

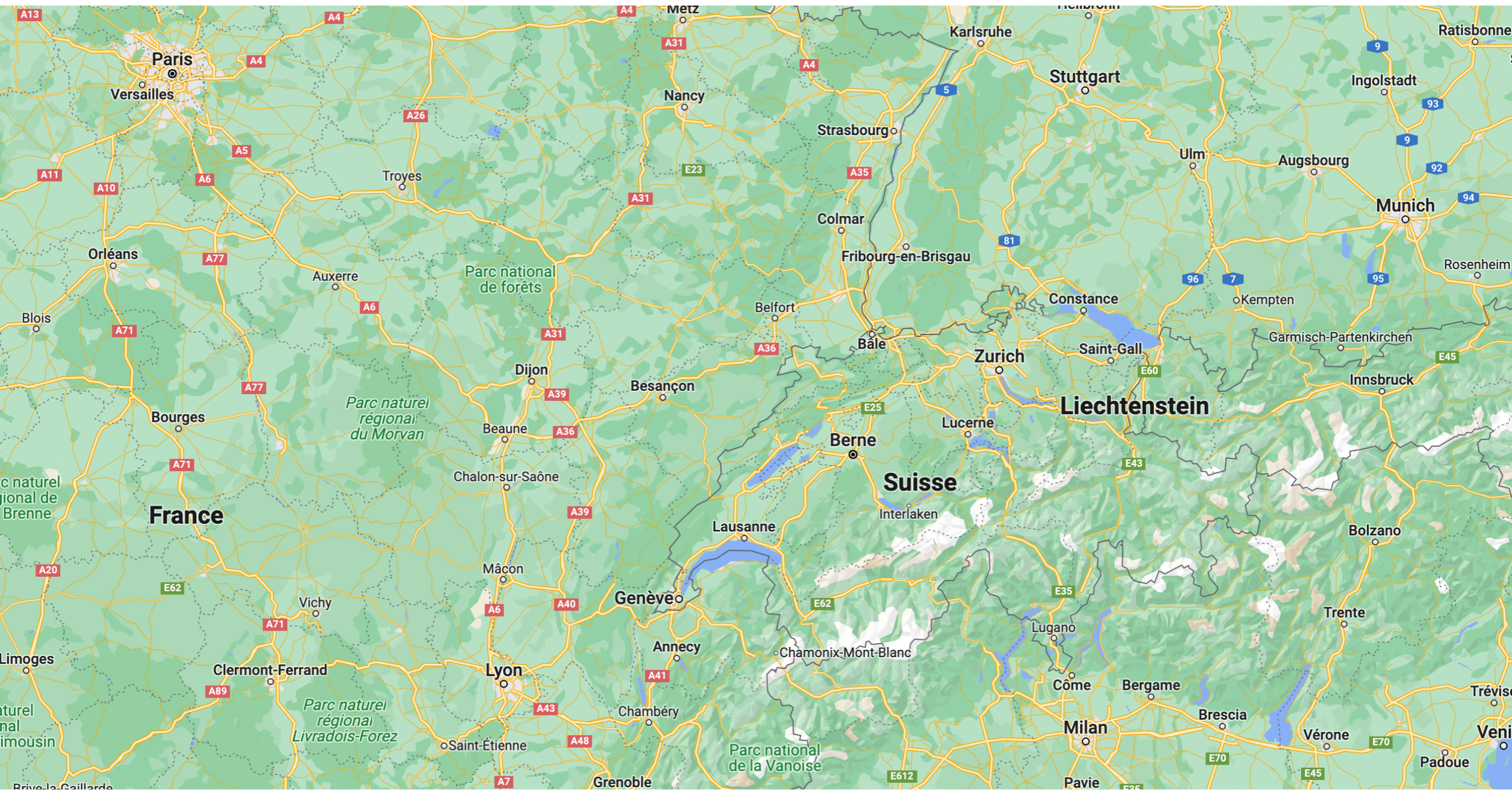
Non-perturbative S-matrices from dispersive iterations in $d=3$ & 4

Piotr Tourkine
LAPTh, Annecy, France

QCD meets Gravity, Zurich
Dec 11-16, 2022

In collaboration with A. Zhiboedov:
JHEP 2021, and 2023 (to appear)





39

Lausanne

Genève

E62

Annecy

A41

Chamonix-Mô

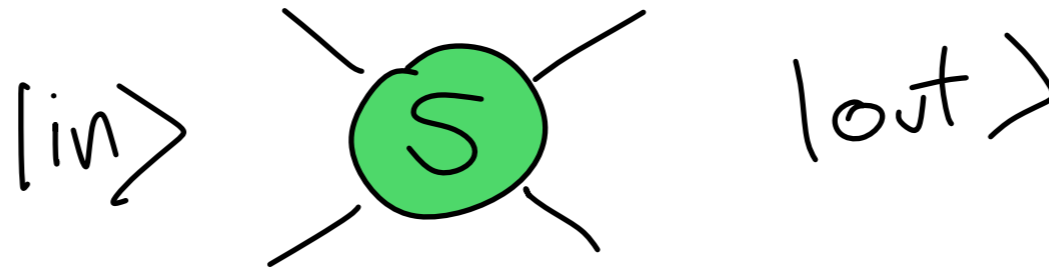


Outline

- Motivations and introduction
 - Unitarity
 - Scattering from production and Atkinson
- Results
 - numerical implementation
 - Aks physics (“scattering implies production”)
 - Regge physics

Introduction

Goal : compute S-matrix *functions* which satisfy analyticity, crossing, and non-perturbative unitarity

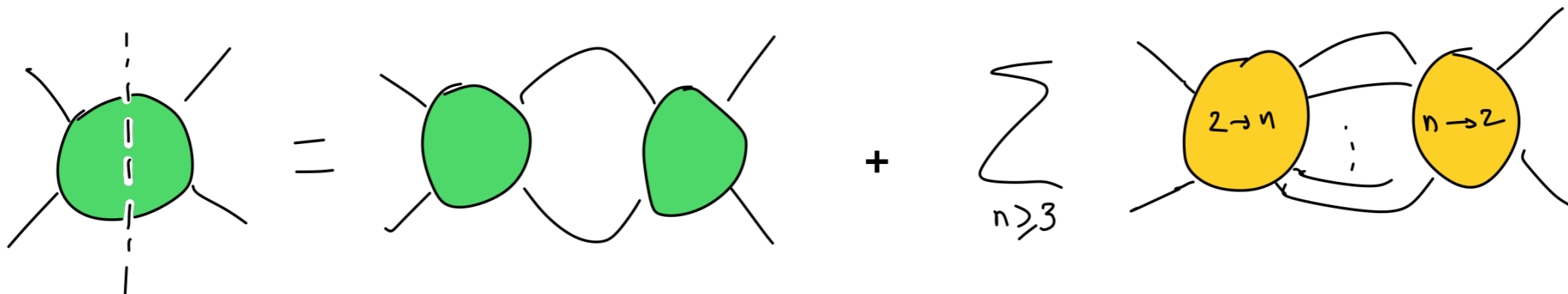


- Perturbative unitarity : amplitudes' methods
- Non-pert. unitarity : extremely hard to combine with crossing. As of today, no consistent amplitudes have been built in $d \geq 3$
- CFT numerical bootstrap has revived the hope that the S-matrix bootstrap of the 60's can be revisited today with modern computers' power.

Introduction

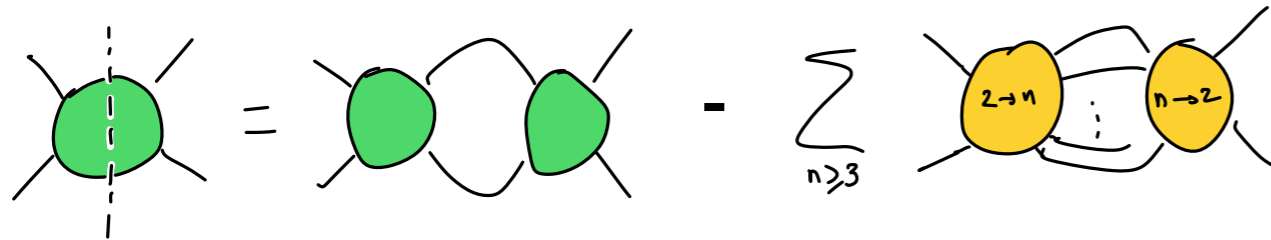
Restrict to $2 \rightarrow 2$ amplitudes

Still very hard, and they contain info about all $2 \rightarrow n$ processes, via optical theorem



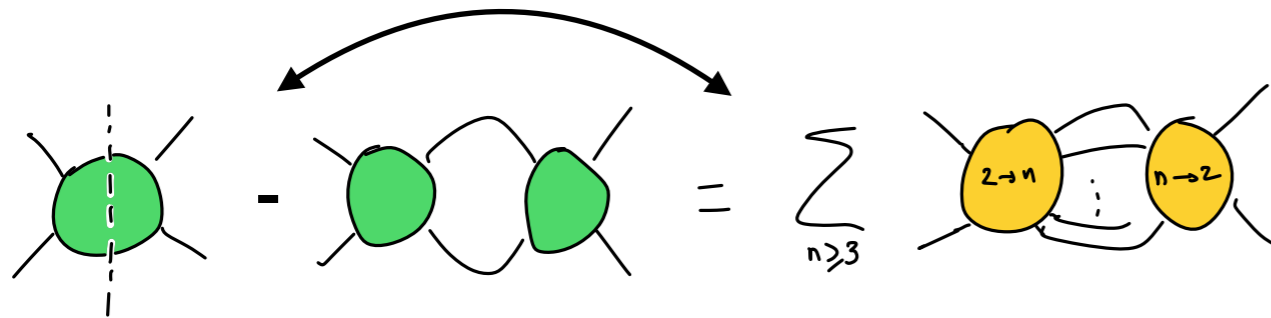
Introduction

Our approach : Scattering from production



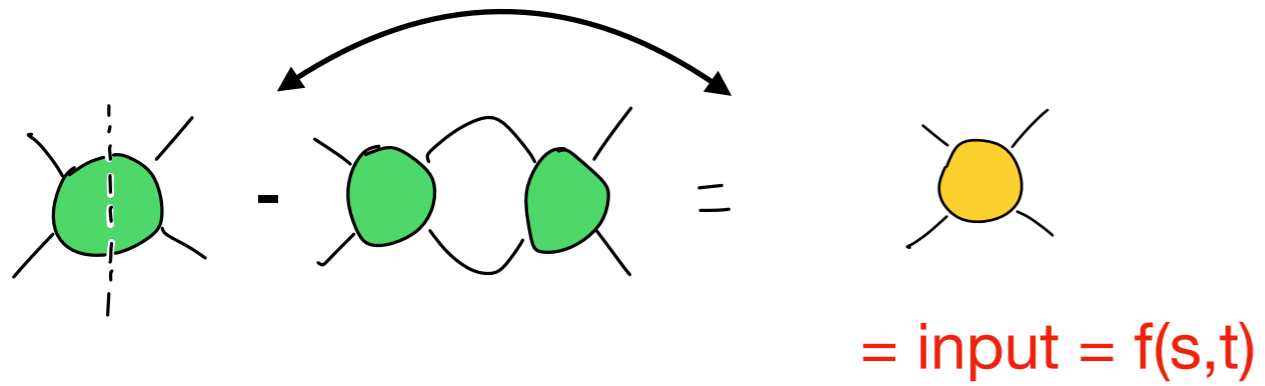
Introduction

Our approach : Scattering from production



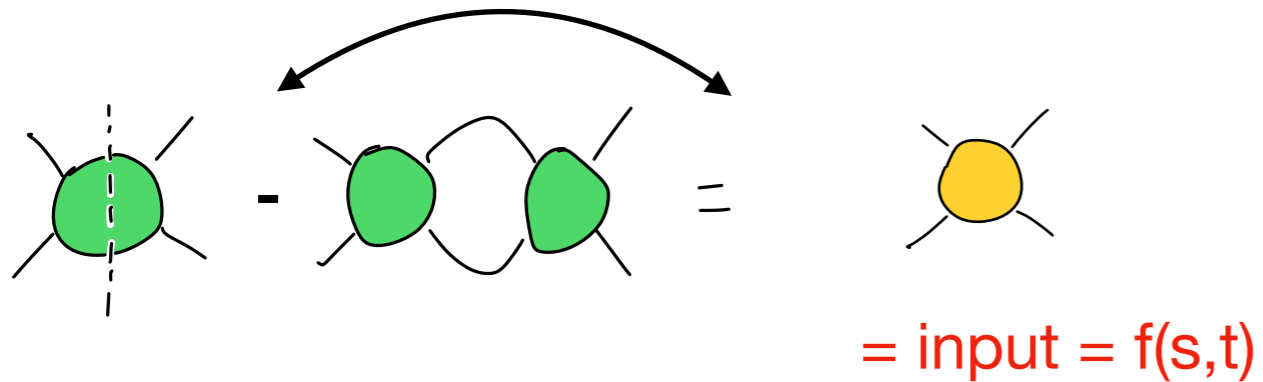
Introduction

Our approach : Scattering from production



Introduction

Our approach : **Scattering** from **production**



Gives scattering as a function (formally) of production

$$\text{Scattering} = \Psi \left[\text{Production} \right]$$

⇒ alleviates complicated multipoint physics

Introduction

Gives scattering as a function (formally)
of production

$$\text{Green Circle} = \Psi \left[\text{Yellow Circle} \right]$$

⇒ alleviates complicated
multipoint physics

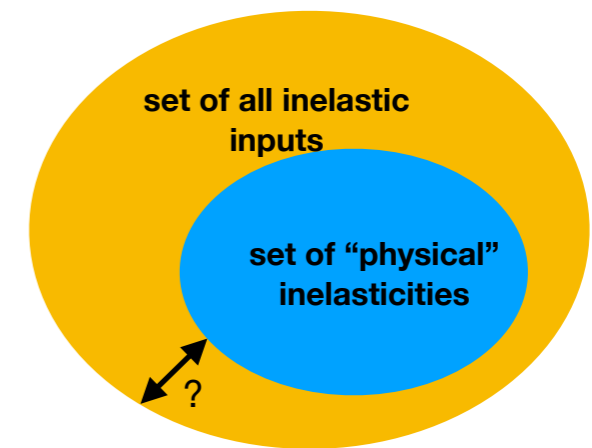
Introduction

Gives scattering as a function (formally) of production

$$\text{Scattering} = \Psi \left[\text{Production} \right]$$

⇒ alleviates complicated multipoint physics

Conjecture: scans all physical theories



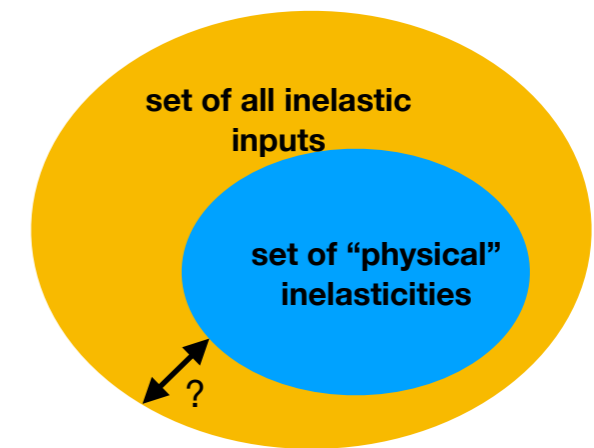
Introduction

Gives scattering as a function (formally) of production

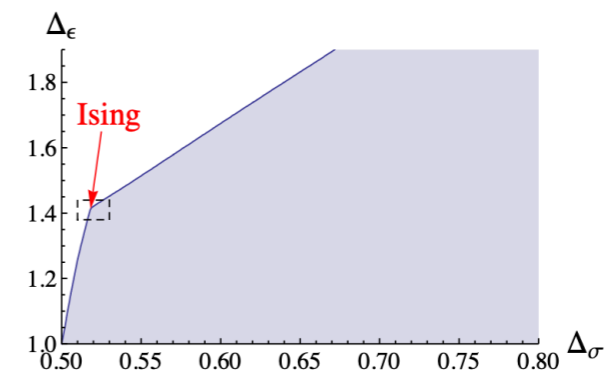
$$\text{Scattering Diagram} = \Psi \left[\text{Production Diagram} \right]$$

⇒ alleviates complicated multipoint physics

Conjecture: scans all physical theories



- Change of paradigm compared to 60s: explore space of theories, rather than solve one theory
- Then, maybe, find and solve *extremal* theories

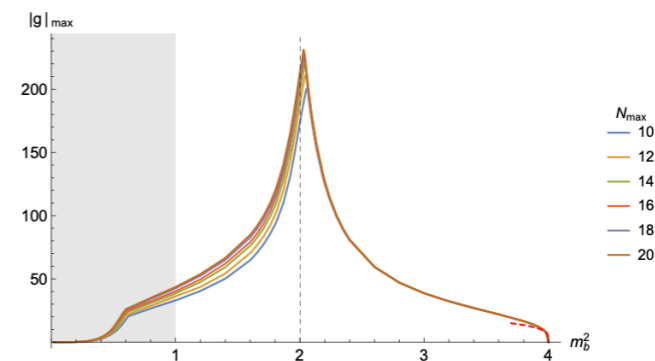


[arXiv:1203.6064] Phys.Rev. **D86**
(2012) 025022
Solving the 3D Ising Model with the Conformal Bootstrap
S. El-Showk, M. F. Paulos, D. Poland, S. Rychkov, D. Simmons-Duffin, A. Vichi

Other approaches

- A lot of activity on S-matrix bootstrap, starting with [PPTvRV '16](#)
- One difficulty: numerics does not allow to control inelasticity and seem in tension with Aks theorem.
- Aks theorem ('64):
“Scattering implies production” (in $d > 2$)
- Also: some part of the analytic structure (Landau curves) not built in and convergence to them seems hard to achieve.

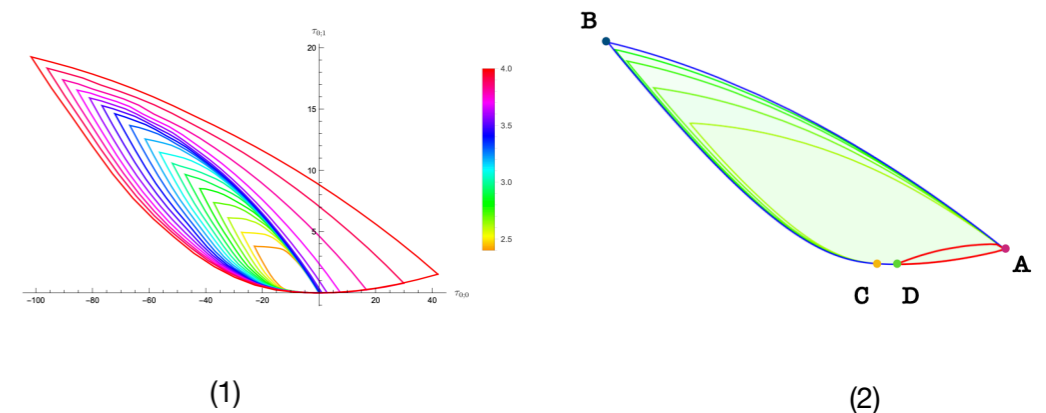
[M. F. Paulos, J. Penedones, J. Toledo, B. C. van Rees, P. Vieira, 2016, 2017](#)



gold standard results on coupling maximization

Recent works

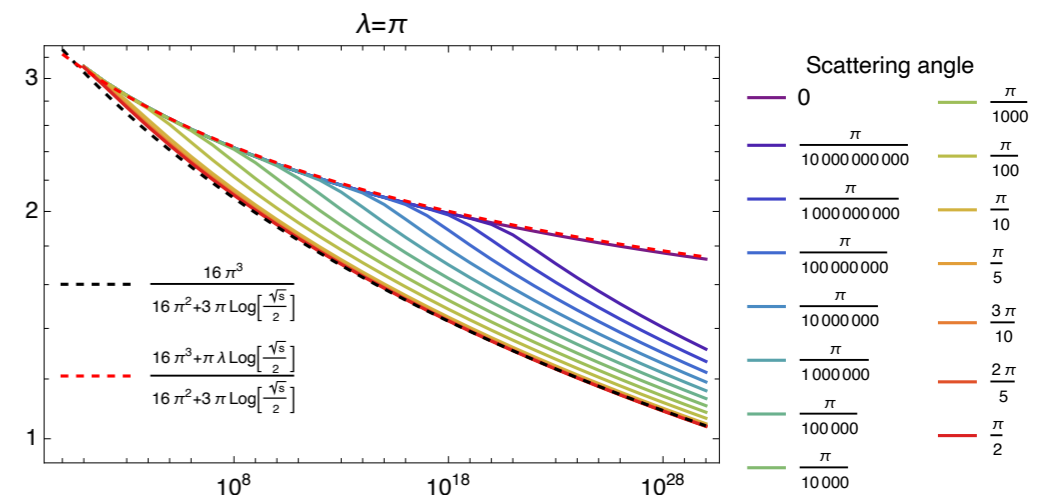
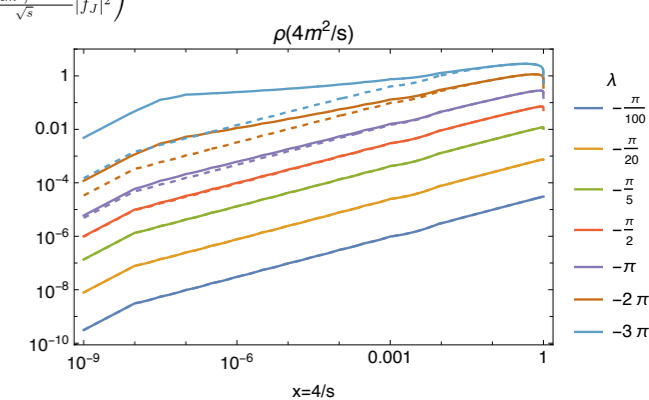
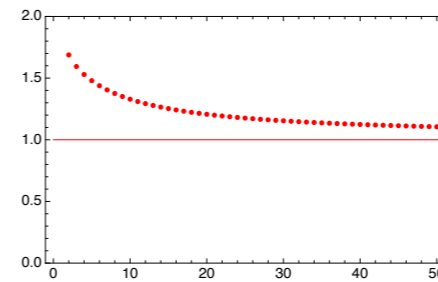
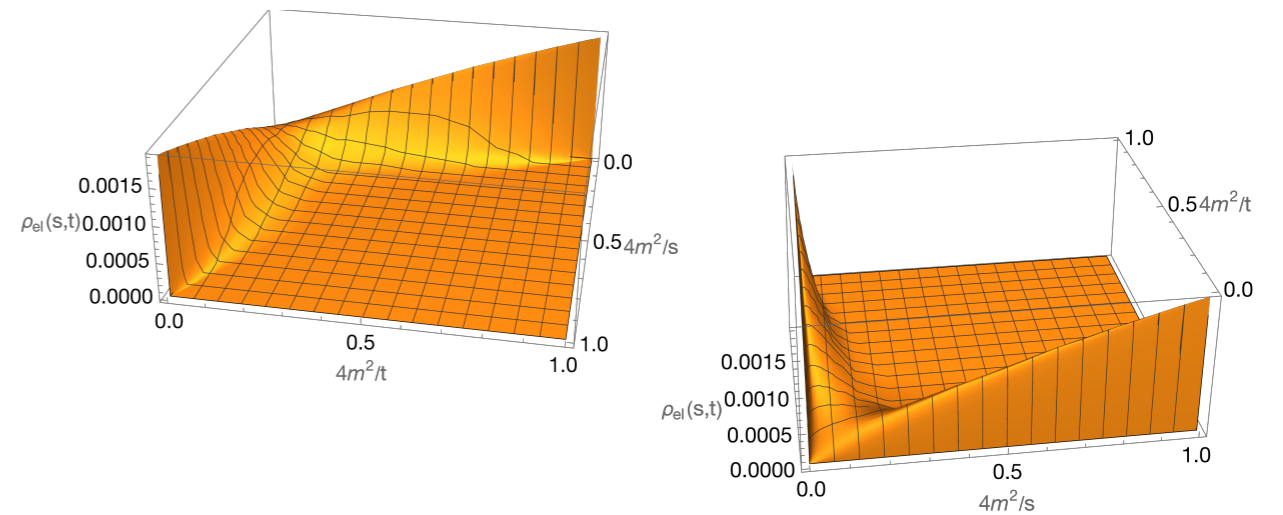
- (1) [\[arXiv:2207.12448\]](#)
Nonperturbative Bounds on Scattering of Massive Scalar Particles in $d \geq 2$
[H. Chen, A. L. Fitzpatrick, D. Karateev](#)
- (2) [\[arXiv:2210.01502\]](#)
Bridging Positivity and S-matrix Bootstrap Bounds
[J. Elias Miro, A. Guerrieri, M. A. Gumus](#)



Main results

PT, Zhiboedov, 202(2/3), to appear

- Numerical implementation of dispersive iterations which produces S -matrices that satisfy all known constraints in $d \geq 3$ (mostly $d=3$ and $d=4$)
- Inelastic physics is correctly produced, and matches perturbation theory (3 loops) at low energies.
- Probe non-trivial Regge ($d=4$) threshold ($d=3$) physics and log-resummation.
- Conjecture that *quasi-elastic* amplitudes possibly saturate some bootstrap bounds.

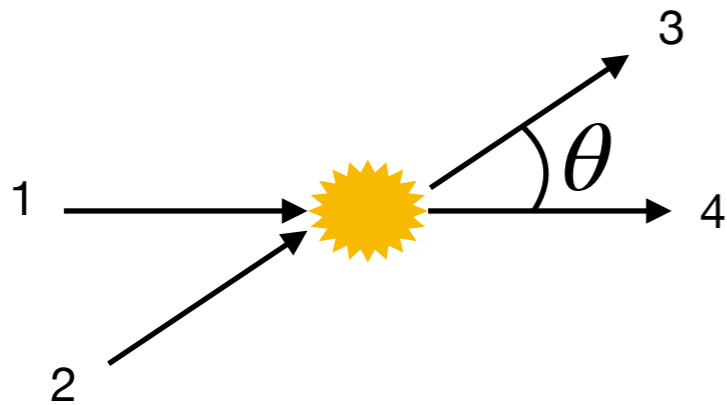


Unitarity, analyticity

Unitarity

Setup

Scattering of identical, scalar, lightest particles of a gapped QFT in $d > 2$ dimensions of mass m^2



Enforce some \mathbb{Z}_2 symmetry to remove 3-pt vertex

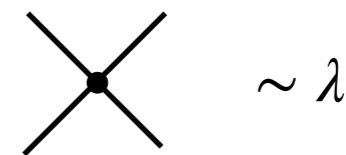
Result: massive, ϕ^4 -like theories

$$z = \cos(\theta) = 1 + \frac{2t}{s - 4m^2}$$

$$s = (p_1 + p_2)^2$$

$$t = (p_1 - p_3)^2$$

$$u = (p_1 - p_4)^2$$



Unitarity

- $S^\dagger S = 1$
- $S = 1 + iT \implies 2i \operatorname{Im} T = T^\dagger T$

Unitarity

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- $S = 1 + iT \implies 2i \operatorname{Im} T = T^\dagger T$

The diagram shows the complex s -plane with a horizontal real axis. Two branch cuts are indicated: a red segment from $s = 4m^2$ to $s = 16m^2$, and a blue segment starting at $s = 16m^2$ and extending to the right. Below the axis, two diagrams of particle interactions are shown. The first is a bubble diagram with two external lines and two internal lines forming a loop. The second is a more complex diagram with two external lines and multiple internal lines forming a loop with additional vertices. Arrows point from these diagrams to the branch cuts on the s -axis.

$$2i \operatorname{Im} T_{2 \rightarrow 2} = |T_{2 \rightarrow 2}|^2 + \sum_{n \geq 3} |T_{2 \rightarrow n}|^2$$

$$4m^2 \leq s \leq 16m^2$$

$$T_s(s, t) = \frac{(s - 4m^2)^{\frac{d-3}{2}}}{8(4\pi)^{d-2} \sqrt{s}} \int_{-1}^1 dz' \int_{-1}^1 dz'' \mathcal{P}_d(z, z', z'') T^{(+)}(s, t(z')) T^{(-)}(s, t(z''))$$

Not crossing-friendly

- discontinuity in s only in *l.h.s.*
- physical kinematics

$$4m^2 - s < t < 0$$

$$s > 4m^2$$

Elastic unitarity
Non-perturbative equation

Partial wave unitarity

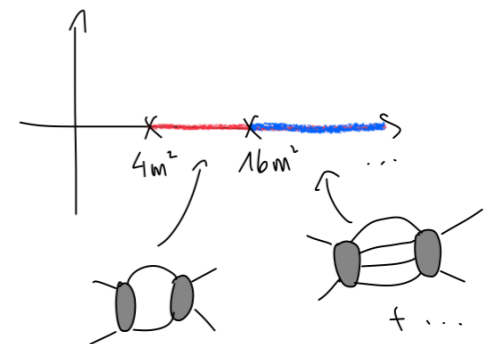
$$T(s, t) = 16\pi \sum_{J=0}^{\infty} (2J + 1) P_J(z) f_J(s)$$

$$z = \cos(\theta)$$

$$S_J(s) = 1 + ic(s) f_J(s)$$

↳ diagonalise unitarity:

- $|S_J(s)|^2 = 1, s \in [4m^2; 16m^2]$
- $|S_J(s)|^2 \leq 1, s \in [16m^2; +\infty[$
- *Straightforward to check, difficult to obtain*

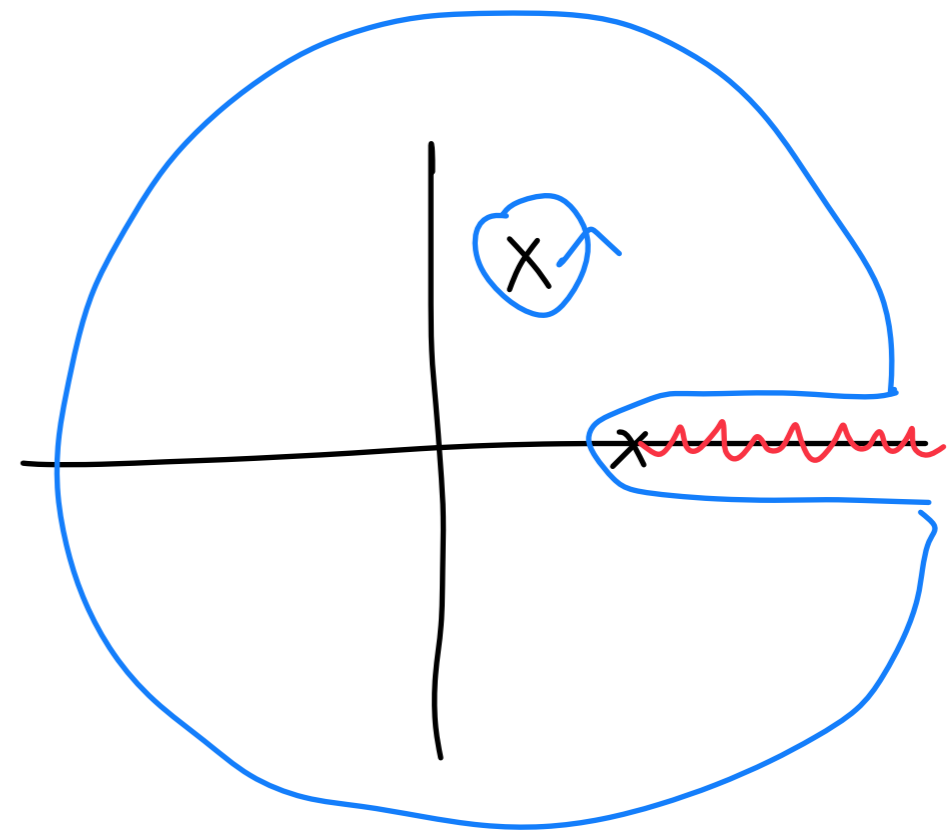


Analytic structure

- Assumption: Lightest particle maximal analyticity (LPMA)
- Mandelstam representation

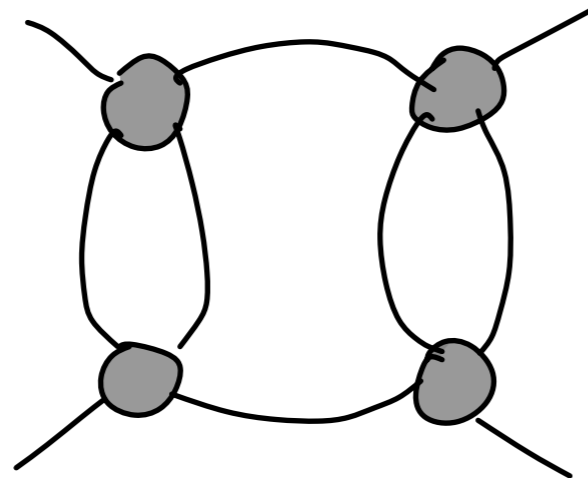
Dispersion relations

$$f(z) = \frac{1}{2i\pi} \int_{z_0}^{\infty} \frac{\text{Disc } f(z)}{w - z} dw$$



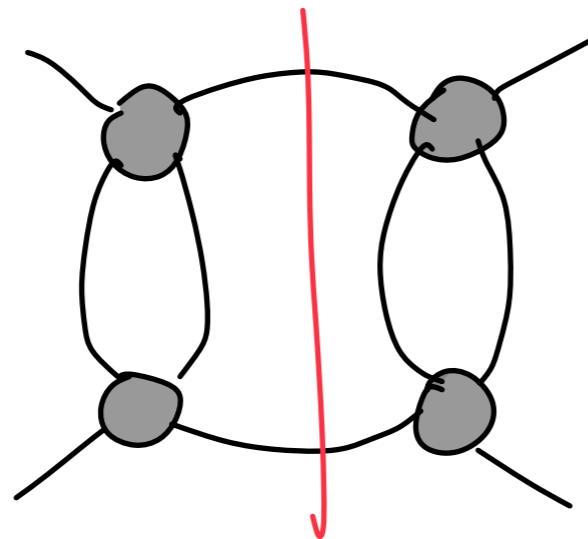
Double disc

- Typical complex enough graphs might have a double disc.



Double disc

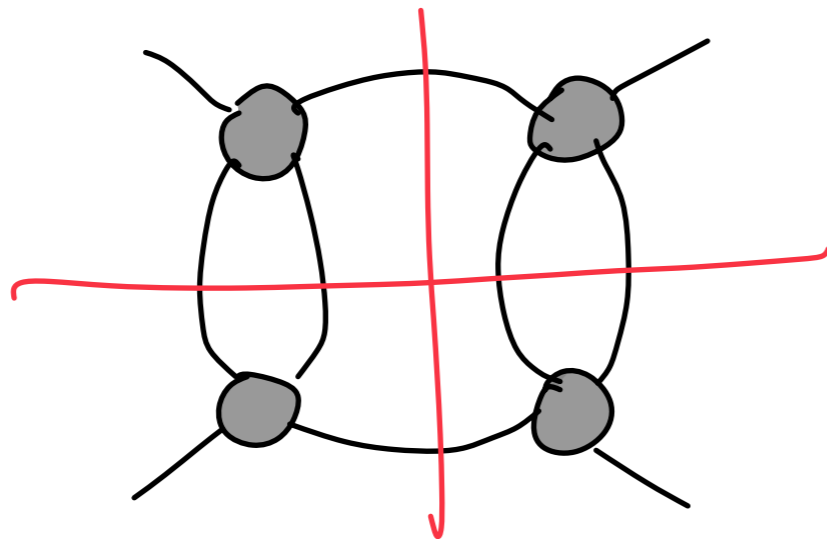
- Typical complex enough graphs might have a double disc.



need $s \geq (2m)^2$

Double disc

- Typical complex enough graphs might have a double disc.



need $s \geq (2m)^2$

need $t \geq (4m)^2$

A proper calculation gives

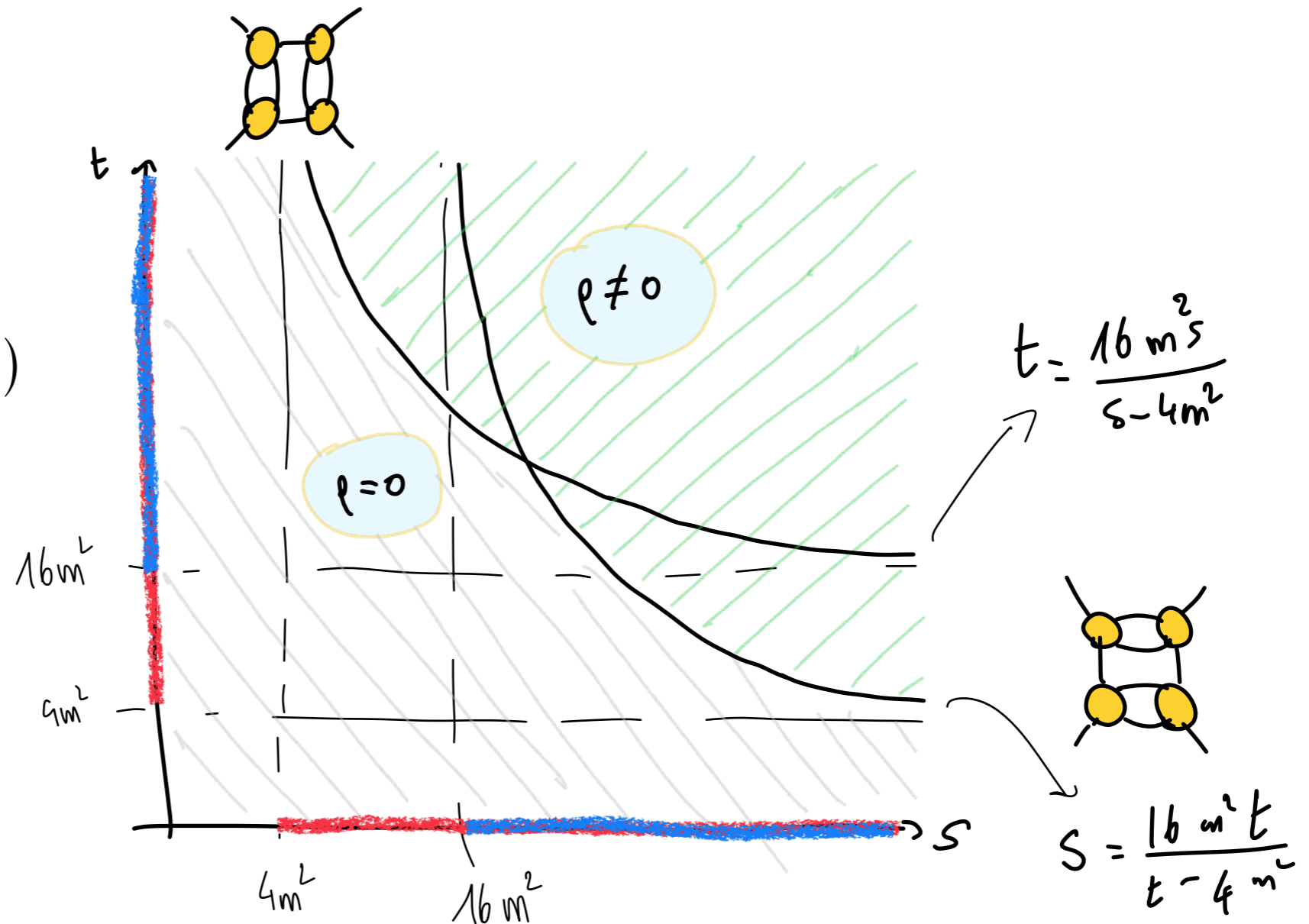
$$t \geq \frac{16s}{s - 4m^2} \quad \text{Landau curve}$$

unphysical kinematics

Double disc

see Correira, Sever, Zhiboedov '20

$$\rho(s, t) = \text{Disc}_s \text{Disc}_t(T(s, t))$$



Back to elastic unitarity

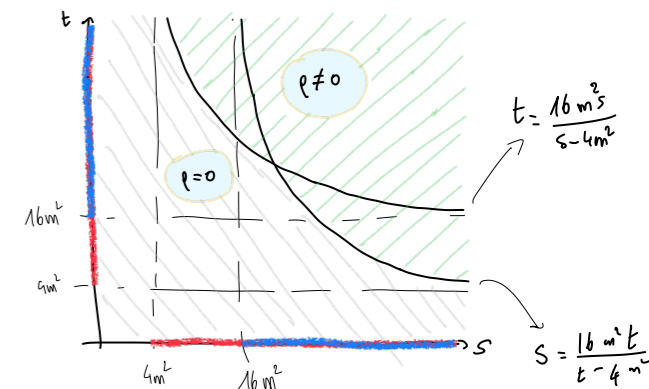
$$T_s(s, t) = \frac{(s - 4m^2)^{\frac{d-3}{2}}}{8(4\pi)^{d-2}\sqrt{s}} \int_{-1}^1 dz' \int_{-1}^1 dz'' \mathcal{P}_d(z, z', z'') T^{(+)}(s, t(z')) T^{(-)}(s, t(z''))$$

take another disc:

$$\rho(s, t) = c(s) \iint_{z_1}^{\infty} T_t(s, t(z')) T_t(s, t(z'')) K_d(s, t, z', z'') dz' dz''$$

$$s, t \geq 4m^2$$

Mandelstam equation



Mandelstam representation

$$T(s, t) = \lambda + B(s, t) + B(t, u) + B(u, s)$$

$$B(s, t) = \int_{4m^2}^{\infty} \frac{ds'}{\pi} \frac{\rho(s')}{s' - s} + \iint_{4m^2}^{\infty} \frac{ds' dt'}{\pi^2} \frac{\rho(s', t')}{(s' - s)(t' - t)}$$

$\rho(s, t)$ has support only above the **Landau curves**

This is how we parametrise our amplitudes

Mandelstam representation

$$T(s, t) = \lambda + B(s, t) + B(t, u) + B(u, s)$$

$$B(s, t) = \int_{4m^2}^{\infty} \frac{ds' \rho(s')}{\pi (s' - s)} + \iint_{4m^2}^{\infty} \frac{ds' dt' \rho(s', t')}{\pi^2 (s' - s)(t' - t)}$$

Let us ignore the single dispersive function for the moment

Equations to solve

$$\rho_{el}(s, t) = \frac{1}{\pi^2} \iint \frac{\text{Disc}_t T(t_1, s) \text{Disc}_t T(t_2, s)}{K(s, t, t_1, t_2)} dt_1 dt_2$$

$$\rho(s, t) = \rho_{el}(s, t) + \rho_{el}(t, s) + v_{inel}(s, t)$$

$$\text{Disc}_t T(s, t) = \frac{1}{\pi} \int_{4m^2}^{\infty} \frac{\rho(s, t')}{t' - t} dt'$$

Equations to solve

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$$\text{Disc}_t T(s, t) = \frac{1}{\pi} \int_{4m^2}^{\infty} \frac{\rho(s, t')}{t' - t} dt'$$

$$\text{Green Circle} = \Phi[\text{Green Circle}] = \text{Two Green Circles} + \text{Yellow Circle}$$

Nucl.Phys. **B15** (1970) 331-331

A Proof of the Existence of Functions That Satisfy Exactly Both Crossing and Unitarity



[D. Arkinson](#)

Nucl.Phys. **B15** (1970) 331-331

A Proof of the Existence of Functions That Satisfy Exactly Both Crossing and Unitarity (Ii) Charged Pions. No Subtractions

[D. Atkinson](#)

Nucl.Phys. **B13** (1969) 415-436

A Proof of the Existence of Functions That Satisfy Exactly Both Crossing and Unitarity (Iii). Subtractions

[D. Atkinson](#)

Nucl.Phys. **B23** (1970) 397-412

A Proof of the Existence of Functions That Satisfy Exactly Both Crossing and Unitarity. Iv.

Nearly Constant Asymptotic Cross-Sections

[D. Atkinson](#)

Lecture notes:

S Matrix Construction Project: Existence Theorems, Rigorous Bounds and Models

[D. Atkinson](#)

One inconclusive attempt at implementation:

Nucl.Phys. **B72** (1974) 167-188

Numerical Strategies in the Construction of Amplitudes Satisfying Unitarity, Analyticity and Crossing Symmetry. I

[J. Boguta](#)

Atkinson's proof

- Start from the map $\Phi : L \mapsto L$ where L is a Banach space of Hölder continuous functions
- Hölder continuity :
 $\forall x, y \in [0; 1], |f(x) - f(y)| \leq k|x - y|^\alpha$ for
 $0 < \alpha < 1$ and $k > 0$
- Let $B = \{f \in L, \|f\| \leq b\}$ an open ball for some $b > 0$
- If $\Phi[B] \subset B$, Leray-Schauder principle $\implies \exists$ fixed point of Φ
- If Φ is *contracting*, i.e. $\|\Phi[f_1 - f_2]\| \leq c\|f_1 - f_2\|$, then the solution is also unique in B .

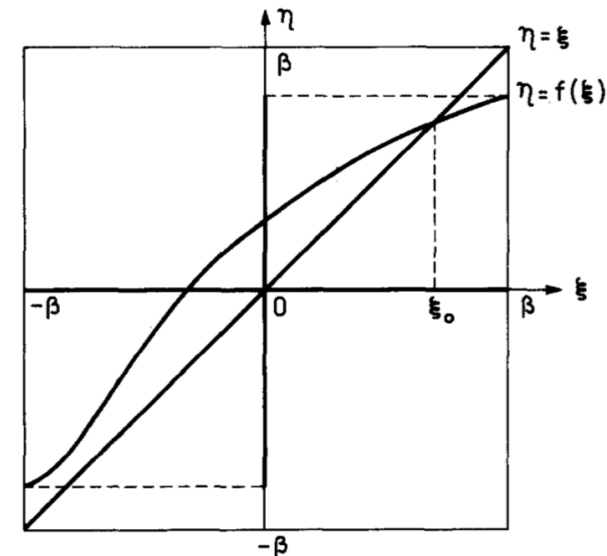


Fig. 1. Illustration of a fixed-point theorem. The image of the interval, $-\beta \leq \xi \leq \beta$, under the continuous, nonlinear mapping, f , is a subset of the same interval. Therefore the curve $\eta = f(\xi)$ intersects the line $\eta = \xi$ at least once, at a point ξ_0 , such that $\xi_0 = f(\xi_0)$.

d=4 and Gribov's theorem

- Subtle detail about Regge limit (a.k.a. *Gribov's theorem*)

- R.h.s. of Mandelstam eq. $\rho \sim \iint |T_t|^2 dt_1 dt_2$ produces logs at high energy which need to be tamed, in d=4 this can be done provided that $\rho(s, t) \propto \frac{1}{\log(s)^{1+\epsilon}} f(s, t)$

- Related to the fact that a single Regge pole $T(s, t) \propto s^{\alpha(t)}$ cannot satisfy unitarity

$$\text{Im}f_J \sim \frac{1}{J - \alpha(t)}$$

$$|f_J|^2 = (\text{Re}f_J)^2 + (\text{Im}f_J)^2 \sim \frac{1}{(J - \alpha(t))^2} + (\dots)^2$$

Implementation, Results

Numerics

- Laptop & grid computations
- Mathematica

```
In[27]:= Kernels[] // Length
```

```
Out[27]= 48
```

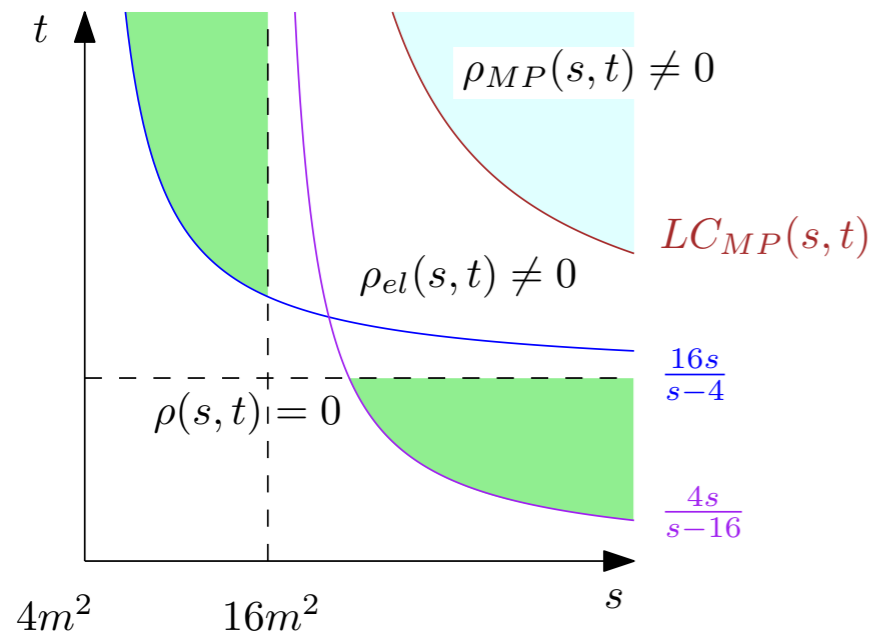
convenient for integrals and development

basic speed of `NIntegrate[]` close to default python `quad()`

- Needs to be improved to scale up !

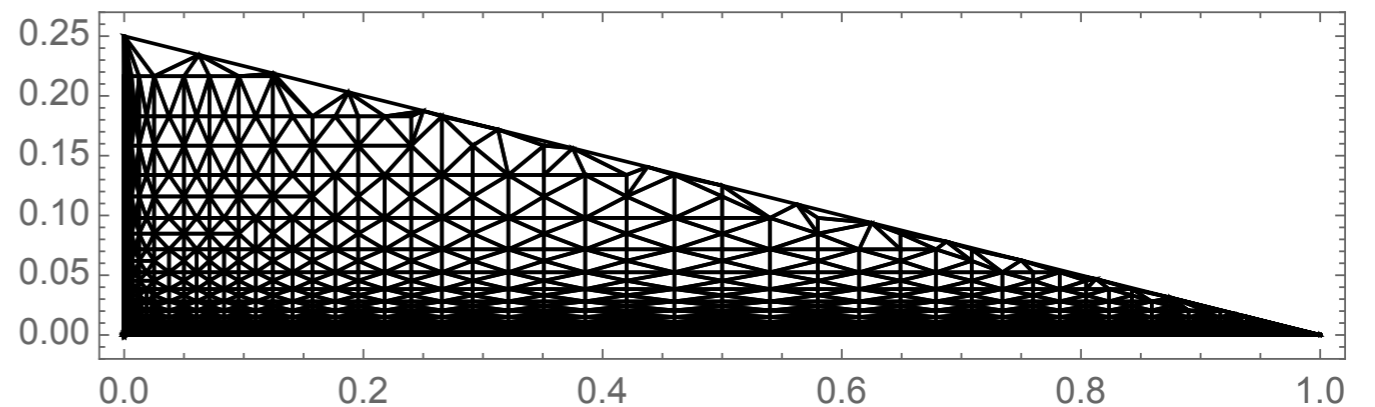
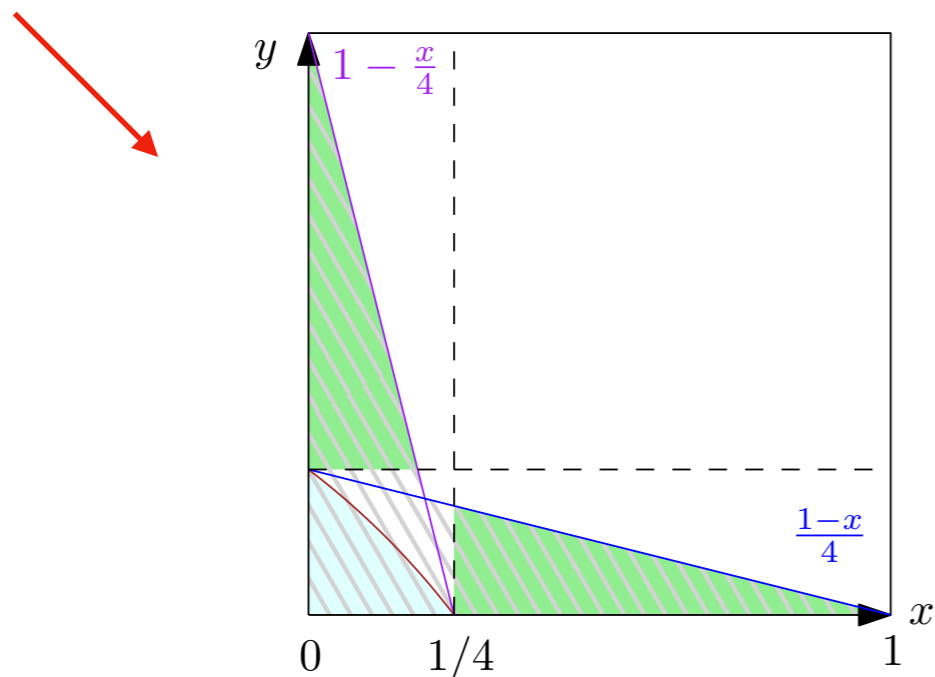
Numerical implementation

First : map



$$s, t \rightarrow x = \frac{4m^2}{s}, y = \frac{4m^2}{t}$$

then:
discretize



finite element mathematica package

Algorithm

Start from $\rho_0(s, t)$

Integrate
$$D_0(s, t) = \frac{1}{\pi} \int_{4m^2}^{\infty} \rho_0(s', t) \left(\frac{1}{s' - s} + \frac{1}{s' - u} \right) ds'$$

Integrate
$$\rho_{(1),el}(s, t) = \iint (D_0(s, t_1)(D_0(s, t_2) + \rho_0(s, t_1)\rho_0(s, t_2)) dt_1 dt_2$$

Define
$$\rho_{(1)}(s, t) = \rho_{(1),el}(s, t) + \rho_{(1),el}(t, s) + v_{inel}(s, t)$$

Iterate

Algorithm

Start from $\rho_n(s, t)$

Integrate $D_n(s, t) = \frac{1}{\pi} \int_{4m^2}^{\infty} \rho_n(s', t) \left(\frac{1}{s' - s} + \frac{1}{s' - u} \right)$

Integrate $\rho_{(n+1),el}(s, t) = \iint (D_n(s, t_1)(D_n(s, t_2) + \rho_n(s, t_1)\rho_n(s, t_2)) dt_1 dt_2$

Define $\rho_{(n+1)}(s, t) = \rho_{(n+1),el}(s, t) + \rho_{(n+1),el}(t, s) + v_{inel}(s, t)$

Algorithm

Start from $\rho_n(s, t)$

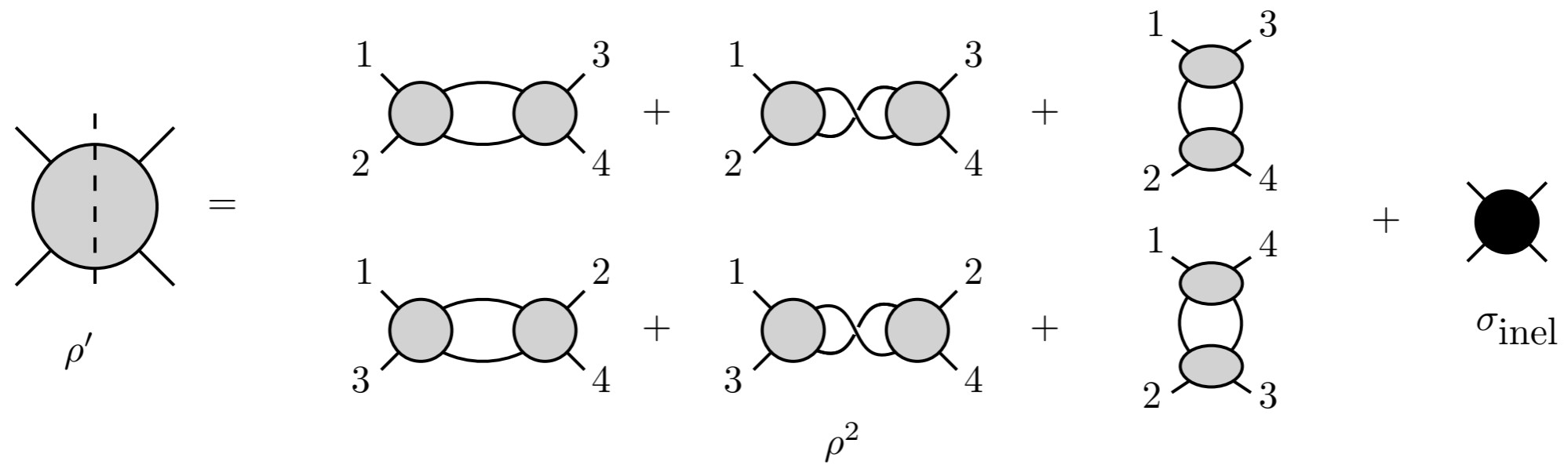
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$$D_n(s, t) = \frac{1}{\pi} \int_{4m^2}^{\infty} \rho_n(s', t) \left(\frac{1}{s' - s} + \frac{1}{s' - u} \right)$$

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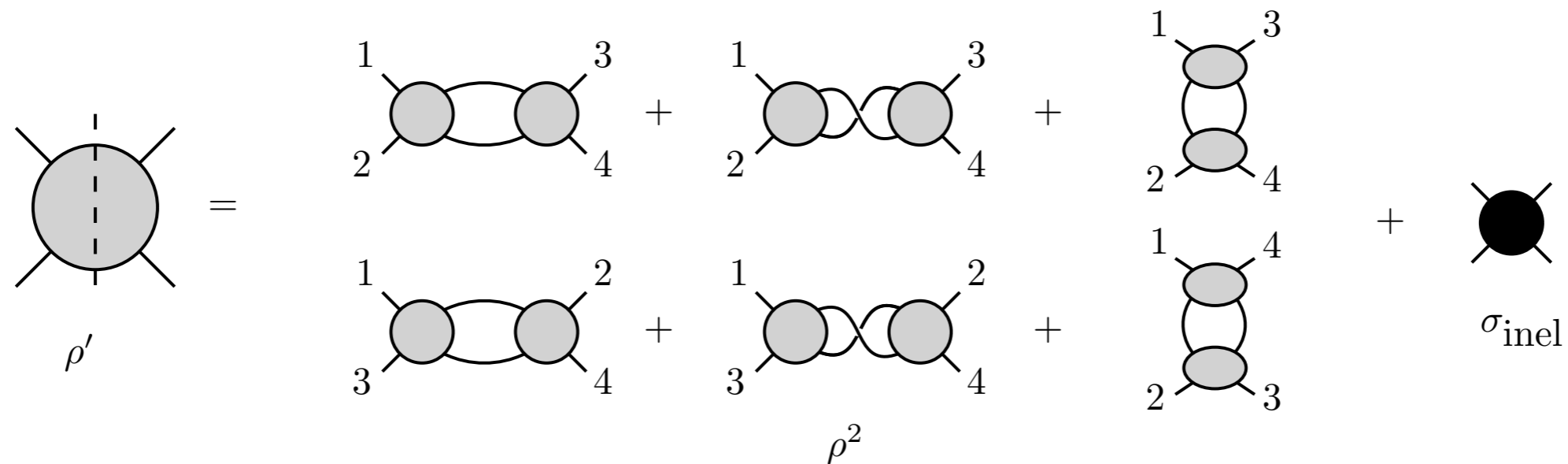
Define
$$\rho_{(n+1)}(s, t) = \rho_{(n+1),el}(s, t) + \rho_{(n+1),el}(t, s) + v_{inel}(s, t)$$

$$\Phi[\text{diagram}] = \text{diagram} + \sum_{n \geq 3} \text{diagram}$$

Diagrammatic interpretation



Diagrammatic interpretation



$$N_n = 6N_{n-1}^2 + 1$$

Step $n \leftrightarrow 2^L$ loops

$$N_n \sim 2.55^L$$

finite radius of conv.

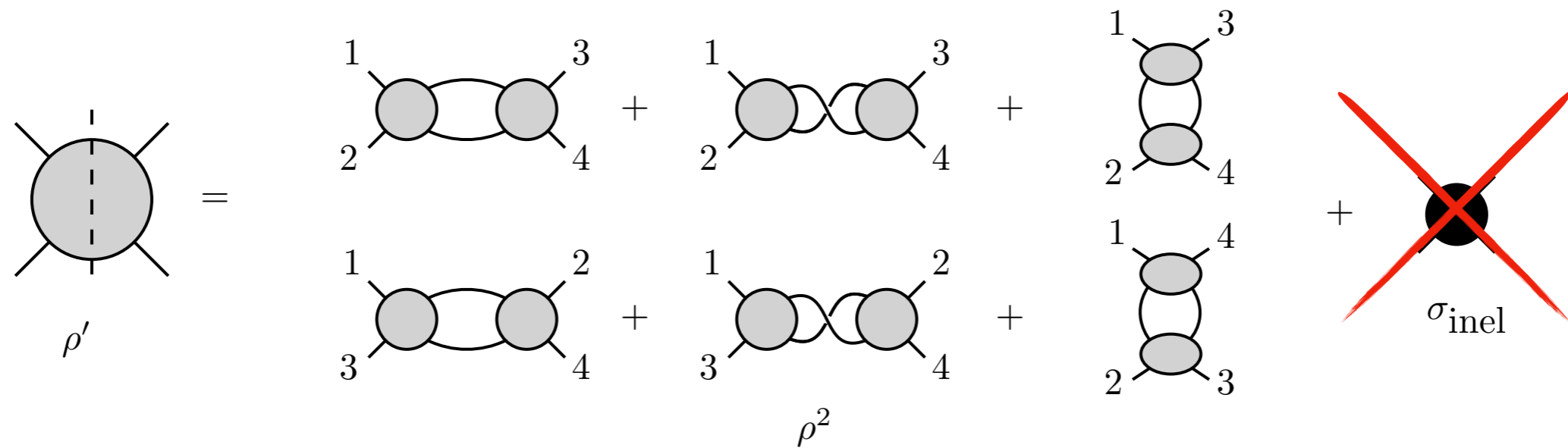
$$\sum_{L=0}^{\infty} (2.55)^L \tilde{A}_L(s, t)$$

vs

asymptotic

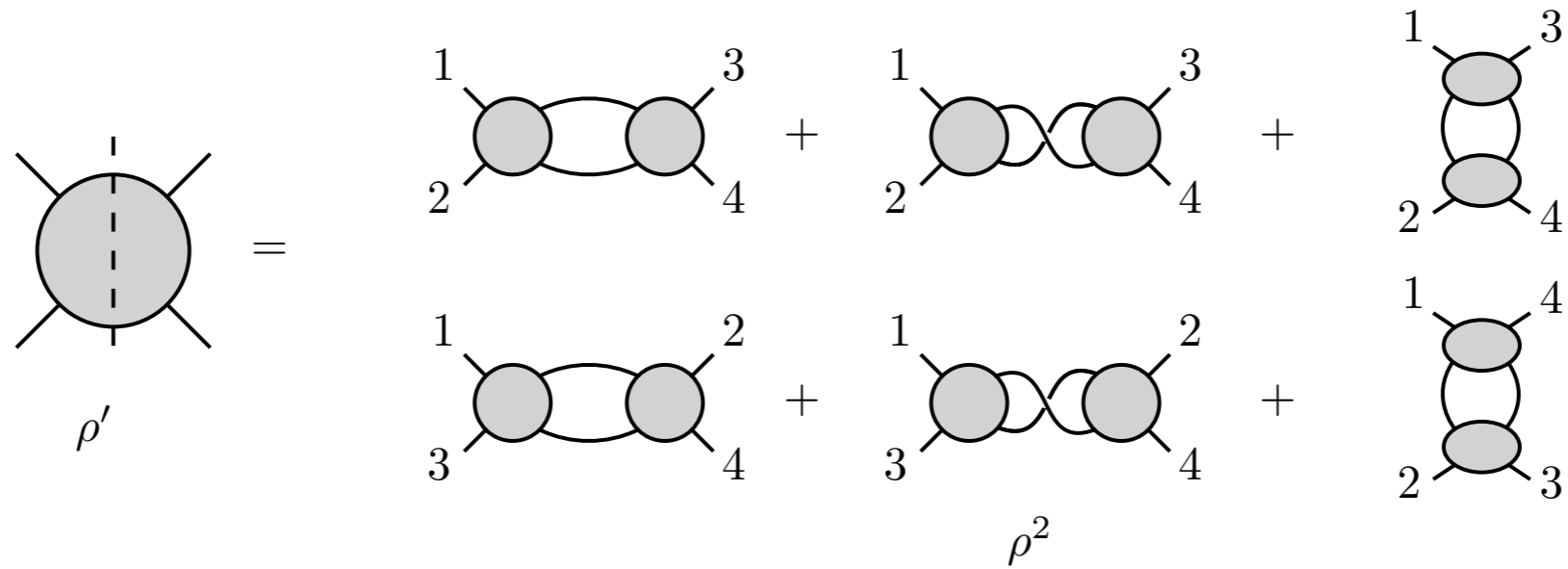
$$\sum_{L=0}^{\infty} (L!) A_L(s, t)$$

Quasi-elastic amplitudes

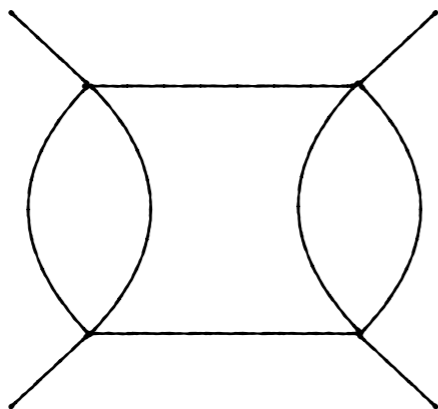


One way to study the question “how small can inelasticity be ?”

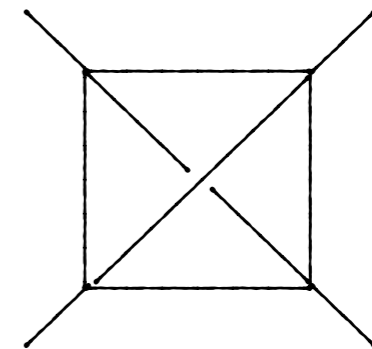
Quasi-elastic amplitudes



Will produce inelastic graphs such as



will never produce graphs which have no 2-pt cuts, such as:

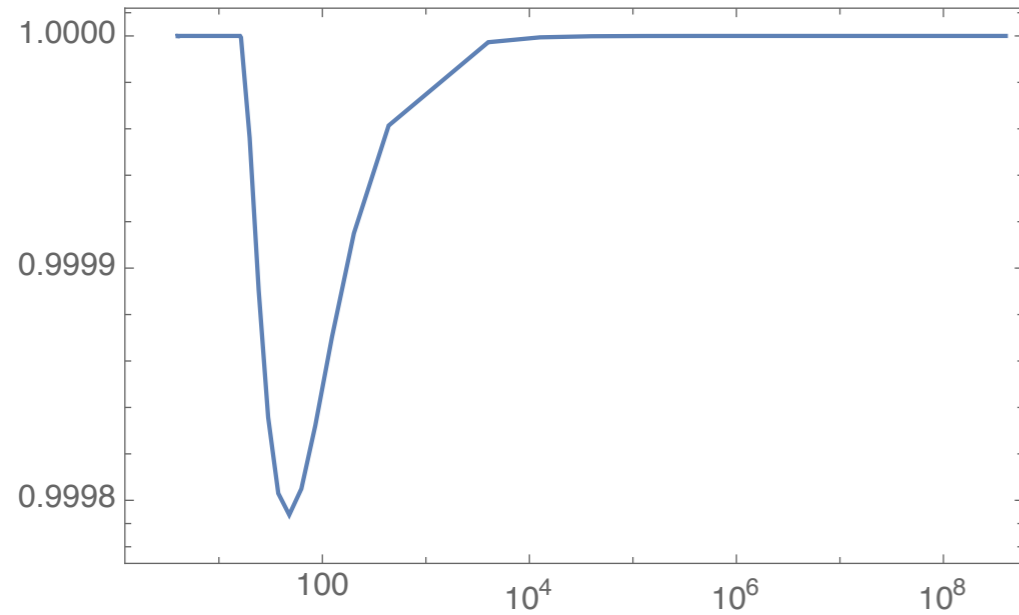


Some examples of results in $d=3$

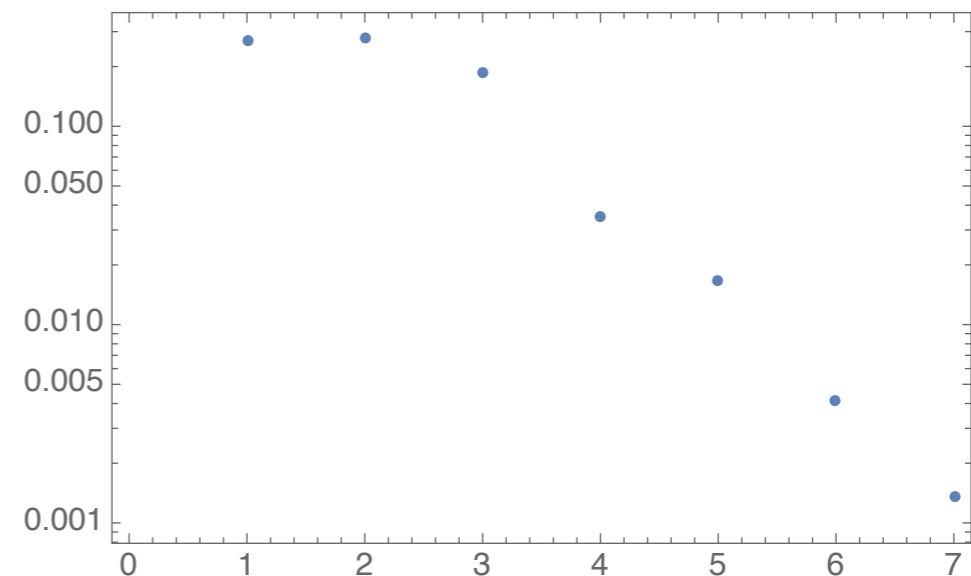
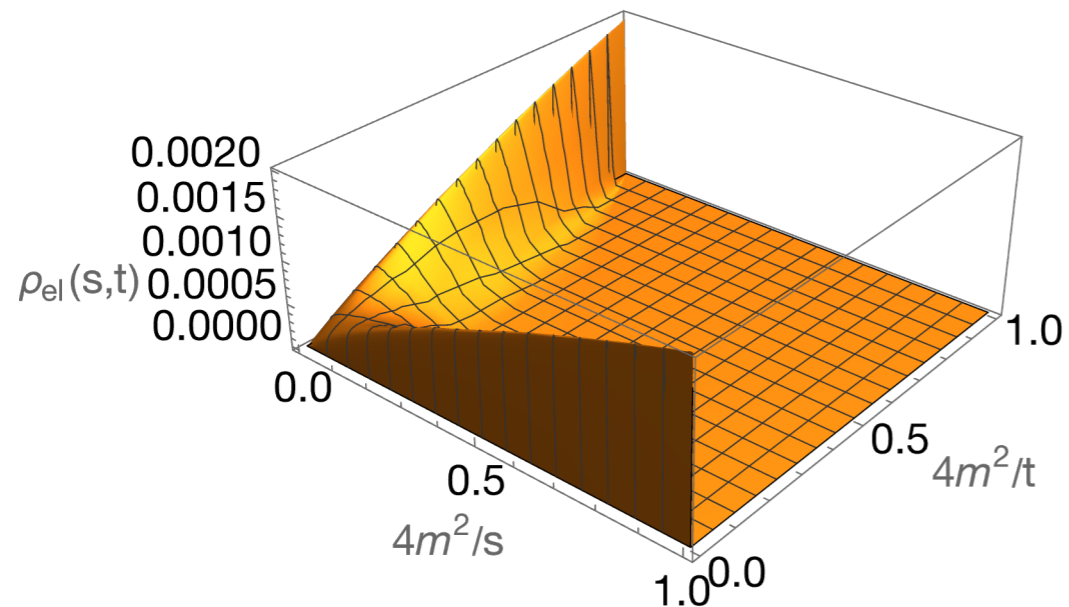
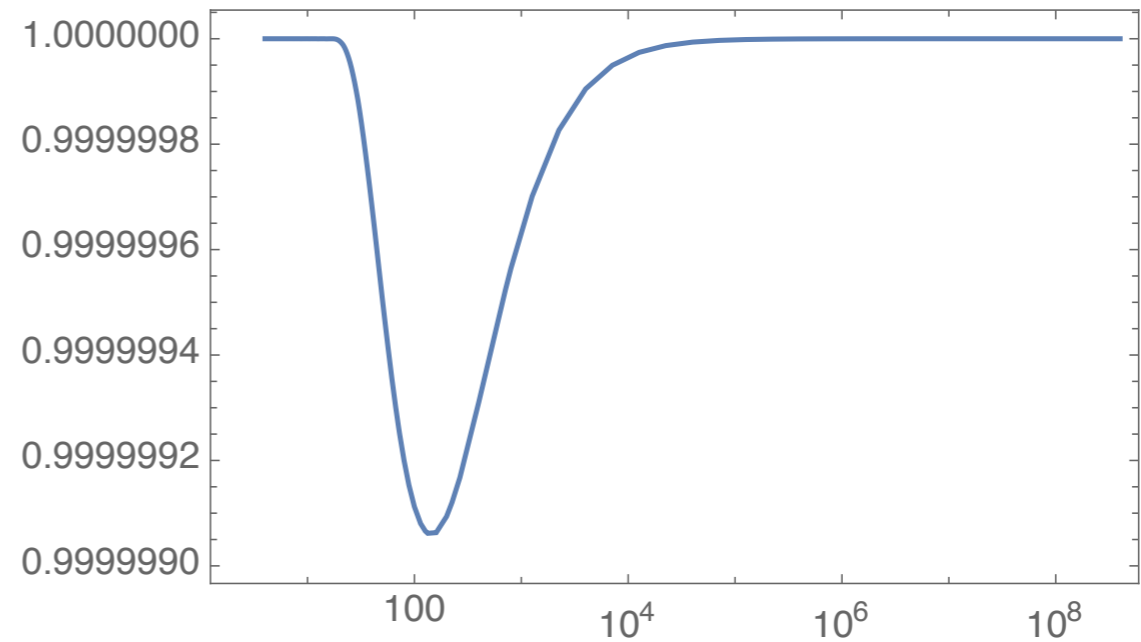
Some examples of results in $d=3$

With inelastic input

$|S_0|$, for $\lambda = -\pi$

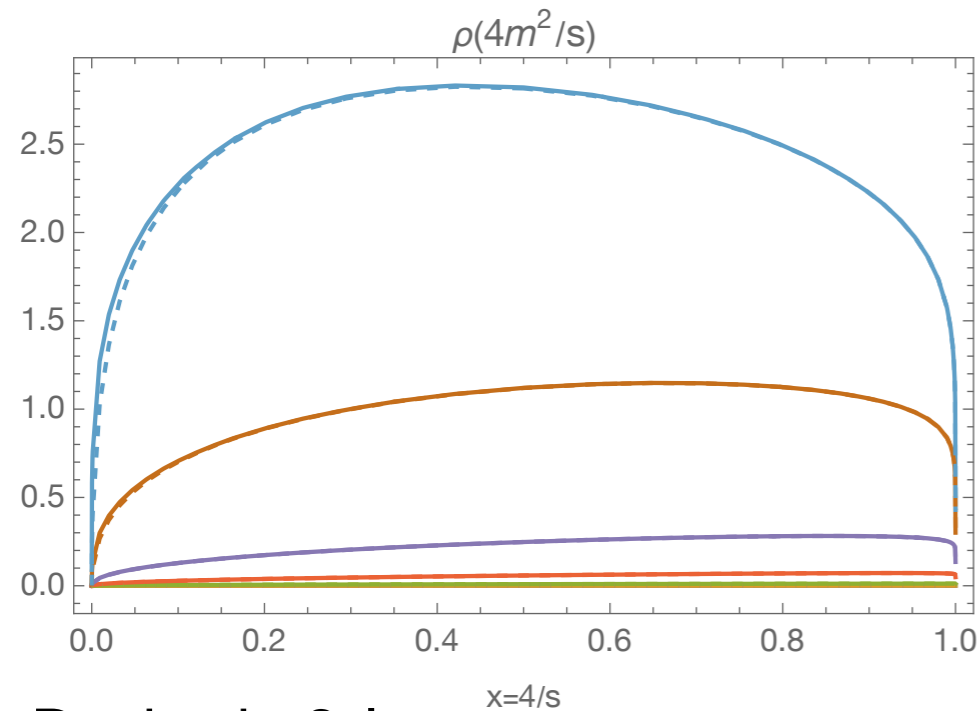


$|S_2|$

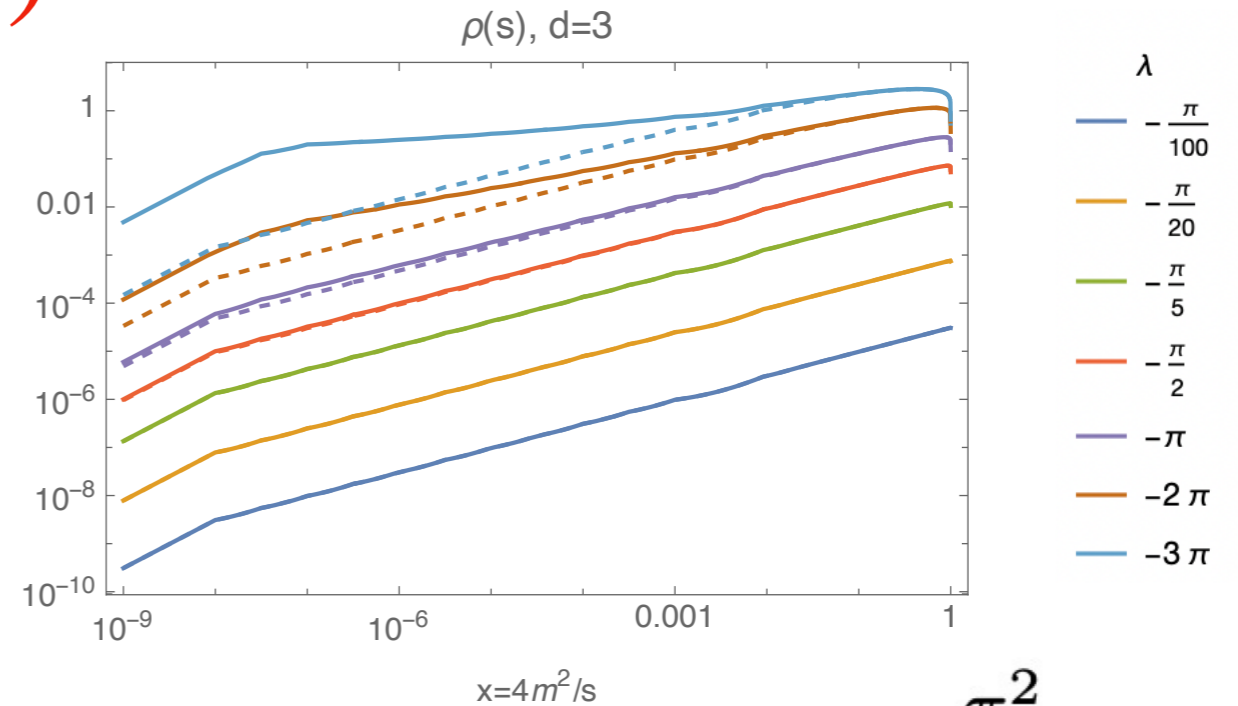


Results in d=3: quasi-elastic amps

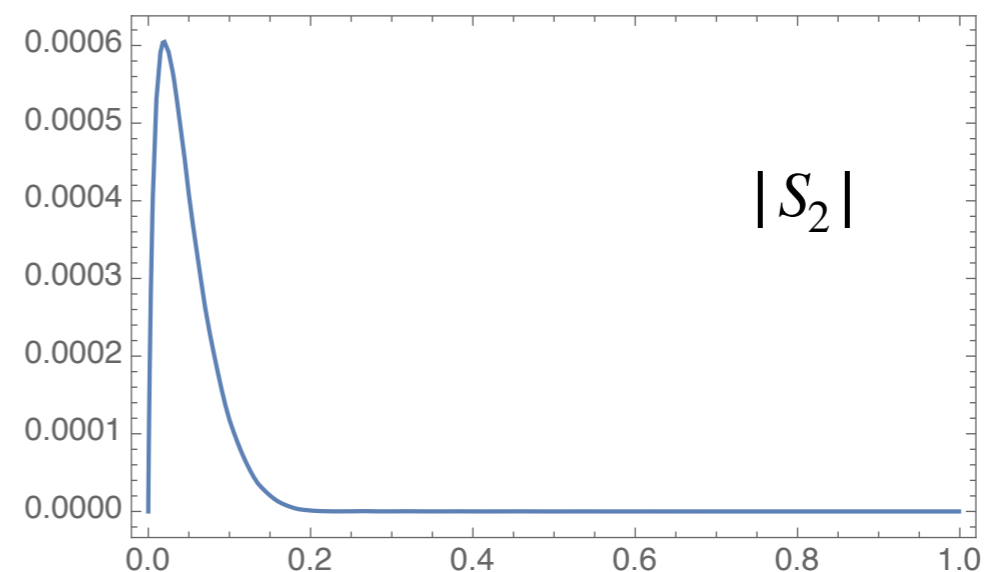
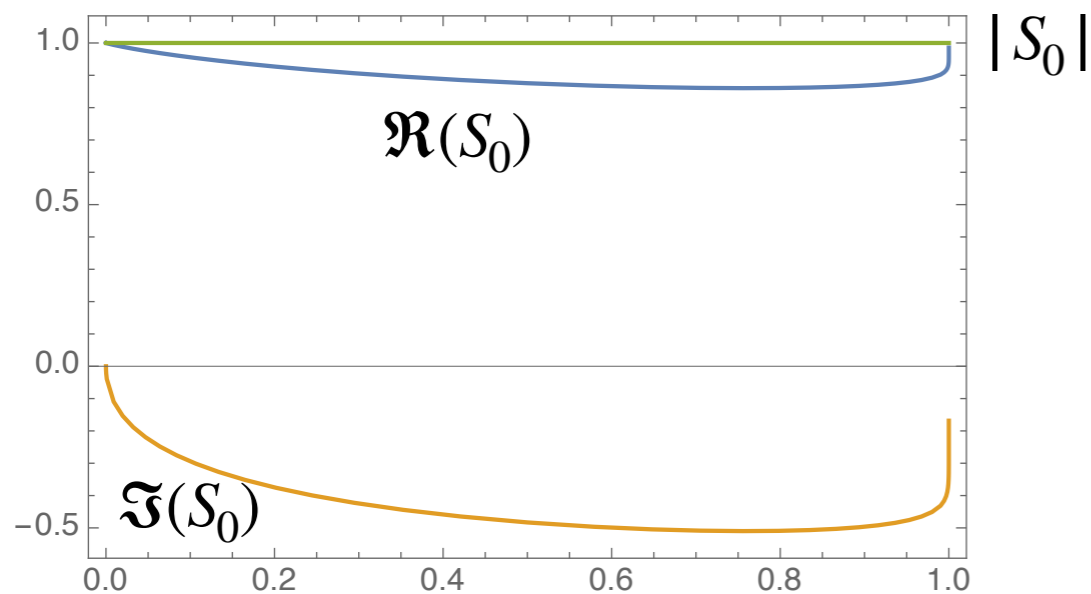
$\rho(s)$



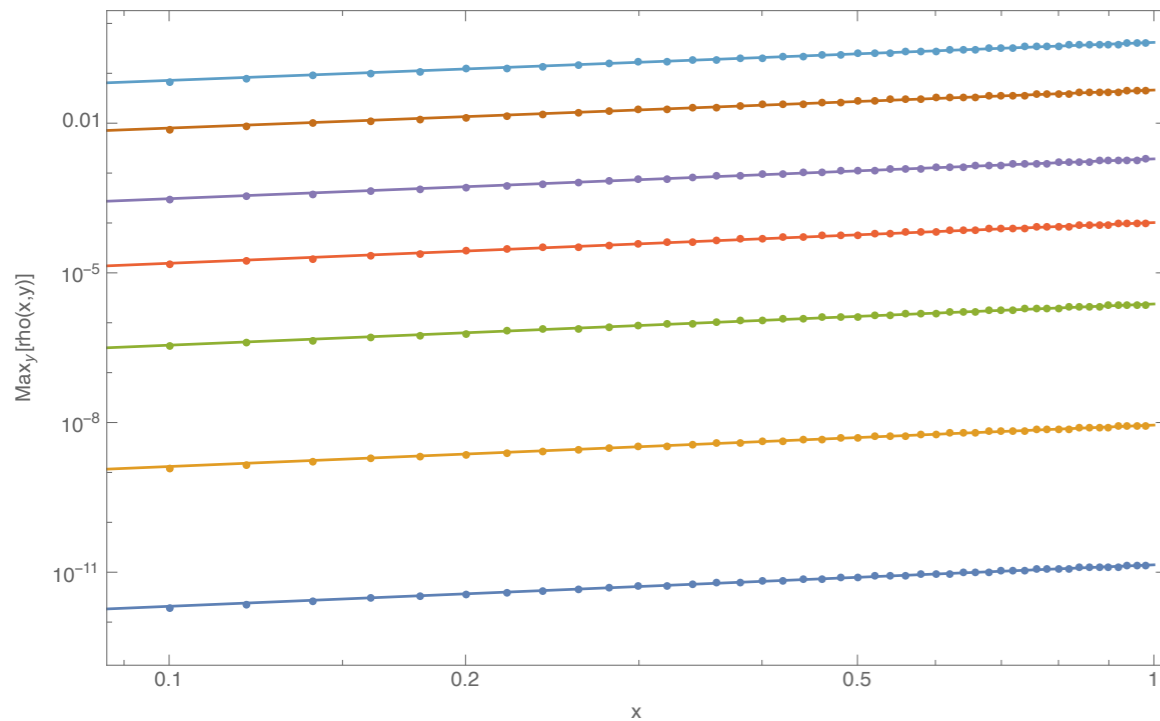
Dashed : 3-loop
Solid : numerical solution



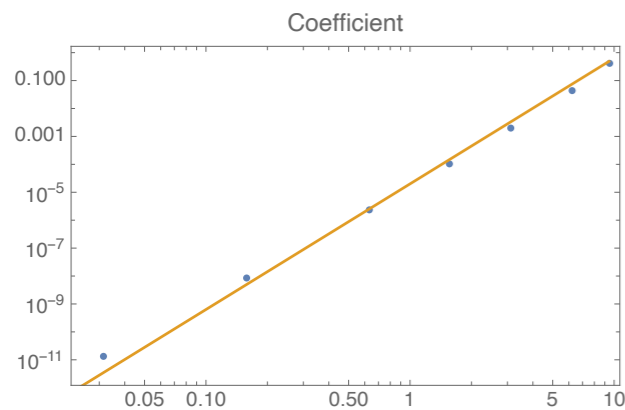
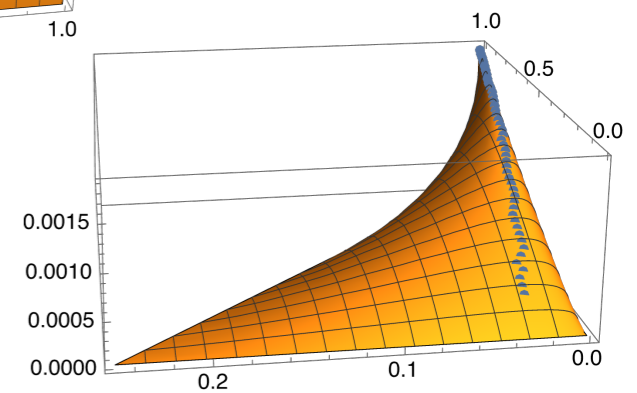
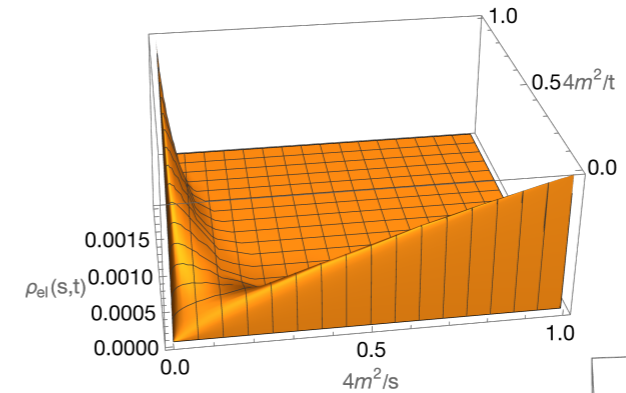
near-threshold $\frac{\pi^2}{\log^2 \sigma_s + \pi^2}$



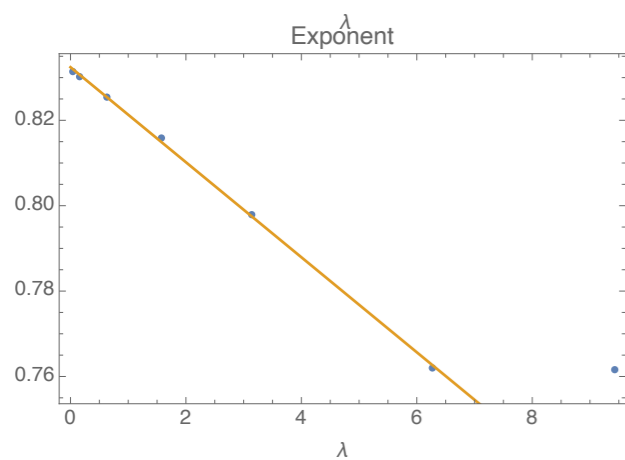
Results in d=3: quasi-elastic amps



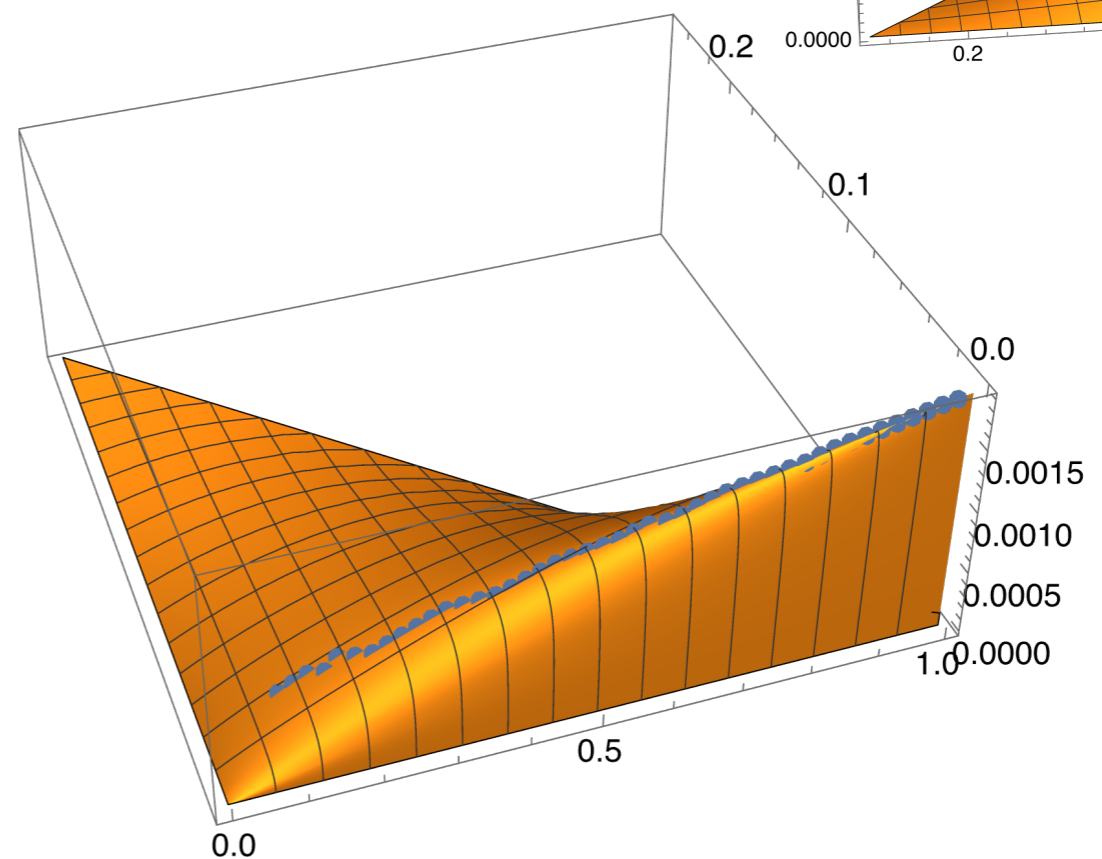
- $-\frac{\pi}{100}$
- $-\frac{\pi}{20}$
- $-\frac{\pi}{5}$
- $-\frac{\pi}{2}$
- $-\pi$
- -2π
- -3π



$$2.2 \times 10^{-6} \lambda^{5.4}$$



$$0.83 - 0.01\lambda$$



Low energy observables

[arXiv:2207.12448]

Nonperturbative Bounds on Scattering of Massive Scalar Particles in $d \geq 2$

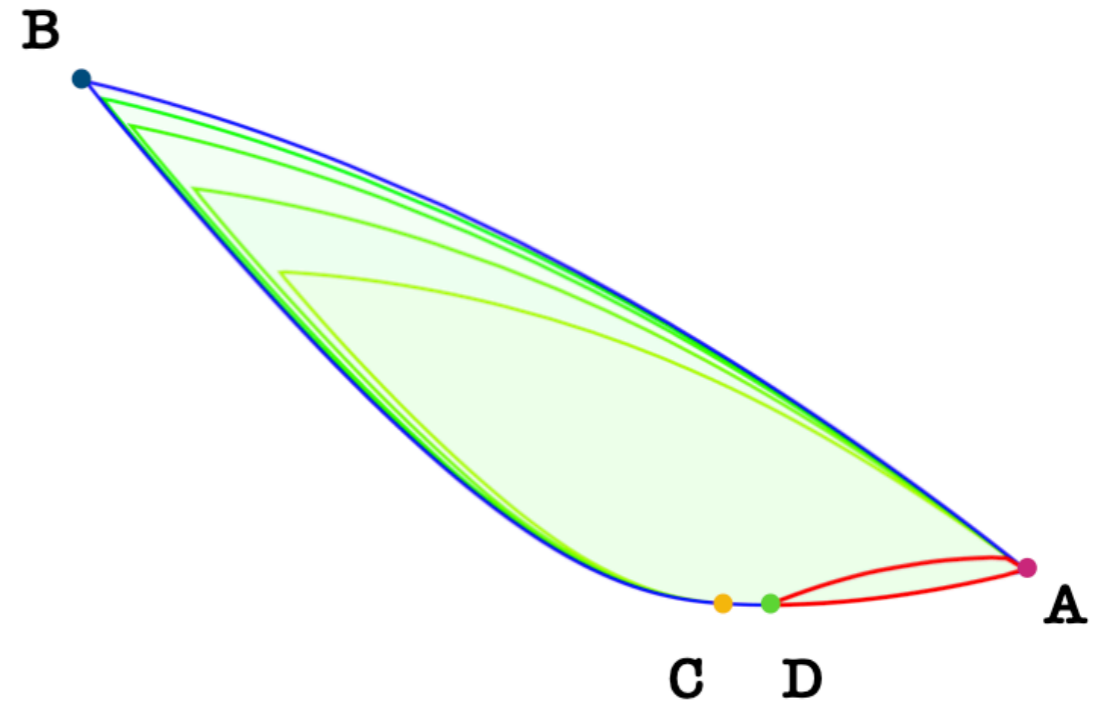
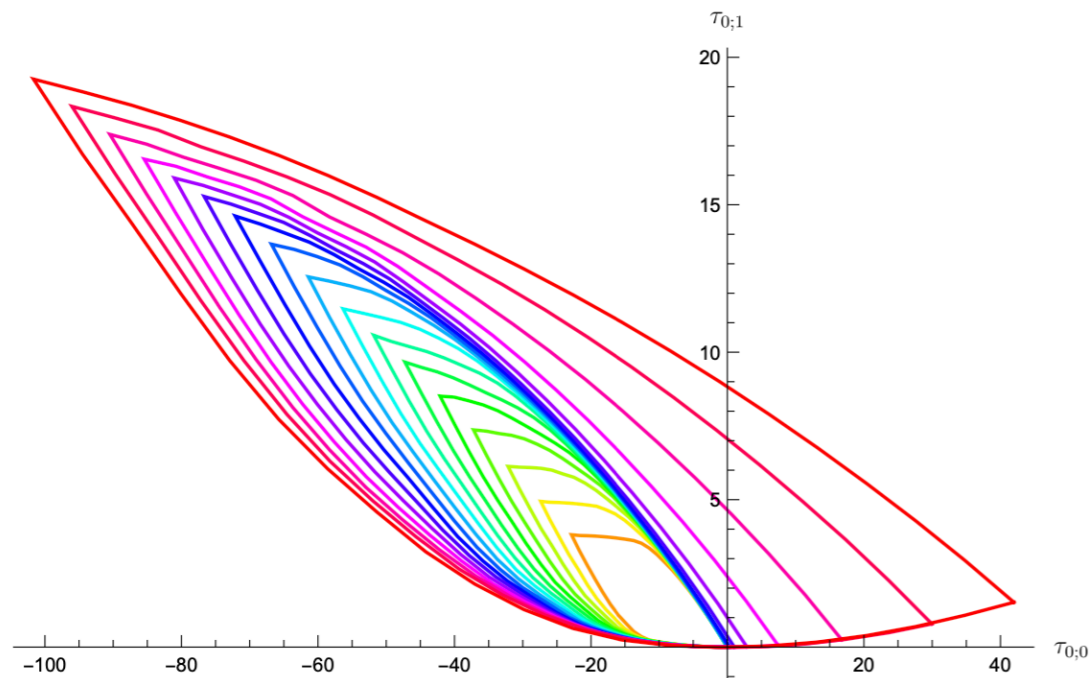
[H. Chen](#), [A. L. Fitzpatrick](#), [D. Karateev](#)

[arXiv:2210.01502]

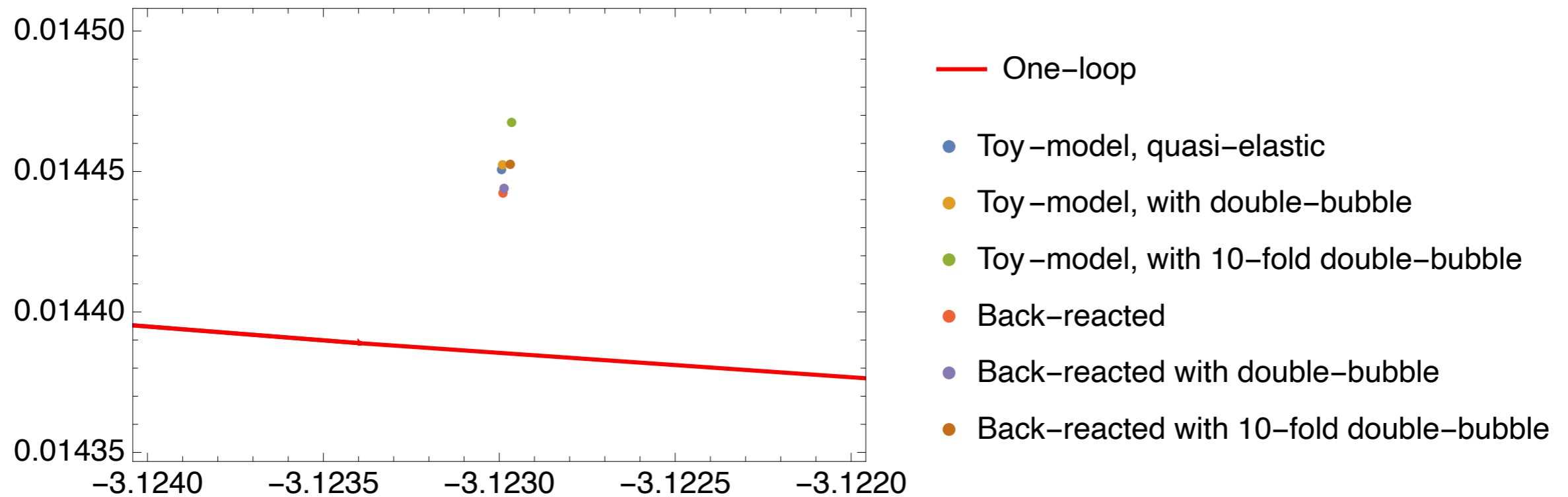
Bridging Positivity and S-matrix Bootstrap Bounds

[J. Elias Miro](#), [A. Guerrieri](#), [M. A. Gumus](#)

$$\tau_{j;k} \equiv \frac{m^{d-4+2k}}{k!} \partial_s^k \mathcal{T}_j(2m^2)$$



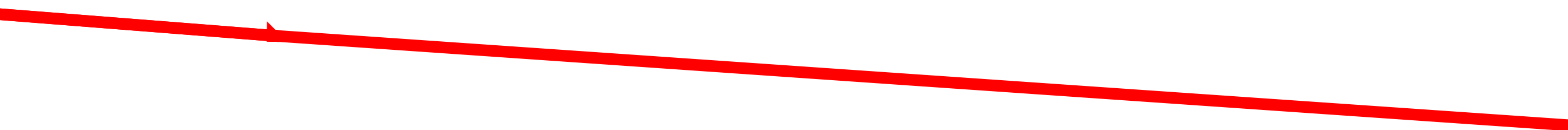
Low energy observables



Low energy observables



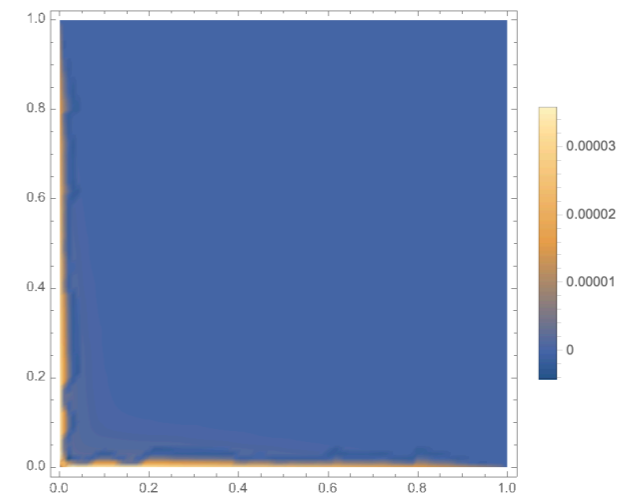
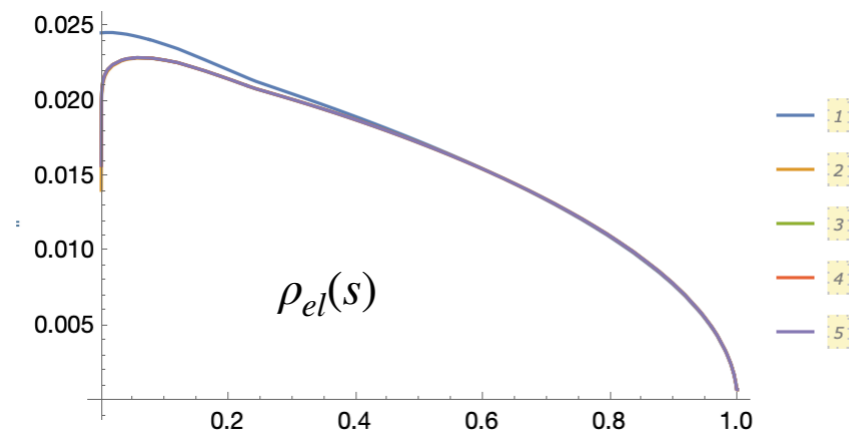
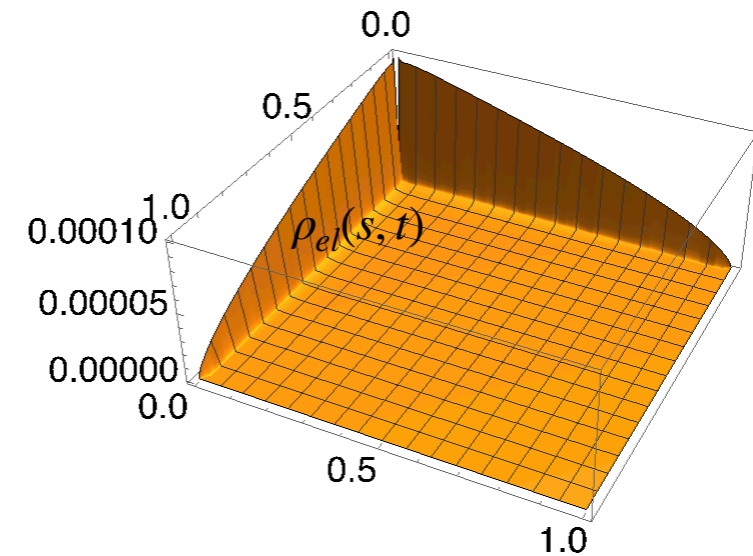
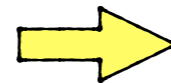
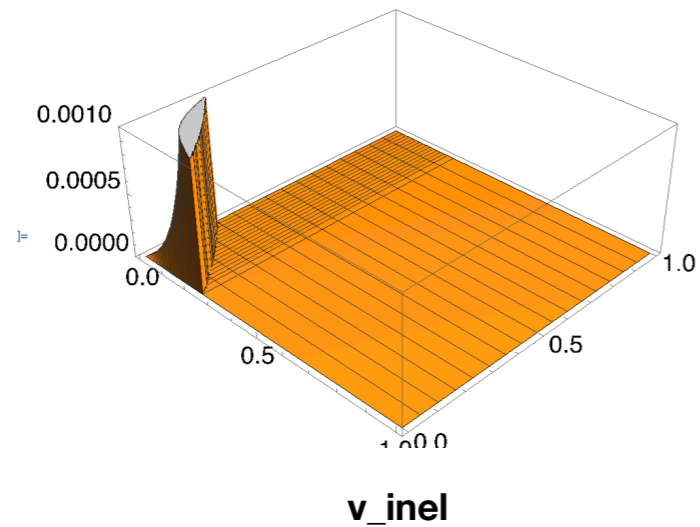
- One-loop
- Toy-model, quasi-elastic
- Toy-model, with double-bubble
- Toy-model, with 10-fold double-bubble
- Back-reacted
- Back-reacted with double-bubble
- Back-reacted with 10-fold double-bubble



Some examples of results in $d=4$

Some examples of results in d=4

$$\lambda = \pi/2$$

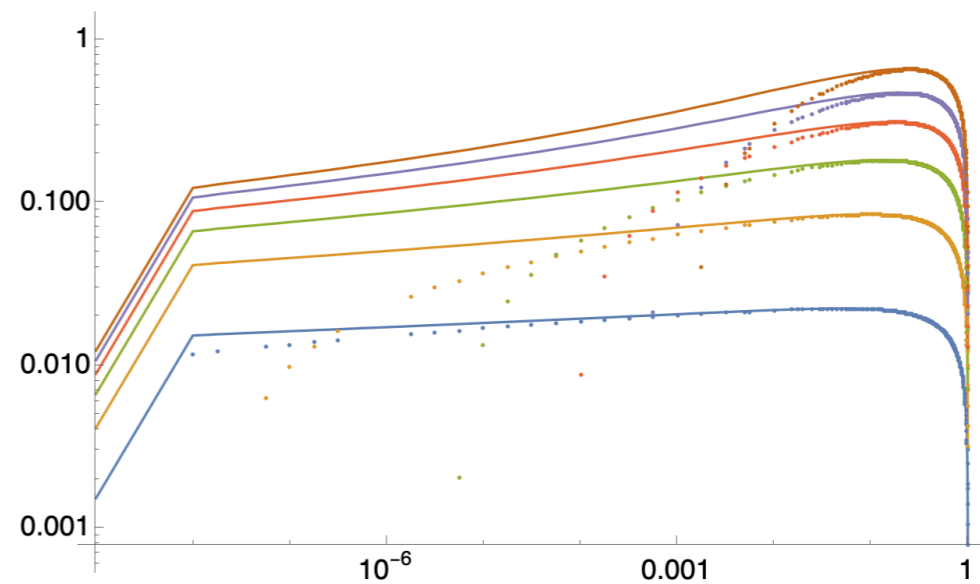
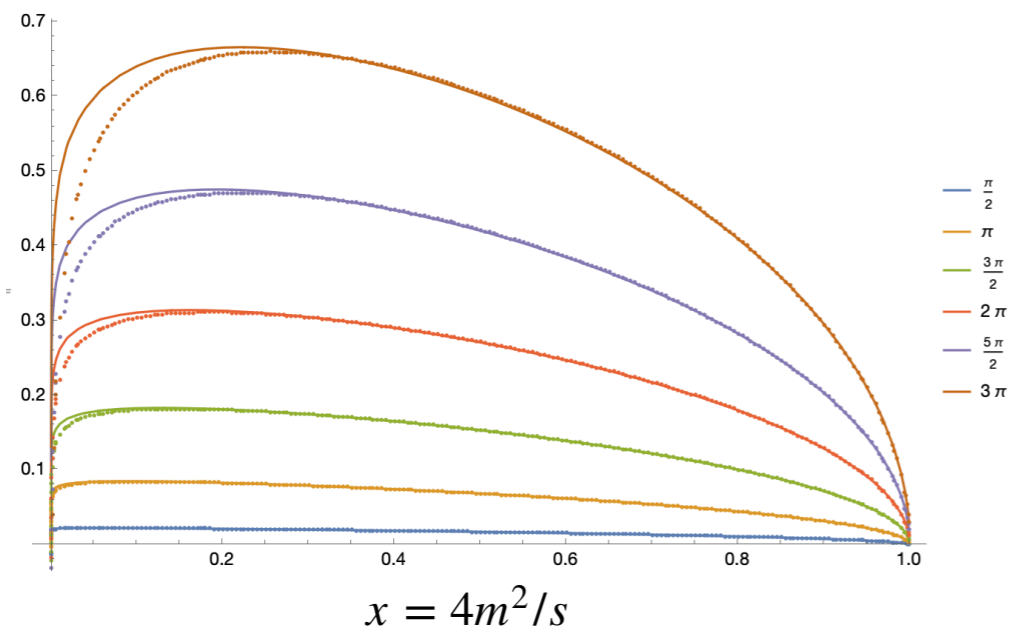


3-loop vs reggeisation

$$\rho(s)$$

perfect match at low energies

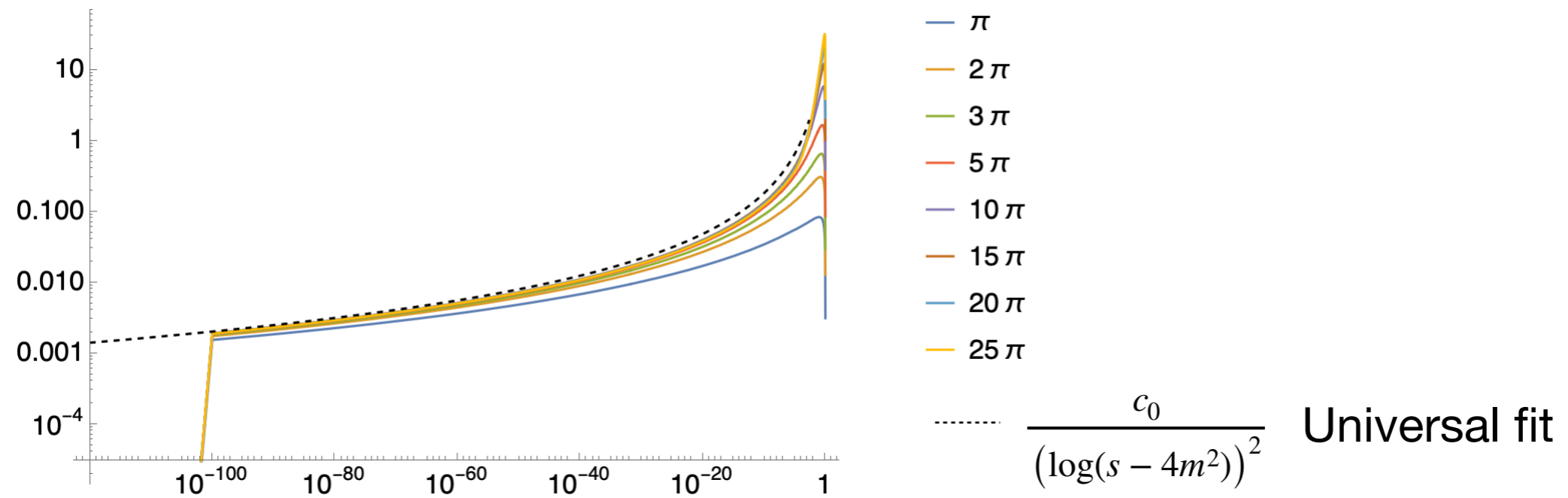
Reggeisation at high energies



dots = 3-loop (analytic)
joined = numerics

same but log scale

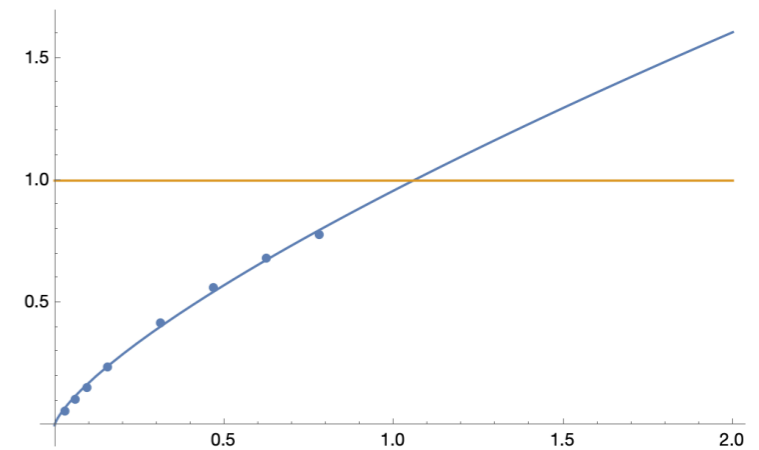
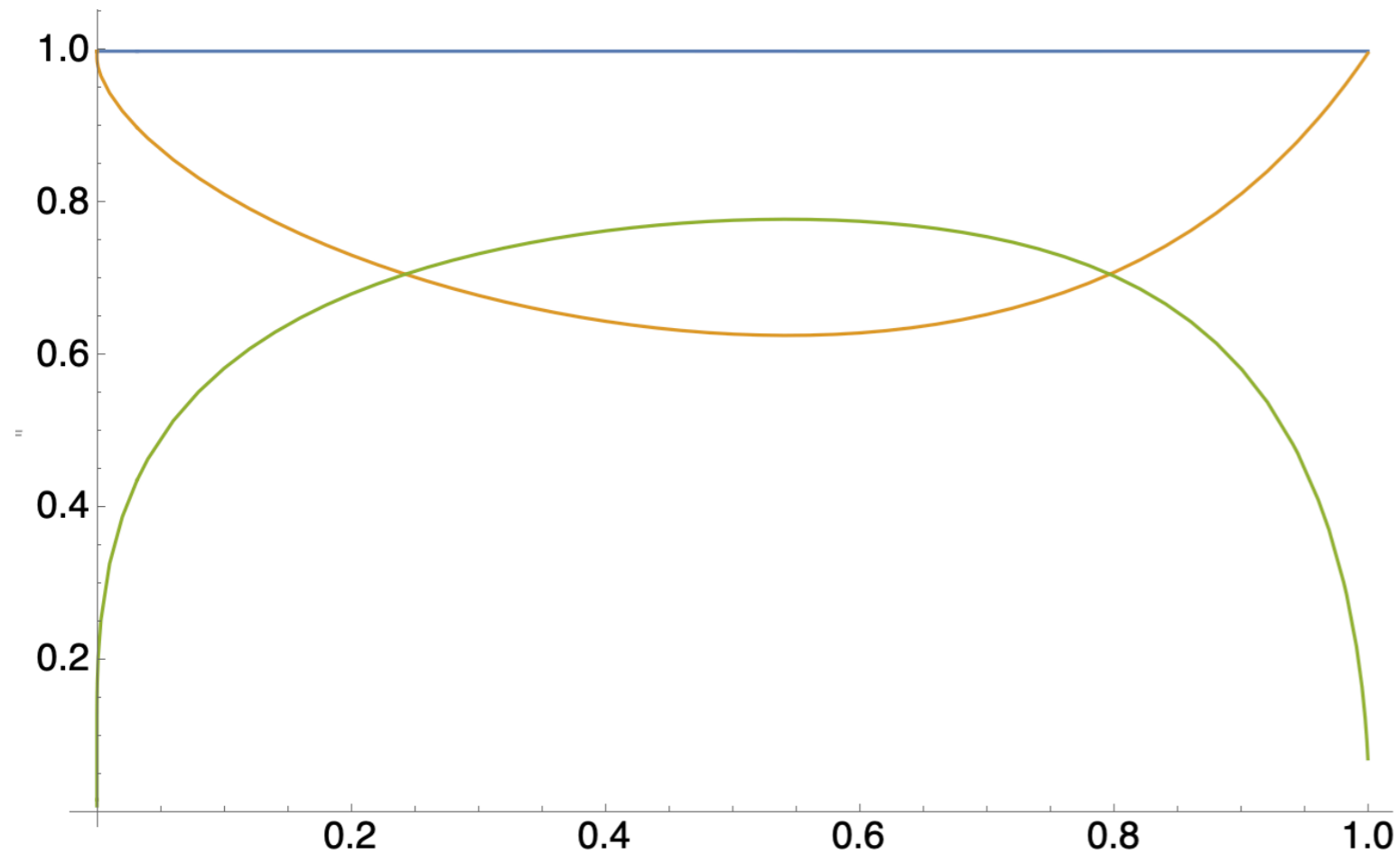
Regge behaviour / toy-model



how to get $1/\log$?

$$\frac{\lambda^2}{1 + \lambda^2(\log(s - 4m^2))^2}$$

Amplitudes, maximal coupling ?



Prediction:

$$\lambda = 35.2\pi$$

gives $\lambda = 1.1$
in PPTvRV's units

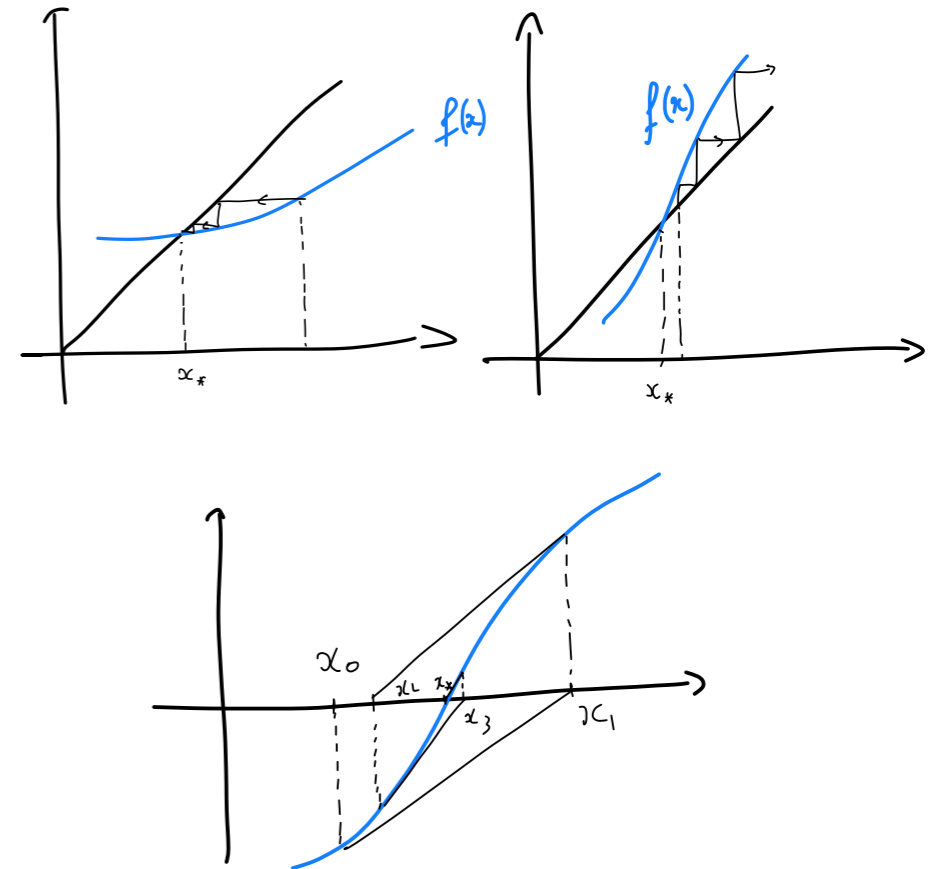
$$\lambda \equiv \frac{1}{32\pi} M \left(\frac{4}{3}, \frac{4}{3}, \frac{4}{3} \right)$$

Summary

- We have constructed scattering amplitudes which satisfy fully unitarity axioms.
- We have introduced quasi-elastic amplitudes, which are fully unitary modifications of ϕ^4 and could lie at boundary of space of allowed functions
- In 3d we control the whole amplitude
- In 4d we converge with a cut-off, still have to check if we can control it to infinity

Numerics : prospects

- Bottlenecks: double integrals in Mandelstam equation and f_0 reconstruction
- Gradient descent (Newton-Raphson) would also be faster than fixed-point

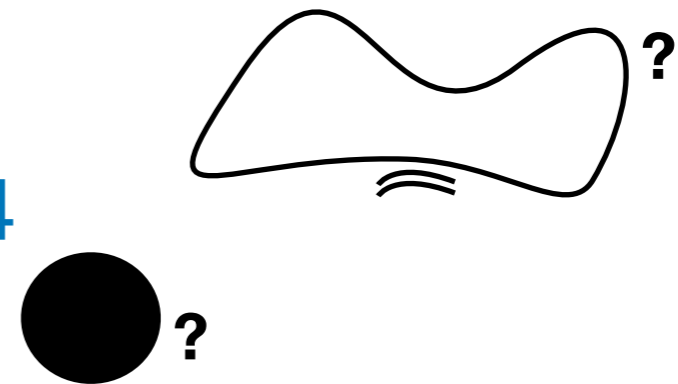


$$\Psi[\rho] = \rho - \Phi[\rho]$$

$$\rho_{n+1} = \rho_n - \frac{1}{\Phi'(\rho_n)} \Phi(\rho_n)$$

Perspectives

- Improve numerics to use gradient-descent and enlarge space in which convergence is achieved
- Produce amplitudes that saturate Froissart bound
- Pion S-matrix
- Apply to gravity S-matrix in $d > 4$, $d = 4$



thank you!

extras

Toy model for Regge

- Based on empirical observation that $\rho(s, t)$ is small and adding $v_{inel}(s, t)$ always give a small effect on rho(s).
- Remove double-disc

$$T(s, t) = \lambda + B(s, t) + B(s, u) + B(t, u),$$
$$B(s, t) = \frac{s - s_0}{2} \int_{4m^2}^{\infty} \frac{ds'}{\pi} \frac{\rho(s')}{(s' - s)(s' - s_0)} + \frac{t - t_0}{2} \int_{4m^2}^{\infty} \frac{dt'}{\pi} \frac{\rho(t')}{(t' - t)(t' - t_0)}$$
$$+ (s - s_0)(t - t_0) \int_{4m^2}^{\infty} \frac{ds' dt'}{\pi^2} \frac{\rho(s', t')}{(s' - s)(t' - t)(s' - s_0)(t' - t_0)}$$

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Toy model for Regge

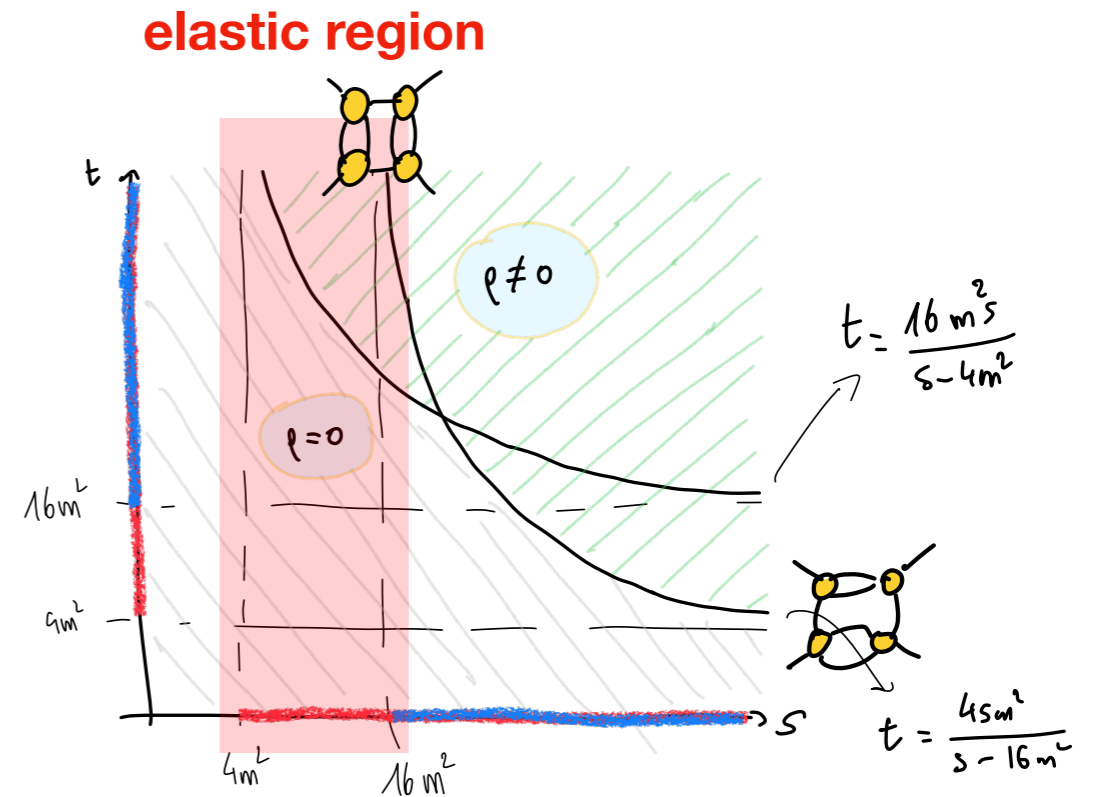
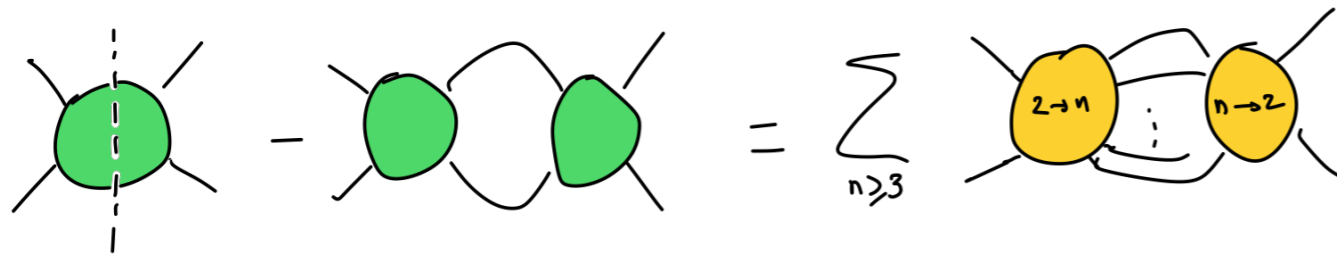
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$$T(s, t) = \lambda + \int \frac{ds'}{\pi} \frac{\rho(s')}{s' - s_0} \left(\frac{s - s_0}{s' - s} + \frac{t - s_0}{s' - t} + \frac{u - s_0}{s' - u} \right)$$

- just solve
 $|S_0(s)|^2 = 1$

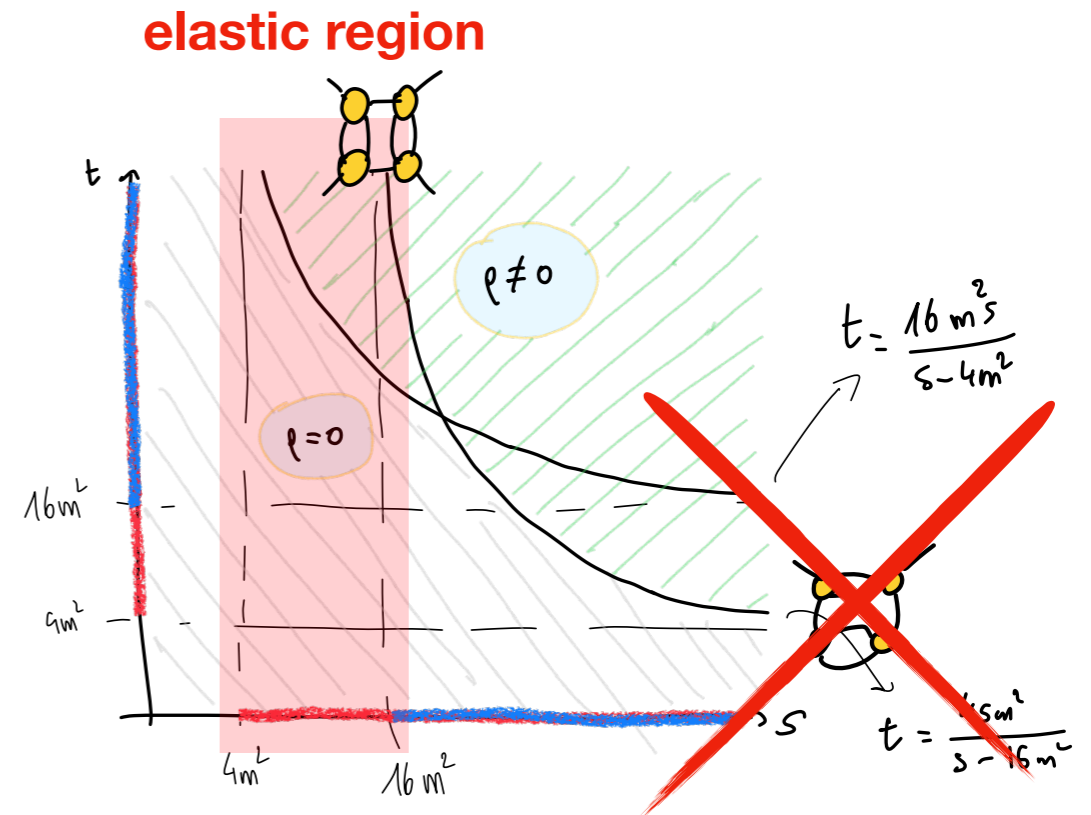
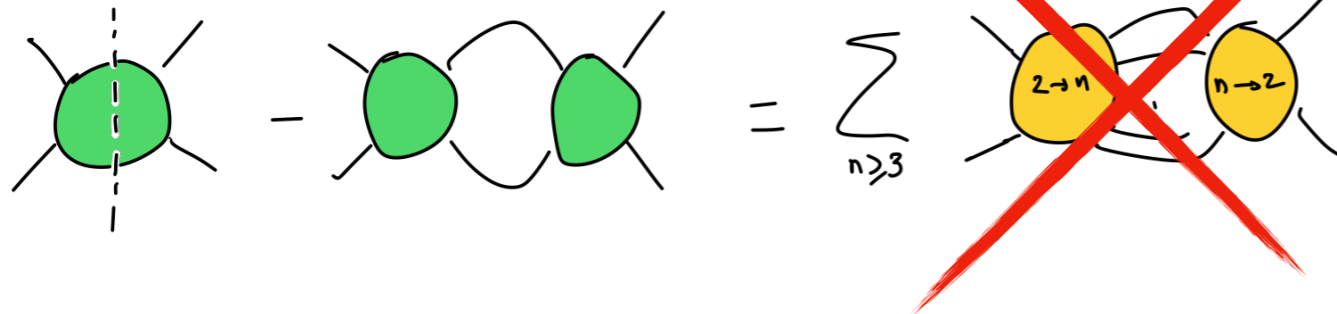
Aks theorem

Elastic unitarity in 4d



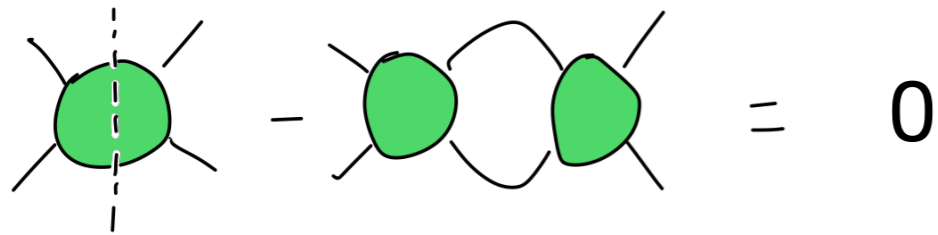
Green hashed: Support of double disc in (s,t)-plane

Elastic unitarity in 4d



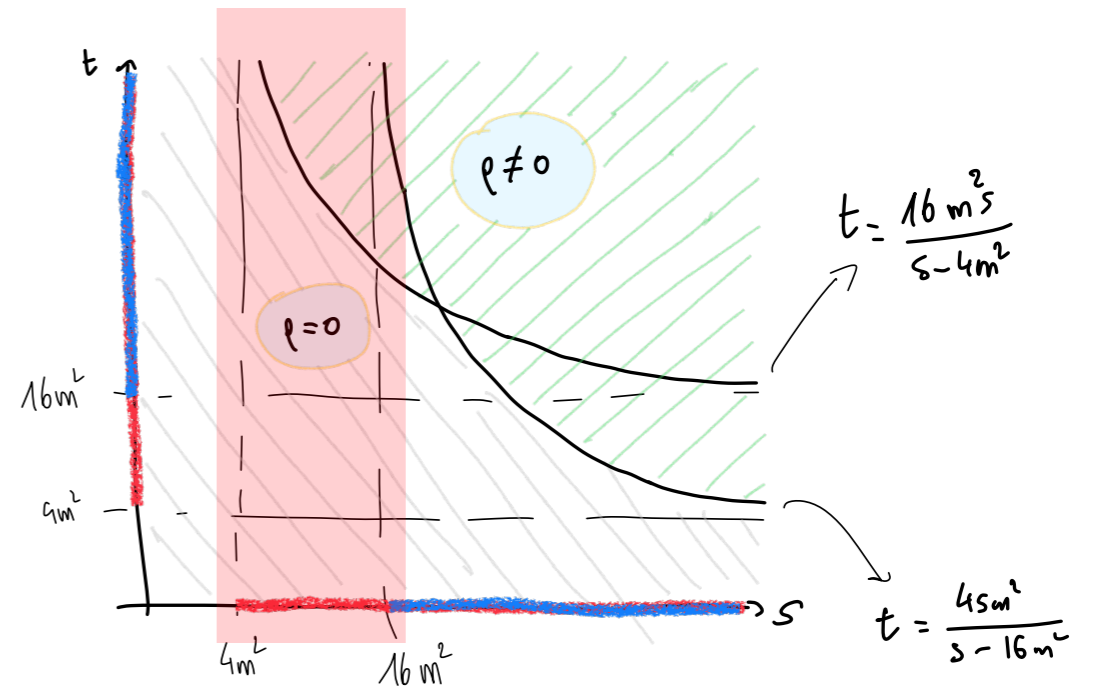
Green hashed: Support of double disc in (s,t)-plane

Elastic unitarity in 4d



fully non-perturbative equation

elastic region



Green hashed: Support of double disc in (s,t)-plane