underline{\alpha}) \iff E^B_{-+}(k) = 0.$

$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

k a Dirac point (or QBCP) $\iff k \in \operatorname{Spec}_{L^2_0} D_B(\underline{\alpha}) \iff E^B_{-+}(k) = 0.$

$$E^B_{-+}(k)\equiv B heta(z(k))^2+\mathcal{O}(B^2),$$

$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

k a Dirac point (or QBCP) $\iff k \in \operatorname{Spec}_{L^2_0} D_B(\underline{\alpha}) \iff E^B_{-+}(k) = 0.$

$$E^B_{-+}(k)\equiv B heta(z(k))^2\!+\!\mathcal{O}(B^2), \hspace{1em} heta(z(k))=0 \hspace{1em} ext{for} \hspace{1em} k\in \Lambda^* \hspace{1em} (\Gamma \hspace{1em} ext{points})$$

$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

k a Dirac point (or QBCP) $\iff k \in \operatorname{Spec}_{L^2_0} D_B(\underline{\alpha}) \iff E^B_{-+}(k) = 0.$

$$E^B_{-+}(k)\equiv B heta(z(k))^2\!+\!\mathcal{O}(B^2), \hspace{1em} heta(z(k))=0 \hspace{1em} ext{for} \hspace{1em} k\in \Lambda^* \hspace{1em} (\Gamma \hspace{1em} ext{points})$$

More Grushin problems + symmetries + spectral characterization:



$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

k a Dirac point (or QBCP) $\iff k \in \operatorname{Spec}_{L^2_0} D_B(\underline{\alpha}) \iff E^B_{-+}(k) = 0.$

$$E^B_{-+}(k)\equiv B heta(z(k))^2\!+\!\mathcal{O}(B^2), \hspace{1em} heta(z(k))=0 \hspace{1em} ext{for} \hspace{1em} k\in \Lambda^* \hspace{1em} (\Gamma \hspace{1em} ext{points})$$

More Grushin problems + symmetries + spectral characterization:



$$D_B(\underline{\alpha}) := D(\alpha) + \mathcal{B} = \begin{pmatrix} 2D_{\overline{z}} + B & \underline{\alpha}U(z) \\ \underline{\alpha}U(-z) & 2D_{\overline{z}} - B \end{pmatrix}$$

k a Dirac point (or QBCP) $\iff k \in \operatorname{Spec}_{L^2_0} D_B(\underline{\alpha}) \iff E^B_{-+}(k) = 0.$

$$E^B_{-+}(k)\equiv B heta(z(k))^2\!+\!\mathcal{O}(B^2), \hspace{1em} heta(z(k))=0 \hspace{1em} ext{for}\hspace{1em} k\in\Lambda^* \hspace{1em}(\Gamma \hspace{1em} ext{points})$$

More Grushin problems + symmetries + spectral characterization:



◆□▶ ◆□▶ ◆目▶ ◆目▶ ◆□▶

$$H_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 \mathcal{C} & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 \mathcal{C} \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$

$$\begin{aligned} & \mathcal{H}_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 \mathcal{C} & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 \mathcal{C} \end{pmatrix} : \mathcal{H}^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4) \\ & \mathcal{D}_B(\alpha) := \begin{pmatrix} 2D_{\overline{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\overline{z}} - B \end{pmatrix}, \end{aligned}$$

シック 単 (中本) (中本) (日)

$$H_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D_B(\alpha) := \begin{pmatrix} 2D_{\bar{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} - B \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$

シック 単 (中本) (中本) (日)

$$H_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D_B(\alpha) := \begin{pmatrix} 2D_{\bar{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} - B \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

For B = 0 how close are the bands of the two models when $\alpha \in \mathcal{A} \cap \mathbb{R}$? (Flat band at α , that is $E_{\pm 1}(k, \alpha, 0) \equiv 0$)

$$H_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D_B(\alpha) := \begin{pmatrix} 2D_{\bar{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} - B \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

For B = 0 how close are the bands of the two models when $\alpha \in \mathcal{A} \cap \mathbb{R}$? (Flat band at α , that is $E_{\pm 1}(k, \alpha, 0) \equiv 0$)

$$E_{\pm 1}(k, \alpha, t\alpha) = ?, t \rightarrow 0+$$

$$H_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D_B(\alpha) := \begin{pmatrix} 2D_{\bar{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} - B \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$

For B = 0 how close are the bands of the two models when $\alpha \in \mathcal{A} \cap \mathbb{R}$? (Flat band at α , that is $E_{\pm 1}(k, \alpha, 0) \equiv 0$)

$$E_{\pm 1}(k, \alpha, t\alpha) \simeq f(k)t, \ f(-k) = -f(k), \ f(\bar{k}) = -f(k), \ f(\omega k) = f(k)$$

$$H_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D_B(\alpha) := \begin{pmatrix} 2D_{\bar{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} - B \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$

For B = 0 how close are the bands of the two models when $\alpha \in \mathcal{A} \cap \mathbb{R}$? (Flat band at α , that is $E_{\pm 1}(k, \alpha, 0) \equiv 0$)

 $E_{\pm 1}(k,\alpha,t\alpha) \simeq f(k)t, \ f(-k) = -f(k), \ f(\bar{k}) = -f(k), \ f(\omega k) = f(k)$



$$H_B(\alpha_1, \alpha_0) := \begin{pmatrix} \alpha_0 C & D(\alpha_1)^* \\ D_B(\alpha_1) & \alpha_0 C \end{pmatrix} : H^1(\mathbb{C}; \mathbb{C}^4) \to L^2(\mathbb{C}; \mathbb{C}^4)$$
$$D_B(\alpha) := \begin{pmatrix} 2D_{\bar{z}} + B & \alpha U(z) \\ \alpha U(-z) & 2D_{\bar{z}} - B \end{pmatrix}, \quad C = \begin{pmatrix} 0 & V(z) \\ V(-z) & 0 \end{pmatrix}$$

For B = 0 how close are the bands of the two models when $\alpha \in \mathcal{A} \cap \mathbb{R}$? (Flat band at α , that is $E_{\pm 1}(k, \alpha, 0) \equiv 0$) $E_{\pm 1}(k, \alpha, t\alpha) \simeq f(k)t$, f(-k) = -f(k), $f(\bar{k}) = -f(k)$, $f(\omega k) = f(k)$



 $t\alpha = 10^{-3}, 10^{-2}, 10^{-1}$

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

Adding in-plane magnetic field: Dirac points not at zero energy





 $0 < B < 1/\sqrt{2}$

・ロト ・ 同ト ・ ヨト ・ ヨト

ж

Adding in-plane magnetic field: Dirac points not at zero energy



Approximate Dirac tips splitting for $B = 1/\sqrt{2}$, $\frac{1}{2}(1+i)$ and $\alpha_0 = 0.7\alpha_1$: $e^{-c\alpha_1}$?





Comparison of Dirac points for chiral, weakly interacting, and full BM Hamiltonian with in-plane field B = 0.5(1 + i); many features persist...



◆□▶ ◆□▶ ◆目▶ ◆目▶ ●目 ● のへで



Thanks for your attention!



▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●