

# Root- $T\bar{T}$ Deformations

(Based on 2206.10515, 2209.14274, 2304.08723)

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June 22, 2023

# Motivation: nice deformations.

We like integrability because it allows us to compute things exactly.

Better yet, integrability-preserving deformations give us infinite families of integrable theories when we deform appropriate seed theories.

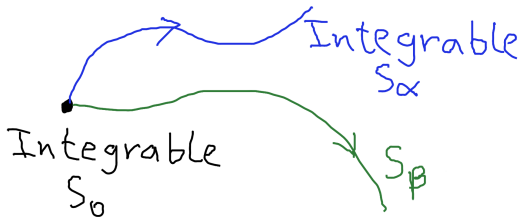
We will be interested in an *even nicer* subclass of deformations which are:

- 1 **universal**, in the sense that they can be applied to any member of a large class of theories;
- 2 **symmetry-preserving**, so that they leave desirable properties of the seed theory (like integrability or supersymmetry) intact; and
- 3 **solvable**, which means that we can compute quantities in the deformed theory in terms of those in the undeformed theory.

# Integrable vs. solvable.

Theory Space

— integrable def.  
— solvable def.



Non-integrable  $S_0$

$$O_0 = E_n, \hat{S}, Z, \dots$$

$$O_\lambda = f(O_0)$$

# Stress tensor deformations.

We can achieve property (1), **universality**, by deforming a QFT using an integrated local operator constructed from the stress-energy tensor:

$$S_0 \longrightarrow S_0 + \lambda \int d^d x \mathcal{O}(x), \quad \mathcal{O} = f(T_{\mu\nu}).$$

Every translation-invariant field theory admits a stress tensor  $T_{\mu\nu}$ .

Thus we can always consider a deformation of this form, for any scalar function  $f(T_{\mu\nu})$  and any seed action  $S_0$ , at least classically.

**Example.** If  $f(T_{\mu\nu}) = T^\mu{}_\mu$  is the trace of the stress tensor, then this deformation is a scale transformation, which is always well-defined.

# Quantum deformations.

So far we have only discussed classical deformations of the action. Not all such deformations exist in the quantum theory.

A famous solvable deformation which *does exist* quantum mechanically is  $T\bar{T}$ . This was nicely introduced in Horatiu's talk.

In any translation-invariant  $2d$  QFT there is an operator

$$\mathcal{O}_{T\bar{T}}(x) = \lim_{y \rightarrow x} (T^{\mu\nu}(x)T_{\mu\nu}(y) - T^\mu{}_\mu(x)T^\nu{}_\nu(y)) .$$

Despite involving a coincident-point limit of local operators, this point-splitting procedure gives a well-defined result [\[Zamolodchikov 2004\]](#).

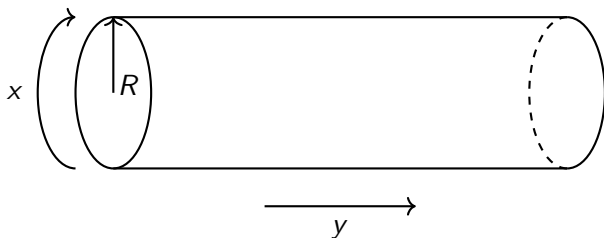
One can therefore deform any translation-invariant  $2d$  QFT by this operator  $\mathcal{O}_{T\bar{T}}$ , even at the quantum level.

# Solvability of $T\bar{T}$ .

The  $T\bar{T}$  deformation is **solvable** in the sense described before.

Observables like the spectrum, torus partition function, and  $S$ -matrix of a  $T\bar{T}$ -deformed theory can be expressed in terms of undeformed quantities.

As an example, consider the spectrum of energies  $E_n(R)$  for a  $2d$  QFT on a cylinder of radius  $R$ :



# Flow equation for energies.

Suppose that we deform the theory by

$$\frac{\partial \mathcal{S}}{\partial \lambda} = \frac{1}{2} \int d^2x \left( T_{\mu\nu}^{(\lambda)} T^{(\lambda)\mu\nu} - \left( T^{(\lambda)\mu}_{\mu} \right)^2 \right).$$

Using the expressions

$$T_{yy} = -\frac{1}{R} E_n(R), \quad T_{xx} = -\frac{\partial E_n(R)}{\partial R}, \quad T_{xy} = \frac{i}{R} P_n(R),$$

for stress tensor components, one finds that the spectrum flows according to the inviscid Burgers' equation,

$$\frac{\partial E_n}{\partial \lambda} = E_n \frac{\partial E_n}{\partial R} + \frac{P_n^2}{R},$$

as explained in [Cavaglià, Negro, Szécsényi, Tateo '16].

# Connections to string theory.

One way to see that  $T\bar{T}$  is related to string theory is to solve the flow equation for the Lagrangian beginning from a seed theory

$$\mathcal{L}_0 = \frac{1}{2} \partial^\mu \phi \partial_\mu \phi,$$

which gives

$$\mathcal{L}_\lambda = \frac{1}{2\lambda} \left( 1 - \sqrt{1 - 2\lambda \partial^\mu \phi \partial_\mu \phi} \right).$$

This is the Lagrangian for a static gauge Nambu-Goto string with a three-dimensional target space [Cavaglià, Negro, Szécsényi, Tateo '16].

The ability to find a closed-form expression for the deformed Lagrangian is another incarnation of solvability.



# Are there other such deformations?

We want other **universal, symmetry-preserving, solvable** deformations.

We would especially like a multi-parameter family\* extending  $T\bar{T}$ :

$$\partial_\lambda S(\lambda, \gamma) = \int d^2x \mathcal{O}_{T\bar{T}}, \quad \partial_\gamma S(\lambda, \gamma) = \int d^2x \mathcal{O}_{\text{new}}.$$

If  $S$  is a smooth function of  $\lambda$  and  $\gamma$ , then  $\partial_\lambda \partial_\gamma S = \partial_\gamma \partial_\lambda S$ .

$$\begin{array}{ccc} S_0 & \xrightarrow{\mathcal{O}_{\text{new}}} & S(\gamma) \\ \mathcal{O}_{T\bar{T}} \downarrow & & \downarrow \mathcal{O}_{T\bar{T}} \\ S(\lambda) & \xrightarrow{\mathcal{O}_{\text{new}}} & S(\lambda, \gamma) \end{array}$$

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\*As Jules said in his talk on Monday: “if you can deform in two ways simultaneously, you learn even more.”

# Roadmap.

We will be led to propose and study a *marginal* stress tensor deformation,

$$\mathcal{R} \sim \sqrt{T^{\mu\nu} T_{\mu\nu} - \frac{1}{2} (T^\mu{}_\mu)^2}.$$

of  $2d$  field theories. We call this the **root- $T\bar{T}$  deformation**.

The plan is as follows:

- Part 1: Introduction and context.
- Part 2: Stress tensor flows for PCM-like models.
- Part 3: A root- $T\bar{T}$  deformed spectrum from holography.
- Part 4: Summary and future directions.

This concludes the comprehensible portion of the talk. Please ask questions.

## Part 2: Stress tensor flows for PCM-like models.

# Sigma models.

Let us think about stress tensor deformations in the playground of integrable  $2d$  sigma models like the principal chiral model (PCM).

Let  $g(x, t) \in G$  be a group-valued field and define the left-invariant current

$$j_\mu = g^{-1} \partial_\mu g \in \mathfrak{g}.$$

We will sometimes use light-cone coordinates

$$x^\pm = \frac{1}{2} (t \pm x),$$

as in Riccardo's talk, and write the components of  $j_\mu$  as  $j_\pm$ .

The usual PCM has

$$\mathcal{L} \sim \text{tr} [j_+ j_-], \quad T_{\pm\pm} \sim \text{tr} [j_\pm j_\pm].$$

# PCM-like theories.

We focus on stress tensor deformations of the PCM and related models; these have Lagrangians that can be written in terms of quantities like

$$\text{tr} [j_\mu j_\nu] ,$$

but *not* traces with more fields, such as  $\text{tr} [j_\mu j_\nu j_\rho]$ .

Any Lorentz invariant constructed from traces of products of two  $j_\mu$  can be written as a function of the basis elements

$$x_1 = -\text{tr}[j_+ j_-] , \quad x_2 = \frac{1}{2} \left( \text{tr}[j_+ j_+] \text{tr}[j_- j_-] + (\text{tr}[j_+ j_-])^2 \right) .$$

Let us refer to any Lagrangian

$$\mathcal{L}(x_1, x_2)$$

as a **PCM-like model**. If  $\mathcal{L} \sim x_1$ , this is the usual principal chiral model.

# Modified equations of motion.

For the PCM, the current  $j_\mu$  is flat (by the Maurer-Cartan identity) and conserved (by the equation of motion). It is easy to write down a Lax

$$\mathfrak{L}_\pm = \frac{j_\pm}{1 \mp z}.$$

Flatness of this Lax for any  $z$  is equivalent to the equations of motion.

For a general PCM-like model  $\mathcal{L}(x_1, x_2)$ , the equation of motion is

$$\partial^\mu \mathfrak{J}_\mu = 0, \quad \mathfrak{J}_\mu = 2 \frac{\partial \mathcal{L}}{\partial x_1} j_\mu + 4 \frac{\partial \mathcal{L}}{\partial x_2} \text{tr} [j_\mu j^\rho] j_\rho.$$

Thus  $j_\mu$  is flat but not conserved, and  $\mathfrak{J}_\mu$  is conserved but not flat.

**Claim 1.** Given any PCM-like model with Lagrangian  $\mathcal{L}(x_1, x_2)$  which, up to overall scaling, satisfies the differential equation

$$\left( \frac{\partial \mathcal{L}}{\partial x_1} + x_1 \frac{\partial \mathcal{L}}{\partial x_2} \right)^2 - \left( \frac{\partial \mathcal{L}}{\partial x_2} \right)^2 (2x_2 - x_1^2) = 1, \quad (*)$$

the equations of motion are equivalent to flatness of the Lax connection

$$\mathfrak{L}_\pm = \frac{j_\pm \pm z \tilde{\mathfrak{J}}_\pm}{1 - z^2},$$

for any value of the spectral parameter  $z$ , where  $\tilde{\mathfrak{J}}_\mu$  is the current whose conservation expresses the equation of motion.

# Commutator magic.

Why is claim 1 true? Equation  $(\star)$  implies nice relations for commutators:

$$\begin{aligned} [\mathfrak{J}_+, \mathfrak{J}_-] &\sim \left( \left( \frac{\partial \mathcal{L}}{\partial x_1} + x_1 \frac{\partial \mathcal{L}}{\partial x_2} \right)^2 - \left( \frac{\partial \mathcal{L}}{\partial x_2} \right)^2 (2x_2 - x_1^2) \right) [j_+, j_-] \\ &\sim [j_+, j_-], \end{aligned}$$

and

$$[\mathfrak{J}_+, j_-] = [j_+, \mathfrak{J}_-].$$

Using these relations, it is straightforward to check that the Lax works.



# Deforming PCM-like models.

Now suppose that we deform a PCM-like model by a function of the stress tensor. That is, consider a one-parameter family of theories  $\mathcal{L}_\lambda$  obeying

$$\frac{\partial \mathcal{L}_\lambda}{\partial \lambda} = f \left( T_{\mu\nu}^{(\lambda)} \right),$$

where  $f$  is any scalar constructed from the stress tensor  $T_{\mu\nu}^{(\lambda)}$  of  $\mathcal{L}_\lambda$ .

**Claim 2.** If the initial theory  $\mathcal{L}_0$  at  $\lambda = 0$  satisfies the partial differential equation  $(\star)$  then so does  $\mathcal{L}_\lambda$  for any  $\lambda$  and function  $f$ .

Therefore *any* stress tensor deformation preserves classical integrability for these models, and we can write down the Lax explicitly in terms of  $\mathcal{L}$ .

# Is any deformation special?

This result makes it sound like all stress tensor deformations are “equally good” for the purposes of classical integrability of PCM-like models.

However, let us return to the motivation of multi-parameter families:

$$\begin{array}{ccc} S_0 & \xrightarrow{\mathcal{O}_{\text{new}}} & S(\gamma) \\ \mathcal{O}_{T\bar{T}} \downarrow & & \downarrow \mathcal{O}_{T\bar{T}} \\ S(\lambda) & \xrightarrow{\mathcal{O}_{\text{new}}} & S(\lambda, \gamma) \end{array}$$

We know that  $T\bar{T}$  is special because it exists quantum-mechanically.

**Question.** Is there a marginal  $\mathcal{O}_{\text{new}} = f(T_{\mu\nu})$  which commutes with  $T\bar{T}$  for PCM-like models, giving a two-parameter family of Lax connections?

Root- $T\bar{T}$  appears.

**Answer.** There is a unique marginal  $f(T_{\mu\nu})$  which commutes with  $T\bar{T}$ ,

$$\mathcal{R} \sim \sqrt{T^{\mu\nu} T_{\mu\nu} - \frac{1}{2} (T^\mu{}_\mu)^2}.$$

This is the root- $T\bar{T}$  operator studied in [CF, Sfondrini, Smith, Tartaglino - Mazzucchelli '22]. It preserves classical conformal invariance when applied to a CFT seed, unlike  $T\bar{T}$ .

# An example Lagrangian flow.

To build intuition, let us solve the flow equation

$$\frac{\partial S}{\partial \gamma} = \frac{1}{\sqrt{2}} \int d^2x \mathcal{R} = \int d^2x \sqrt{\frac{1}{2} T^{(\gamma)\mu\nu} T_{\mu\nu}^{(\gamma)} - \frac{1}{4} \left( T^{(\gamma)\mu}_{\mu} \right)^2}$$

with initial condition

$$\mathcal{L}_0 = -\text{tr} [j_+ j_-] .$$

The result is

$$S_\gamma = \int d^2x \left( -\cosh(\gamma) \text{tr} [j_+ j_-] + \sinh(\gamma) \sqrt{\text{tr} [j_+ j_+] \text{tr} [j_- j_-]} \right) .$$

The argument of the square root is, in complex coordinates, exactly  $T \bar{T}$ .

This talk focused on the simplest case of the PCM.

In [Borsato, CF, Sfondrini '22] we show that integrable two-parameter  $T\bar{T}$  and root- $T\bar{T}$  flows exist for

- 1 PCM with WZ term;
- 2 symmetric space sigma model (with WZ term);
- 3 semi-symmetric space sigma model (with WZ term).

This result singles out root- $T\bar{T}$  as the unique marginal deformation which gives rise to integrable two-parameter families along with  $T\bar{T}$  for this (fairly large) class of examples.

Part 3: A root- $T\bar{T}$  deformed spectrum from  
holography.

# Energy flow for root- $T\bar{T}$ ?

We have seen that root- $T\bar{T}$  has some nice properties, such as universality, preserving integrability, and commuting with  $T\bar{T}$ .

What about **solvability**?

For instance, is there any analogue of the energy flow equation

$$\frac{\partial E_n}{\partial \lambda} = E_n \frac{\partial E_n}{\partial R} + \frac{P_n^2}{R},$$

associated with the  $T\bar{T}$  deformation, for the root- $T\bar{T}$  flow?

# A naïve approach.

To set expectations, let us look for a candidate flow equation for a root- $T\bar{T}$  deformed field theory on a cylinder of radius  $R$  as follows.

**Question.** Does there exist any differential equation of the form

$$\frac{\partial E_n(R)}{\partial \gamma} = f(E_n, \partial_R E_n, P_n),$$

with the following properties?

- 1 The flow is generated by a *marginal* stress tensor deformation, so  $\gamma$  is dimensionless and  $f$  is a Lorentz scalar constructed from  $T_{\mu\nu}$ ;
- 2 the momentum  $P_n$  is undeformed, so  $P_n(\gamma) = P_n(0)$ ; and
- 3 the flow gives a two-parameter family of commuting deformations with the inviscid Burgers' equation of  $T\bar{T}$ .



# Candidate root- $T\bar{T}$ energy flow.

**Answer.** There exists a unique differential equation with these properties,

$$\frac{\partial E_n}{\partial \gamma} = \sqrt{\frac{1}{4} \left( E_n - R \frac{\partial E_n}{\partial R} \right)^2 - P_n^2}.$$

The right side is exactly the root- $T\bar{T}$  operator  $\mathcal{R}$  when components of  $T_{\mu\nu}$  are expressed in terms of energies and momenta:

$$T_{yy} = -\frac{1}{R} E_n(R), \quad T_{xx} = -\frac{\partial E_n(R)}{\partial R}, \quad T_{xy} = \frac{i}{R} P_n(R).$$

# Deformed spectrum for CFT seed.

Suppose that we root- $T\bar{T}$  deform the spectrum of a CFT on a cylinder of radius  $R$ . All energies and momenta scale like

$$E_n = \frac{a_n}{R}, \quad P_n = \frac{b_n}{R},$$

for dimensionless constants  $a_n, b_n$ . One can solve the flow and find

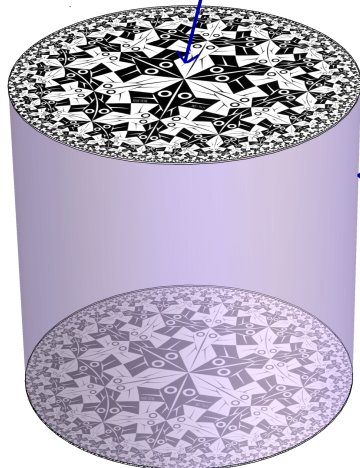
$$E_n(\gamma) = \cosh(\gamma)E_n + \sinh(\gamma)\sqrt{E_n^2 - P_n^2}.$$

However, just because we can write down a differential equation for the spectrum does not mean that a quantum deformation exists.

Can we find evidence for this flow?

# Studying the spectrum using holography.

What happens in  $AdS_3$  bulk?



Root- $T\bar{T}$   
deform boundary  
 $CFT_2$

# AdS<sub>3</sub> boundary conditions.

Conventional deformations of  $\text{CFT}_d$  are often equivalent to mixed boundary conditions in the  $\text{AdS}_{d+1}$  bulk dual [Witten 2001].

A general asymptotically AdS<sub>3</sub> metric admits the expansion

$$ds^2 = g_{\alpha\beta}(\rho, x^\alpha) dx^\alpha dx^\beta + \ell^2 \frac{d\rho^2}{4\rho^2},$$
$$g_{\alpha\beta}(\rho, x^\alpha) = \frac{g_{\alpha\beta}^{(0)}(x^\alpha)}{\rho} + g_{\alpha\beta}^{(2)}(x^\alpha) + \rho g_{\alpha\beta}^{(4)}(x^\alpha),$$

in terms of a Fefferman-Graham coordinate  $\rho$  with the boundary at  $\rho = 0$ .

The expansion coefficient  $g_{\alpha\beta}^{(0)}$  is identified with  $h_{\alpha\beta}$ , the boundary metric, and the subleading term  $g_{\alpha\beta}^{(2)}$  is related to the boundary stress tensor  $T_{\alpha\beta}$ .

# Variational principle.

If we vary the bulk Einstein-Hilbert action, with appropriate boundary term, it reduces to an on-shell boundary integral:

$$\delta S \Big|_{\text{on-shell}} = \frac{1}{2} \int_{\partial \mathcal{M}} d^2 x \sqrt{h} T_{\alpha\beta} \delta h^{\alpha\beta} .$$

To have a good variational principle, we demand  $\delta h^{\alpha\beta} = 0$ . The boundary metric is held fixed.

In a deformed theory, we expect that there will be some *other* variational principle where a different object  $h_{\alpha\beta}(\gamma)$  is held fixed.

Is there such a modified variational principle which corresponds to a boundary root- $T\bar{T}$  deformation?

# Holding fixed a new metric.

Let

$$\tilde{T}_{\alpha\beta} = T_{\alpha\beta} - \frac{1}{2}h_{\alpha\beta}T^\rho{}_\rho.$$

be the traceless part of the stress tensor. Then define

$$\begin{aligned}h_{\alpha\beta}(\gamma) &= \cosh(\gamma)h_{\alpha\beta}(0) + \frac{\sinh(\gamma)}{\mathcal{R}(0)}\tilde{T}_{\alpha\beta}(0), \\ \tilde{T}_{\alpha\beta}(\gamma) &= \cosh(\gamma)\tilde{T}_{\alpha\beta}(0) + \sinh(\gamma)\mathcal{R}(0)h_{\alpha\beta}(0), \\ \mathcal{R}(0) &= \sqrt{\frac{1}{2}T_{\alpha\beta}(0)T^{\alpha\beta}(0) - \frac{1}{4}(T^\alpha{}_\alpha(0))^2}.\end{aligned}$$

We find that the boundary root- $T\bar{T}$  deformation corresponds to a new variational principle in which the metric  $h_{\alpha\beta}(\gamma)$  is held fixed and acts as a source for the new stress tensor  $\tilde{T}_{\alpha\beta}(\gamma)$ .

# Computing masses.

Using standard gravity techniques, we can compute the mass of a bulk  $\text{AdS}_3$  spacetime subject to these deformed boundary conditions.

For instance, we can begin with an undeformed spacetime

BTZ black hole with mass  $M$ , spin  $J$



CFT state with energy  $E \sim M$  and momentum  $P \sim J$

How does the spacetime mass change when we turn on root- $T\bar{T}$  deformed boundary conditions?

# Root- $T\bar{T}$ energies from gravity.

One finds that the spacetime mass satisfies

$$M(\gamma) = \cosh(\gamma)M(0) + \sinh(\gamma)\sqrt{M^2 - J^2},$$

which matches the solution to our root- $T\bar{T}$  flow equation with CFT seed,

$$E_n(\gamma) = \cosh(\gamma)E_n + \sinh(\gamma)\sqrt{E_n^2 - P_n^2}.$$

This provides evidence that, at least for large- $c$  holographic CFTs, the candidate flow equation for the root- $T\bar{T}$  deformed spectrum is correct.



## Part 4: Summary and future directions.

# Summary.

We proposed and studied a new stress tensor deformation of 2D QFTs:

$$\frac{\partial \mathcal{S}_\gamma}{\partial \gamma} \sim \int d^2x \sqrt{T^{\mu\nu} T_{\mu\nu} - \frac{1}{2} (T^\mu{}_\mu)^2}.$$

This root- $T\bar{T}$  operator shares some of the nice properties of  $T\bar{T}$ :

- 1 it is **universal** because it is constructed from the stress tensor;
- 2 it **preserves symmetries** like integrability in many examples; and
- 3 it may be **solvable**, as evidenced by a candidate flow equation for the cylinder spectrum.

Root- $T\bar{T}$  is singled out as the unique such marginal deformation which forms a two-parameter commuting family with  $T\bar{T}$ .

# Future directions.

There is much more to do. Here are a few questions:

- 1 Can the root- $T\bar{T}$  deformation be defined directly at the quantum level, and if so, what are its properties?
  - As a toy example, one can dimensionally reduce to  $(0 + 1)$ -dimensions. See [García, Sánchez-Isidro '22] and upcoming work 2306.XXXXX.
- 2 There are interesting analogies between stress tensor flows for  $2d$  PCM-like or scalar theories and  $4d$  Abelian gauge theories. Can these be pushed further? What about non-Abelian gauge theories?
- 3 What is the interplay between root- $T\bar{T}$  and supersymmetry? Can root- $T\bar{T}$  be formulated in superspace like the usual  $T\bar{T}$ ?

Thank you for your a $T\bar{T}$ ention!